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## **Southwest Securities International Securities Limited** **西證國際證券股份有限公司\***

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

### **RESIGNATION OF JOINT COMPANY SECRETARY**

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”) hereby announces that Miss Fung Suk Han (“**Miss Fung**”) has resigned as a joint company secretary of the Company with effect from 1 May 2017 due to pursue personal career development. Miss Fung has confirmed that she has no disagreement with the Board and there is no other matter in relation to her resignation that needs to be brought to the attention of the shareholders of the Company or The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”).

Reference is made to a waiver granted to the Company by the Stock Exchange (the “**Waiver**”) from strict compliance with the requirements of Rule 3.28 and Rule 8.17 of the Rules Governing the Listing of Securities on the Stock Exchange (the “**Listing Rules**”) for a period of three years starting from 19 March 2015, on the condition that the Company would engage Miss Fung as a joint company secretary to assist Mr. Luo Yi (“**Mr. Luo**”), another joint company secretary of the Company, so as to enable him to acquire the “relevant experience” required under Note 2 to Rule 3.28 of the Listing Rules and to discharge the functions as a joint company secretary. The Waiver will be revoked upon Miss Fung’s resignation with effect from 1 May 2017.

Accordingly, Mr. Luo will cease to act as the joint company secretary of the Company after the Waiver is revoked on 1 May 2017.

The Board would like to take this opportunity to express its sincere gratitude to Mr. Luo and Miss Fung for their valuable contribution to the Company during their tenure of service.

The Company is in the process of identifying suitable candidate(s) to fill the vacancy of the company secretary of the Company arising from the resignation of Miss Fung as soon as practicable. Further announcement will be made by the Company once such appointment is made.

By order of the Board  
**Southwest Securities International Securities Limited**  
**Wu Jian\***  
*Chairman*

Hong Kong, 28 April 2017

*As at the date of this announcement, the executive Directors are Mr. Wu Jian\* (Chairman), Mr. Pu Rui\* (Chief Executive Officer), Mr. Luo Yi, Ms. Zhao Dongmei\*, Ms. Wang Huiyun\* and Mr. Xiong Xiaoqiang\*; and the independent non-executive Directors are Professor Wu Jun\*, Mr. Meng Gaoyuan\* and Mr. Guan Wenwei.*

\* For identification purpose only