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海通证券股份有限公司
HAITONG SECURITIES CO., LTD.*

(A joint stock limited company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6837)

POLL RESULTS OF ANNUAL GENERAL MEETING HELD ON 18 JUNE 2019

VOTING RESULTS OF THE MEETING

References are made to the notice of annual general meeting (the “**AGM**”) dated 2 May 2019, the circular of the AGM (the “**Circular**”) dated 28 May 2019 and the indicative announcement regarding the convening of the AGM dated 29 May 2019 of Haitong Securities Co., Ltd. (the “**Company**”). Unless otherwise defined herein, capitalised terms used in this announcement shall have the same meanings as those defined in the Circular.

The board of directors (the “**Board**”) of the Company is pleased to announce that the AGM was held at Jing Song Hall, 4/F, Pine City Hotel, No. 777 Zhaojiabang Road, Shanghai, the PRC, by way of an on-site meeting, on Tuesday, 18 June 2019 at 9:30 a.m.

The meeting was convened by the Board and chaired by Mr. ZHOU Jie, the Chairman of the Board. Voting at the AGM was conducted by a combination of network voting and poll onsite. In accordance with relevant PRC laws and regulations, the holders of A Shares were entitled to attend the voting at the AGM in person, by proxy, or via network for the relevant resolutions. The time of network voting for the resolutions proposed at the AGM for holders of A Shares on 18 June 2019 was set out in the notice of the AGM to holders of A Shares dated 30 April 2019 published on the website of Shanghai Stock Exchange separately. Computershare Hong Kong Investor Services Limited, the Company's H share registrar, two representatives from the Company's Shareholders, one Supervisor of the Company and two representatives from Grandall Law Firm (Shanghai) were appointed by the Company as the scrutineers for the vote-taking at the AGM. Some of the Directors and Supervisors, as well as the Secretary to the Board, attended the AGM. Some members of the senior management were also present.

As at the date of the AGM, the total number of issued shares of the Company was 11,501,700,000, which was the total number of shares entitling the holders to attend and vote for or against or abstain from voting in respect of the resolutions at the AGM. A total of 77 Shareholders and/or their proxies, holding an aggregate of 3,904,747,159 shares with voting rights, representing approximately 33.949305% of the total shares with voting rights of the Company, in which, 76 Shareholders of A Shares and/or their proxies, holding an aggregate of 2,824,967,906 A Shares, representing approximately 24.561308% of the total shares with voting rights of the Company while one Shareholder of H Shares and/or his/her proxy, holding an aggregate of 1,079,779,253 H Shares, representing approximately 9.387997% of the total shares with voting rights of the Company, have attended the AGM and voted on the resolutions proposed at the AGM.

According to the requirements of relevant PRC laws and regulations, the ordinary resolutions numbered 8.01, 8.02, 8.03, 8.04 and 8.05 proposed at the AGM shall be voted by the Shareholders who do not have substantial interest in such resolutions. Therefore, Shanghai Guosheng Group and its related companies shall abstain from voting on the ordinary resolution 8.01, BNP Paribas Investment Partners BE Holding SA and its related companies shall abstain from voting on the ordinary resolution 8.02. Shanghai Shengyuan Real Estate (Group) Co., Ltd. shall abstain from voting on the ordinary resolution 8.03. The companies (other than the Company and its controlling subsidiaries) of which the Directors, Supervisors and senior management of the Company hold positions as their directors or senior management, and other related corporate entities, shall abstain from voting on the ordinary resolution 8.04. The related natural persons of the Company shall abstain from voting on the ordinary resolution 8.05. According to the requirements of relevant PRC laws and regulations, the special resolutions numbered 2, 3, 4 and 6 proposed at the AGM shall be voted by the Shareholders who do not have substantial interest in such resolutions. Therefore, Shanghai Guosheng Group and its related companies shall abstain from voting on the special resolutions 2, 3, 4.01 and 6. Shanghai Haiyan Investment and its related companies shall abstain from voting on the special resolutions 2, 3, 4.02 and 6. Bright Food Group and its related companies shall abstain from voting on the special resolution 2, 3, 4.03 and 6. Shanghai Electric Group and its related companies shall abstain from voting on the special resolutions 2, 3, 4.04 and 6.

Save as disclosed above, no Shareholder was required to abstain from voting on any resolution proposed at the AGM under the Hong Kong Listing Rules. No Shareholder who was entitled to attend the AGM had to abstain from voting in favour of any resolution at the AGM pursuant to Rule 13.40 of the Hong Kong Listing Rules. No parties indicated their intention in the Circular to vote against or abstain from voting in respect of any resolution proposed at the AGM.

POLL RESULTS OF THE AGM

The Shareholders present at the AGM considered and approved the following resolutions:

ORDINARY RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
1.	To consider and approve the report of the board of directors of the Company for the year 2018.	A Shares	2,824,330,105	99.977423	554,901	0.019642	82,900	0.002935
		H Shares	1,076,368,453	99.684121	1,073,200	0.099390	2,337,600	0.216489
		Total	3,900,698,558	99.896316	1,628,101	0.041695	2,420,500	0.061989
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								
2.	To consider and approve the report of the supervisory committee of the Company for the year 2018.	A Shares	2,824,372,105	99.978909	504,901	0.017873	90,900	0.003218
		H Shares	1,076,287,253	99.676601	1,073,200	0.099390	2,418,800	0.224009
		Total	3,900,659,358	99.895312	1,578,101	0.040415	2,509,700	0.064273
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								
3.	To consider and approve the annual report of the Company for the year 2018.	A Shares	2,824,372,105	99.978909	504,901	0.017873	90,900	0.003218
		H Shares	1,075,903,653	99.641075	1,456,800	0.134916	2,418,800	0.224009
		Total	3,900,275,758	99.885488	1,961,701	0.050239	2,509,700	0.064273
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								

ORDINARY RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
4.	To consider and approve the final accounts report of the Company for the year 2018.	A Shares	2,824,322,105	99.977140	554,901	0.019642	90,900	0.003218
		H Shares	1,075,903,653	99.641075	1,456,800	0.134916	2,418,800	0.224009
		Total	3,900,225,758	99.884208	2,011,701	0.051519	2,509,700	0.064273
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								
5.	To consider and approve the profit distribution proposal of the Company for the year 2018.	A Shares	2,824,435,005	99.981136	504,901	0.017873	28,000	0.000991
		H Shares	1,039,683,253	96.286648	0	0.000000	40,096,000	3.713352
		Total	3,864,118,258	98.959500	504,901	0.012930	40,124,000	1.027570
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								
6.	To consider and approve the appointment of auditing firms for the year 2019.	A Shares	2,824,424,145	99.980752	502,101	0.017773	41,660	0.001475
		H Shares	1,037,760,053	96.108538	1,923,200	0.178110	40,096,000	3.713352
		Total	3,862,184,198	98.909969	2,425,301	0.062111	40,137,660	1.027920
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								
7.	To consider and approve the proposal regarding the dilution of current returns as a result of the non-public issuance of A shares of the Company and remedial measures (Adjusted).	A Shares	2,808,086,966	99.402438	16,671,040	0.590132	209,900	0.007430
		H Shares	686,273,837	63.556865	353,364,416	32.725616	40,141,000	3.717519
		Total	3,494,360,803	89.490066	370,035,456	9.476553	40,350,900	1.033381
As more than half of the votes were cast in favour of this resolution, the resolution was duly passed as an ordinary resolution.								

ORDINARY RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
8.	To consider and approve the proposal regarding projected routine related party transactions of the Company in 2019, including:							
8.01	To consider and approve the proposal regarding related party transactions of the Company and its subsidiaries with Shanghai Guosheng Group Co., Ltd. and its related companies;	A Shares	2,504,827,738	99.979280	511,101	0.020401	8,000	0.000319
		H Shares	1,038,799,253	96.204780	884,000	0.081868	40,096,000	3.713352
		Total	3,543,626,991	98.842465	1,395,101	0.038913	40,104,000	1.118622
8.02	To consider and approve the proposal regarding related party transactions of the Company and its subsidiaries with BNP Paribas Investment Partners BE Holding SA and its related companies;	A Shares	2,824,448,805	99.981625	511,101	0.018092	8,000	0.000283
		H Shares	1,038,799,253	96.204780	884,000	0.081868	40,096,000	3.713352
		Total	3,863,248,058	98.937214	1,395,101	0.035728	40,104,000	1.027058
8.03	To consider and approve the proposal regarding related party transactions of the Company and its subsidiaries with Shanghai Shengyuan Real Estate (Group) Co., Ltd.;	A Shares	2,824,448,805	99.981625	511,101	0.018092	8,000	0.000283
		H Shares	1,038,799,253	96.204780	884,000	0.081868	40,096,000	3.713352
		Total	3,863,248,058	98.937214	1,395,101	0.035728	40,104,000	1.027058
8.04	To consider and approve the proposal regarding related party transactions of the Company and its subsidiaries with the companies (other than the Company and its subsidiaries) where the Company's directors, supervisors and senior management hold positions as directors or senior management, and other related corporate entities;	A Shares	1,090,040,381	99.952400	511,101	0.046866	8,000	0.000734
		H Shares	1,038,799,253	96.204780	884,000	0.081868	40,096,000	3.713352
		Total	2,128,839,634	98.087898	1,395,101	0.064280	40,104,000	1.847822
8.05	To consider and approve the proposal regarding related party transactions of the Company and its subsidiaries with related natural persons.	A Shares	2,824,444,005	99.981455	515,901	0.018262	8,000	0.000283
		H Shares	1,038,799,253	96.204780	884,000	0.081868	40,096,000	3.713352
		Total	3,863,243,258	98.937091	1,399,901	0.035851	40,104,000	1.027058
As more than half of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as an ordinary resolution.								

ORDINARY RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
9.	To consider and approve the proposal regarding the election of new session of the board of directors of the Company, including:							
9.01	To consider and approve the re-election of Mr. Zhou Jie as an executive director of the seventh session of the Board;	A Shares	2,824,006,305	99.965961	891,101	0.031543	70,500	0.002496
		H Shares	1,040,806,039	96.390631	37,690,754	3.490598	1,282,460	0.118771
		Total	3,864,812,344	98.977275	38,581,855	0.988076	1,352,960	0.034649
9.02	To consider and approve the re-election of Mr. Qu Qiuping as an executive director of the seventh session of the Board;	A Shares	2,824,068,505	99.968162	891,101	0.031544	8,300	0.000294
		H Shares	1,051,142,823	97.347937	28,354,030	2.625910	282,400	0.026153
		Total	3,875,211,328	99.243592	29,245,131	0.748963	290,700	0.007445
9.03	To consider and approve the appointment of Mr. Ren Peng as an executive director of the seventh session of the Board;	A Shares	2,824,068,505	99.968162	891,101	0.031544	8,300	0.000294
		H Shares	1,049,550,023	97.200425	29,946,830	2.773422	282,400	0.026153
		Total	3,873,618,528	99.202800	30,837,931	0.789755	290,700	0.007445
9.04	To consider and approve the appointment of Mr. Tu Xuanxuan as a non-executive director of the seventh session of the Board;	A Shares	2,823,984,505	99.965189	975,101	0.034517	8,300	0.000294
		H Shares	1,036,689,104	96.009356	42,807,749	3.964491	282,400	0.026153
		Total	3,860,673,609	98.871283	43,782,850	1.121272	290,700	0.007445
9.05	To consider and approve the re-election of Ms. Yu Liping as a non-executive director of the seventh session of the Board;	A Shares	2,824,040,805	99.967182	918,801	0.032524	8,300	0.000294
		H Shares	1,030,850,245	95.468610	48,646,608	4.505237	282,400	0.026153
		Total	3,854,891,050	98.723192	49,565,409	1.269363	290,700	0.007445
9.06	To consider and approve the re-election of Mr. Chen Bin as a non-executive director of the seventh session of the Board;	A Shares	2,824,047,005	99.967401	912,601	0.032305	8,300	0.000294
		H Shares	1,036,689,104	96.009356	42,807,749	3.964491	282,400	0.026153
		Total	3,860,736,109	98.872883	43,720,350	1.119672	290,700	0.007445
9.07	To consider and approve the re-election of Mr. Xu Jianguo as a non-executive director of the seventh session of the Board;	A Shares	2,823,165,779	99.936207	1,793,827	0.063499	8,300	0.000294
		H Shares	939,681,899	87.025371	139,814,954	12.948476	282,400	0.026153
		Total	3,762,847,678	96.365975	141,608,781	3.626580	290,700	0.007445
9.08	To consider and approve the re-election of Mr. Zhang Ming as an independent non-executive director of the seventh session of the Board;	A Shares	2,824,097,705	99.969196	861,901	0.030510	8,300	0.000294
		H Shares	1,072,356,278	99.312547	7,140,575	0.661300	282,400	0.026153
		Total	3,896,453,983	99.787613	8,002,476	0.204942	290,700	0.007445

ORDINARY RESOLUTIONS				For		Against		Abstain	
				Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
9.	9.09	To consider and approve the re-election of Mr. Lam Lee G. as an independent non-executive director of the seventh session of the Board;	A Shares	2,805,728,616	99.318955	19,230,990	0.680751	8,300	0.000294
			H Shares	713,118,367	66.042977	359,264,978	33.272077	7,395,908	0.684946
			Total	3,518,846,983	90.117153	378,495,968	9.693226	7,404,208	0.189621
	9.10	To consider and approve the appointment of Mr. Zhu Hongchao as an independent non-executive director of the seventh session of the Board;	A Shares	2,818,923,799	99.786047	6,035,807	0.213659	8,300	0.000294
			H Shares	998,027,877	92.428881	74,355,468	6.886173	7,395,908	0.684946
			Total	3,816,951,676	97.751571	80,391,275	2.058808	7,404,208	0.189621
	9.11	To consider and approve the appointment of Mr. Zhou Yu as an independent non-executive director of the seventh session of the Board.	A Shares	2,824,398,505	99.979844	561,101	0.019862	8,300	0.000294
			H Shares	1,079,113,253	99.938321	383,600	0.035526	282,400	0.026153
			Total	3,903,511,758	99.968362	944,701	0.024193	290,700	0.007445
As more than half of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as an ordinary resolution.									

ORDINARY RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
10.	To consider and approve the proposal regarding the election of new session of the supervisory committee of the Company, including:							
10.01	To consider and approve the appointment of Mr. Xu Renzhong as a shareholder supervisor of the seventh session of the Supervisory Committee;	A Shares	2,823,813,205	99.959125	1,146,401	0.040581	8,300	0.000294
		H Shares	1,051,828,746	97.411461	27,534,107	2.549976	416,400	0.038563
		Total	3,875,641,951	99.254620	28,680,508	0.734503	424,700	0.010877
10.02	To consider and approve the appointment of Mr. Cao Yijian as a shareholder supervisor of the seventh session of the Supervisory Committee;	A Shares	2,823,046,179	99.931973	1,913,427	0.067733	8,300	0.000294
		H Shares	947,623,271	87.760833	131,739,582	12.200604	416,400	0.038563
		Total	3,770,669,450	96.566290	133,653,009	3.422833	424,700	0.010877
10.03	To consider and approve the re-election of Ms. Zheng Xiaoyun as a shareholder supervisor of the seventh session of the Supervisory Committee;	A Shares	2,823,046,179	99.931973	1,913,427	0.067733	8,300	0.000294
		H Shares	947,623,271	87.760833	131,739,582	12.200604	416,400	0.038563
		Total	3,770,669,450	96.566290	133,653,009	3.422833	424,700	0.010877
10.04	To consider and approve the appointment of Ms. Dai Li as a shareholder supervisor of the seventh session of the Supervisory Committee;	A Shares	2,823,046,179	99.931973	1,913,427	0.067733	8,300	0.000294
		H Shares	947,623,271	87.760833	131,739,582	12.200604	416,400	0.038563
		Total	3,770,669,450	96.566290	133,653,009	3.422833	424,700	0.010877
10.05	To consider and approve the re-election of Mr. Feng Huang as a shareholder supervisor of the seventh session of the Supervisory Committee.	A Shares	2,823,813,205	99.959125	1,146,401	0.040581	8,300	0.000294
		H Shares	1,055,566,691	97.757638	23,796,162	2.203799	416,400	0.038563
		Total	3,879,379,896	99.350348	24,942,563	0.638775	424,700	0.010877
As more than half of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as an ordinary resolution.								

SPECIAL RESOLUTIONS			For		Against		Abstain		
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)	
1.	To consider and approve the proposal regarding compliance of the Company with the conditions of non-public issuance of A shares.	A Shares	2,807,985,266	99.398838	16,974,640	0.600879	8,000	0.000283	
		H Shares	725,819,808	67.219277	353,677,045	32.754570	282,400	0.026153	
		Total	3,533,805,074	90.500228	370,651,685	9.492335	290,400	0.007437	
	As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								
2.	To consider and approve the proposal regarding the adjusted plan of the non-public issuance of A shares of the Company, including:								
	2.01	Class and nominal value of shares to be issued;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537
	2.02	Method and timing of issuance;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537
	2.03	Target subscribers and subscription method;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537
	2.04	Number of shares to be issued and amount of proceeds to be raised;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537
	2.05	Issuance price and pricing principle;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537

SPECIAL RESOLUTIONS			For		Against		Abstain		
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)	
2.	2.06	Use of proceeds;	A Shares	1,421,403,183	98.897151	15,842,740	1.102292	8,000	0.000557
			H Shares	775,064,815	71.779932	304,432,038	28.193915	282,400	0.026153
			Total	2,196,467,998	87.264166	320,274,778	12.724297	290,400	0.011537
	2.07	Lock-up period;	A Shares	1,420,581,083	98.839952	16,662,040	1.159297	10,800	0.000751
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,161,520	85.265524	370,578,456	14.722827	293,200	0.011649
	2.08	Place of listing;	A Shares	1,420,581,083	98.839952	16,662,040	1.159297	10,800	0.000751
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,161,520	85.265524	370,578,456	14.722827	293,200	0.011649
	2.09	Arrangement for the retained profits of the Company prior to the issuance;	A Shares	1,420,572,083	98.839325	16,673,840	1.160118	8,000	0.000557
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,590,256	14.723297	290,400	0.011537
	2.10	Validity period of the resolution.	A Shares	1,420,572,083	98.839325	16,671,040	1.159924	10,800	0.000751
			H Shares	725,580,437	67.197109	353,916,416	32.776738	282,400	0.026153
			Total	2,146,152,520	85.265166	370,587,456	14.723185	293,200	0.011649
As more than two-thirds of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as a special resolution.									
3.	To consider and approve the proposal regarding the adjusted proposal in respect of the non-public issuance of A shares of the Company.	A Shares	1,420,049,383	98.802958	17,196,540	1.196485	8,000	0.000557	
		H Shares	725,819,808	67.219277	353,677,045	32.754570	282,400	0.026153	
		Total	2,145,869,191	85.253910	370,873,585	14.734553	290,400	0.011537	
		As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.							

SPECIAL RESOLUTIONS		For			Against		Abstain	
		Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)	
4.	To consider and approve the proposal in relation to the execution of the subscription agreement with conditions precedent with specific subscribers, including:							
4.01	To consider and approve the subscription agreement between Shanghai Guosheng Group Co., Ltd. and the Company;	A Shares	2,488,473,899	99.326523	16,872,940	0.673477	0	0.000000
		H Shares	725,748,837	67.212704	353,748,016	32.761143	282,400	0.026153
		Total	3,214,222,736	89.654385	370,620,956	10.337738	282,400	0.007877
4.02	To consider and approve the subscription agreement between Shanghai Haiyan Investment Management Company Limited and the Company;	A Shares	2,407,385,343	99.303996	16,872,940	0.696004	0	0.000000
		H Shares	685,935,237	63.525506	353,748,016	32.761142	40,096,000	3.713352
		Total	3,093,320,580	88.278751	370,620,956	10.576969	40,096,000	1.144280
4.03	To consider and approve the subscription agreement between Bright Food (Group) Co., Ltd. and the Company;	A Shares	2,405,944,966	99.303582	16,671,040	0.688085	201,900	0.008333
		H Shares	685,935,237	63.525506	353,748,016	32.761142	40,096,000	3.713352
		Total	3,091,880,203	88.273931	370,419,056	10.575554	40,297,900	1.150515
4.04	To consider and approve the subscription agreement between Shanghai Electric (Group) Corporation and the Company.	A Shares	2,542,858,873	99.340723	16,669,540	0.651221	206,200	0.008056
		H Shares	685,935,237	63.525506	353,748,016	32.761142	40,096,000	3.713352
		Total	3,228,794,110	88.714983	370,417,556	10.177666	40,302,200	1.107351
As more than two-thirds of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as a special resolution.								
5.	To consider and approve the proposal regarding the adjusted feasibility report on the use of proceeds raised from the non-public issuance of A shares of the Company.	A Shares	2,808,918,066	99.431858	15,839,940	0.560712	209,900	0.007430
		H Shares	735,490,586	68.114903	304,192,667	28.171745	40,096,000	3.713352
		Total	3,544,408,652	90.771784	320,032,607	8.195988	40,305,900	1.032228
As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								
6.	To consider and approve the proposal regarding the related party transactions involved in the Company's non-public issuance of A shares.	A Shares	1,420,372,983	98.825473	16,671,040	1.159923	209,900	0.014604
		H Shares	685,122,208	63.450210	354,561,045	32.836438	40,096,000	3.713352
		Total	2,105,495,191	83.649878	371,232,085	14.748796	40,305,900	1.601326
As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								
7.	To consider and approve the proposal regarding the authorization granted to the board of directors of the Company and the delegation by the board of directors to the management personnel to deal with matters relating to the non-public issuance of A shares of the Company.	A Shares	2,808,086,966	99.402438	16,671,040	0.590132	209,900	0.007430
		H Shares	686,006,208	63.532079	353,677,045	32.754569	40,096,000	3.713352
		Total	3,494,093,174	89.483212	370,348,085	9.484560	40,305,900	1.032228
As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								

SPECIAL RESOLUTIONS			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
8.	To consider and approve the proposal regarding the grant of general mandate to issue onshore and offshore debt financing instruments of the Company, including:							
8.01	Type;	A Shares	2,821,885,747	99.890896	3,074,159	0.108821	8,000	0.000283
		H Shares	886,896,824	82.136865	152,786,429	14.149783	40,096,000	3.713352
		Total	3,708,782,571	94.981376	155,860,588	3.991566	40,104,000	1.027058
8.02	Term;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	886,896,824	82.136865	152,786,429	14.149783	40,096,000	3.713352
		Total	3,709,185,171	94.991686	155,457,988	3.981256	40,104,000	1.027058
8.03	Interest Rate;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	886,896,824	82.136865	152,786,429	14.149783	40,096,000	3.713352
		Total	3,709,185,171	94.991686	155,457,988	3.981256	40,104,000	1.027058
8.04	Issuer, Issue Method and Issue Size;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	901,790,938	83.516231	152,786,429	14.149784	25,201,886	2.333985
		Total	3,724,079,285	95.373122	155,457,988	3.981257	25,209,886	0.645621
8.05	Issue Price;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	901,790,938	83.516231	152,786,429	14.149784	25,201,886	2.333985
		Total	3,724,079,285	95.373122	155,457,988	3.981257	25,209,886	0.645621
8.06	Security and Other Credit Enhancement Arrangements;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	901,790,938	83.516231	152,786,429	14.149784	25,201,886	2.333985
		Total	3,724,079,285	95.373122	155,457,988	3.981257	25,209,886	0.645621
8.07	Use of Proceeds;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
		H Shares	886,896,824	82.136865	152,786,429	14.149783	40,096,000	3.713352
		Total	3,709,185,171	94.991686	155,457,988	3.981256	40,104,000	1.027058

SPECIAL RESOLUTIONS			For		Against		Abstain		
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)	
8.	8.08	Target Subscribers and Arrangements on Placement to Shareholders of the Company;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
			H Shares	886,896,824	82.136865	152,786,429	14.149783	40,096,000	3.713352
			Total	3,709,185,171	94.991686	155,457,988	3.981256	40,104,000	1.027058
	8.09	Guarantee Measures for Repayment;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
			H Shares	926,710,424	85.824063	152,786,429	14.149784	282,400	0.026153
			Total	3,748,998,771	96.011307	155,457,988	3.981256	290,400	0.007437
	8.10	Validity Period of Resolution;	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
			H Shares	926,710,424	85.824063	152,786,429	14.149784	282,400	0.026153
			Total	3,748,998,771	96.011307	155,457,988	3.981256	290,400	0.007437
	8.11	Authorisation for Issuance of Onshore and Offshore Debt Financing Instruments.	A Shares	2,822,288,347	99.905147	2,671,559	0.094570	8,000	0.000283
			H Shares	926,710,424	85.824063	152,786,429	14.149784	282,400	0.026153
			Total	3,748,998,771	96.011307	155,457,988	3.981256	290,400	0.007437
As more than two-thirds of the votes were cast in favour of each of these resolutions, each of these resolutions was duly passed as a special resolution.									
9.	To consider and approve the proposal regarding the grant of general mandate for the board to authorize, allot or issue A shares and/or H shares.	A Shares	2,806,149,540	99.333856	18,810,366	0.665861	8,000	0.000283	
		H Shares	647,094,433	59.928400	432,402,420	40.045447	282,400	0.026153	
		Total	3,453,243,973	88.437070	451,212,786	11.555493	290,400	0.007437	
	As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.								
10.	To consider and approve the proposed amendments to the Articles of Association, the Rules of Procedure for Shareholder's General Meetings, the Rules of Procedure for Board Meetings and the Rules of Procedure for the Supervisory Committee of the Company.	A Shares	2,824,098,005	99.969207	861,901	0.030510	8,000	0.000283	
		H Shares	1,079,030,453	99.930652	466,400	0.043195	282,400	0.026153	
		Total	3,903,128,458	99.958545	1,328,301	0.034018	290,400	0.007437	
As more than two-thirds of the votes were cast in favour of this resolution, the resolution was duly passed as a special resolution.									

Please refer to the Circular for full text of the resolutions.

ELECTION OF NEW SESSION OF THE BOARD

Please refer to the Annex I for biographical details of the Directors appointed (collectively, the “**Elected Directors**”) by the above ordinary resolution numbered 9 as approved by the Shareholders of the Company.

As far as the Board is aware, save as disclosed in the Circular, the Elected Directors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years. As at the date of this announcement, save as disclosed in the Circular, the Elected Directors do not have other relationship with any Directors, Supervisors, senior management or substantial shareholders of the Company. The Elected Directors do not have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Save as disclosed in the Circular, there is no other information in relation to the appointments of the Elected Directors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2) (h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the shareholders of the Company. The Elected Directors were not penalized by the CSRC or other relevant departments or stock exchanges.

As at the date of this announcement and as confirmed by the Company, all of the abovementioned Elected Directors have obtained the qualifications as directors approved by the PRC securities regulatory authorities and will perform their duties from the date of approval at the AGM for a term of three years. As at the date of this announcement, the Company has not entered into any service contract with the Elected Directors in terms of the appointment of the seventh session of the Board. Emoluments of the executive Directors of the Company shall be determined pursuant to the “Management Measures on the Performance Appraisal Assessment of Senior Management of Haitong Securities Co., Ltd.”. The non-executive Directors will not receive any remuneration from the Company. Emoluments of the independent non-executive Directors of the Company shall be determined pursuant to the “Proposal regarding the Remuneration of Independent Director”.

Retirement of Directors

Mr. Wu Yuezhou, Ms. Zhang Xinmei, Mr. Shen Tiedong, Mr. Liu Cheeming, Mr. Xiao Suining and Mr. Feng Lun did not act as Directors or members of special committees under the Board of the Company due to the election of new session of the Board. They have confirmed that there is no disagreement with the Board and there are no matters concerning their respective retirement that need to be brought to the attention of the Shareholders.

ELECTION OF NEW SESSION OF THE SUPERVISORY COMMITTEE

Please refer to the Annex II for biographical details of the Supervisors appointed by the above ordinary resolution numbered 10 as approved by the Shareholders of the Company, and the employee representative Supervisors elected democratically by the employee congress of the Company (collectively, the “**Elected Supervisors**”).

As far as the Supervisory Committee is aware, save as disclosed in the Circular, the Elected Supervisors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years. As at the date of this announcement, save as disclosed in the Circular, the Elected Supervisors do not have other relationship with any Directors, Supervisors, senior management or substantial shareholders of the Company. The Elected Supervisors do not have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Save as disclosed in the Circular, there is no other information in relation to the appointments of the Elected Supervisors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2)(h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the shareholders of the Company. The Elected Supervisors were not penalized by the CSRC or other relevant departments or stock exchanges.

As at the date of this announcement and as confirmed by the Company, all of the abovementioned Elected Shareholder Supervisors have obtained the qualifications as supervisors approved by the PRC securities regulatory authorities and will perform their duties from the date of approval at the AGM for a term of three years. As at the date of this announcement, the Company has not entered into any service contract with the above Elected Supervisors in terms of the appointment of the seventh session of the Supervisory Committee. The above Shareholder Supervisors of the Company will not receive any remuneration from the Company.

Save as the abovementioned Shareholder Supervisors, the seventh session of the Supervisory Committee will have three employee representative Supervisors. The employee representative Supervisors of the seventh session of the Supervisory Committee shall be elected democratically by the employee congress of the Company and is not subject to shareholders' approval. The Company held the fourth meeting of the fourth session of the employee representatives on 26 April 2019, at which Mr. Wu Hongwei, Mr. Shi Xu and Mr. Wu Xiangyang were elected as the employee representative Supervisors of the seventh session of the Supervisory Committee. The terms of office of Mr. Wu Hongwei, Mr. Shi Xu and Mr. Wu Xiangyang will be the same as the seventh session of the Supervisory Committee. The qualification of Mr. Wu Hongwei as a supervisor of securities company has been approved by the Shanghai Securities Regulatory Bureau of the CSRC. As confirmed by the Company, Mr. Wu Xiangyang's qualification as supervisor has been approved by the PRC securities regulatory authorities. Mr. Shi Xu's qualification as supervisor is subject to the approval by the PRC securities regulatory authorities.

Retirement of Supervisors

Mr. Li Lin, Mr. Chen Feng, Mr. Chen Huifeng and Mr. Song Chunfeng did not act as the Company's Shareholder Supervisors due to the election of new session of the Supervisory Committee. They have confirmed that there is no disagreement with both the Supervisory Committee and the Board and there are no matters concerning their respective retirement that need to be brought to the attention of the Shareholders. Ms. Wang Meijuan, Ms. Hu Hairong, Mr. Song Shihao and Mr. Rui Zhengxian did not act as the Company's employee representative Supervisors. They have confirmed that there is no disagreement with both the Supervisory Committee and the Board and there are no matters concerning their respective retirement that need to be brought to the attention of the Shareholders.

MATTERS RELATING TO THE VALIDITY PERIOD OF THE RESOLUTION AND THE AUTHORIZATION FOR THE NON-PUBLIC ISSUANCE OF A SHARES UNDER THE GENERAL MANDATE

Reference is made to the announcement of the Company regarding the Proposed Adjustments to the Non-public Issuance of A Shares under the General Mandate and the Proposed subscription of A Shares by existing Shareholders dated 25 April 2019, which including, among others, (1) the validity period of the resolution in relation to the Adjusted Non-public Issuance of A Shares, i.e. “the resolution(s) in relation to the Adjusted Non-public Issuance of A Shares shall remain valid for 12 months from the date on which relevant resolution(s) are considered and approved at relevant general meeting. If the Company has formally submitted relevant application materials of the Adjusted Non-public Issuance of A Shares to the CSRC and obtained the notice of acceptance within above validity period, the validity period will be automatically extended to the date when the Adjusted Non-public Issuance of A Shares is completed.”; and (2) the validity period of the authorisation granted to the Board and the delegation by the Board to the management personnel to deal with matters relating to the Non-public Issuance of A shares of the Company, i.e. “the authorization is effective for a period of 12 months from the date on which it is considered and approved at the general meeting. However, if the Company obtains approval issued by the CSRC for such issuance plan within the validity period, the authorization period shall be automatically extended to the date on which such issuance is completed.”

As disclosed in the Circular, the Company hereby confirms that (1) the validity period of the resolution in relation to the Adjusted Non-public Issuance of A Shares has been adjusted to “the resolution(s) in relation to the Adjusted Non-public Issuance of A Shares shall remain valid for 12 months from the date on which relevant resolution(s) are considered and approved at the AGM.”; and (2) the validity period of the authorisation granted to the Board and the delegation by the Board to the management personnel to deal with matters relating to the Non-public Issuance of A shares of the Company has been adjusted to “the authorisation is effective for a period of 12 months from the date on which it is considered and approved at the general meeting.”

PAYMENT OF FINAL DIVIDEND

The Board wishes to inform Shareholders that details of the payment of the final dividend for 2018 are as follows:

The final cash dividend for the year ended 31 December 2018 of RMB1.50 (inclusive of tax) for every 10 shares (the “**Final Dividend**”) will be paid to the holders of H Shares of the Company on 26 July 2019. The register of members of H Shares of the Company will be closed from Thursday, 4 July 2019 to Tuesday, 9 July 2019 (both days inclusive), during which time no share transfers of H Shares will be effected. In order to qualify for receiving the Final Dividend, holders of H Shares should ensure that all transfer documents, accompanied by the relevant H Share certificates, are lodged with the Company’s H Share Registrar, Computershare Hong Kong Investor Services Limited, at Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen’s Road East, Wanchai, Hong Kong, before 4:30 p.m. on Wednesday, 3 July 2019. Holders of H Shares whose names appear on the register of members of the H Shares on Tuesday, 9 July 2019 (the “**Record Date**”) are entitled to receive the Final Dividend.

According to the Articles of Association, dividends shall be denominated and declared in Renminbi. The dividends payable to holders of A Shares shall be paid in Renminbi and dividends payable to holders of H Shares shall be paid in Hong Kong dollars. The actual amount of H Share dividends paid in Hong Kong dollars is converted based on the average benchmark exchange rate for Renminbi to Hong Kong dollars as announced by the People's Bank of China for the five Business Days prior to 18 June 2019, being the date of the AGM, (i.e. RMB0.880292 against HK\$1.00), being a cash dividend of HK\$1.703980 (inclusive of tax) for every 10 H Shares.

Pursuant to the Notice of the PRC State Administration of Taxation on Issues Concerning Individual Income Tax Collection and Management after the Repeal of Guo Shui Fa [1993] No.045 (Guo Shui Han [2011] No.348), the dividend received by the overseas resident individual shareholders from the stocks issued by domestic non-foreign invested enterprises in Hong Kong is subject to the payment of individual income tax according to the items of "interests, dividend and bonus income", which shall be withheld by the withholding agents according to the relevant laws. The overseas resident individual shareholders who hold the stocks issued by domestic non-foreign invested enterprises in Hong Kong are entitled to the relevant preferential tax treatment pursuant to the provisions in the tax agreements signed between the countries where they are residents and China or the tax arrangements between Mainland and Hong Kong (Macau). The tax rate for dividends under the relevant tax agreements and tax arrangements is generally 10%, and for the purpose of simplifying tax administration, domestic non-foreign invested enterprises issuing shares in Hong Kong may, when distributing dividend, generally withhold individual income tax at the rate of 10%, and are not obligated to file an application. If the tax rate for dividend is not equal to 10%, the following provisions shall apply: (1) for citizens from countries under tax agreements to be entitled to tax rates lower than 10%, the withholding agents will file applications on their behalf to seek entitlement of the relevant agreed preferential treatments, and upon review and approval by the tax authorities, over withheld tax amounts will be refunded; (2) for citizens from countries under tax agreements to be entitled to tax rates higher than 10% but lower than 20%, the withholding agents will withhold the individual income tax at the agreed-upon effective tax rate when distributing dividend (bonus), and are not obligated to file an application; (3) for citizens from countries without tax agreements or are under other situations, the withholding agents will withhold the individual income tax at a tax rate of 20% when distributing dividends.

Pursuant to the Notice of the State Administration of Taxation on the Issues Concerning Withholding the Enterprise Income Tax on the Dividends Paid by Chinese Resident Enterprises to H Share Holders Which Are Overseas Non-resident Enterprises (Guo Shui Han [2008] No.897), a PRC resident enterprise, when distributing dividends for 2008 and for the years afterwards to H shareholders who are overseas non-resident enterprises, shall be subject to the enterprise income tax withheld at a uniform rate of 10%.

The Company will withhold payment of the relevant income tax strictly in accordance with the relevant laws or requirements of the relevant government departments and strictly based on the Company's register of members of H Shares on the Record Date. The Company assumes no liability whatsoever in respect of and will not entertain any claims arising from any delay in, or inaccurate determination of, the status of the Shareholders or any disputes over the mechanism of withholding arrangement. The Company has appointed Bank of China (Hong Kong) Trustees Limited to receive on behalf of holders of H Share all dividends declared in respect of H Shares.

The Company will announce separately on the website of the Shanghai Stock Exchange other details of the arrangement regarding the distribution of the Final Dividend to holders of A Shares.

Profit Distribution for Investors in Mainland China investing in H Shares of the Company through Shanghai-Hong Kong Stock Connect

For investors of the Shanghai Stock Exchange (including enterprises and individuals) investing in the H Shares of the Company listed on the Hong Kong Stock Exchange, the Shanghai Branch of China Securities Depository and Clearing Corporation Limited, as the nominee of the holders of H Shares through Shanghai-Hong Kong Stock Connect, will receive the cash dividends distributed by the Company and distribute the cash dividends to the relevant investors of H Shares through Shanghai-Hong Kong Stock Connect through its depository and clearing system.

The cash dividends for the investors of H Shares through Shanghai-Hong Kong Stock Connect will be paid in RMB. Pursuant to the Notice on the Tax Policies Related to the Pilot Program of the Shanghai-Hong Kong Stock Connect (Caishui [2014] No.81) (《關於滬港股票市場交易互聯互通機制試點有關稅收政策的通知》(財稅[2014]81號)), for dividends received by mainland individual investors from investing in H shares listed on the Hong Kong Stock Exchange through Shanghai-Hong Kong Stock Connect, the company of such H shares shall withhold and pay individual income tax at the rate of 20% on behalf of the individual investors. For dividends received by mainland securities investment funds from investing in H shares listed on the Hong Kong Stock Exchange through Shanghai-Hong Kong Stock Connect, the tax payable shall be the same as that for individual investors. The company of such H shares will not withhold or pay the income tax of dividends for mainland enterprise investors and those enterprise investors shall report and pay the relevant tax themselves.

Profit Distribution for Investors in Mainland China investing in H Shares of the Company through Shenzhen-Hong Kong Stock Connect

For investors of the Shenzhen Stock Exchange (including enterprises and individuals) investing in the H Shares of the Company listed on the Hong Kong Stock Exchange, the Shenzhen Branch of China Securities Depository and Clearing Corporation Limited, as the nominee of the holders of H Shares through Shenzhen-Hong Kong Stock Connect, will receive the cash dividends distributed by the Company and distribute the cash dividends to the relevant investors of H Shares through Shenzhen-Hong Kong Stock Connect through its depository and clearing system.

The cash dividends for the investors of H Shares through Shenzhen-Hong Kong Stock Connect will be paid in RMB. Pursuant to the Notice on the Tax Policies Related to the Pilot Program of the Shenzhen-Hong Kong Stock Connect (Cai Shui [2016] No.127) (《關於深港股票市場交易互聯互通機制試點有關稅收政策的通知》(財稅[2016]127號)), for dividends received by mainland individual investors from investing in H shares listed on the Hong Kong Stock Exchange through Shenzhen-Hong Kong Stock Connect, the company of such H shares shall withhold and pay individual income tax at the rate of 20% on behalf of the individual investors. For dividends received by mainland securities investment funds from investing in H shares listed on the Hong Kong Stock Exchange through Shenzhen-Hong Kong Stock Connect, the tax payable shall be the same as that for individual investors. The company of such H shares will not withhold or pay the income tax of dividends for mainland enterprise investors and those enterprise investors shall report and pay the relevant tax themselves.

The record date and the date of distribution of cash dividends and other time arrangements for the investors of Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect are consistent with those for the H Shareholders of the Company.

Profit Distribution for Investors of Northbound Trading

For investors of the Hong Kong Stock Exchange (including enterprises and individuals) investing in the A Shares of the Company listed on the Shanghai Stock Exchange (the “**Investors of Northbound Trading**”), their final dividend will be distributed in Renminbi by the Company through the Shanghai Branch of China Securities Depository and Clearing Corporation Limited to the account of the nominee holding such A Shares. The Company will withhold and pay income taxes at the rate of 10% on behalf of those investors and will report to the tax authorities for the withholding. For the Investors of Northbound Trading who are tax residents of other countries and whose country of domicile is a country which has entered into a tax treaty with the PRC stipulating a dividend tax rate of lower than 10%, those enterprises or individuals may, or may entrust a withholding agent to, apply to the competent tax authorities of the Company for the preferential treatment under such tax treaty. Upon approval by the competent tax authorities, the paid amount in excess of the tax payable based on the tax rate according to such tax treaty will be refunded.

The record date, ex-entitlement date, final dividend payment date and other time arrangements for the investors of Northbound Trading are consistent with those for A Shareholders of the Company.

ATTESTATION BY LAWYERS

Grandall Law Firm (Shanghai), the PRC legal advisers to the Company, consider that the convening and convocation procedures of the AGM are in compliance with the relevant laws and administrative rules as well as the Rules of Procedure for Shareholders’ General Meetings and the Articles of Association; the qualifications of conveners of and the attendees at the AGM are lawful and valid; and the voting procedures and poll results of the AGM are lawful and valid.

By order of the Board
Haitong Securities Co., Ltd.
ZHOU Jie
Chairman

Shanghai, the PRC
18 June 2019

As at the date of this announcement, the executive directors of the Company are Mr. ZHOU Jie, Mr. QU Qiuping and Mr. REN Peng; the non-executive directors of the Company are Mr. TU Xuanxuan, Ms. YU Liping, Mr. CHEN Bin and Mr. XU Jianguo; and the independent non-executive directors of the Company are Mr. ZHANG Ming, Dr. LAM Lee G., Mr. ZHU Hongchao and Mr. ZHOU Yu.

* *For identification purpose only*

ANNEX I BIOGRAPHY OF ELECTED DIRECTORS

Mr. Zhou Jie (周杰先生), born in 1967, a holder of master's degree of engineering. Mr. Zhou has served as the Chairman of the Board since 28 October 2016, the secretary of CPC party committee of the Company since July 2016. From February 1992 to June 1996, Mr. Zhou served in the investment banking department of Shanghai International Securities Co., Ltd. (上海萬國證券有限公司). From June 1996 to December 2001, Mr. Zhou served, successively, as the manager of investment department, the vice general manager, and the chairman of the board of directors and the general manager of Shanghai SIIC Asset Operation Co., Ltd. (上海上實資產經營有限公司). From December 2001 to April 2003, he was the director and general manager of SIIC Medical Science and Technology (Group) Limited. (上海實業醫藥科技(集團)有限公司). From January 2002 to July 2016, he acted, successively, as the executive director and the vice executive officer, the executive director and the executive vice president, the vice chairman and chief executive officer of Shanghai Industrial Holdings Limited (上海實業控股有限公司, listed on the Hong Kong Stock Exchange under the stock code of 0363). From August 2004 to July 2016, he served, successively, as the chief planning officer, the executive director and vice president, the executive director and executive vice president, and the president and secretary of CPC party committee of SIIC Shanghai (Holding) Co., Ltd. (上海上實(集團)有限公司). From March 2010 to May 2012, he was the chairman of the supervisory committee of Shanghai Pharmaceuticals Holding Co., Ltd. (上海醫藥集團股份有限公司, listed on the Shanghai Stock Exchange under the stock code of 601607; listed on the Hong Kong Stock Exchange under the stock code of 02607), of which he was the chairman of the board of directors and the secretary of CPC party committee from June 2012 to June 2013 and from May 2016 to July 2016. Mr. Zhou has been a non-executive director of Semiconductor Manufacturing International Corporation (中芯國際集成電路製造有限公司, listed on the New York Stock Exchange under the ticker symbol of "SMI"; listed on the Hong Kong Stock Exchange under the stock code of 00981) since January 2009. Mr. Zhou has been a supervisor, the chairman of the remuneration committee of Shanghai Stock Exchange, the president of Shanghai Securities Association (上海證券同業公會), and the representative of members of National Internet Finance Association of China (中國互聯網金融協會) since 2016, the vice chairman of Shanghai Financial Association (上海金融業聯合會), the president of Shanghai Association of Financial Planners (上海金融理財師協會), and an arbitrator of Shanghai Arbitration Commission (上海仲裁委員會) since 2017.

Mr. Qu Qiuping (瞿秋平先生), born in 1961, a holder of master's degree in economics, a senior accountant. Mr. Qu has served as an executive Director, the general manager and the deputy secretary of CPC party committee of the Company since 25 June 2014. He was the accountant, deputy section chief, Youth League secretary of Nanshi District Office of the People's Bank of China Shanghai (中國人民銀行上海市南市區辦事處) from September 1980 to December 1983; the deputy section chief and section chief of Nanshi District Office of the Industrial and Commercial Bank of China Shanghai (中國工商銀行上海市南市區辦事處) from January 1984 to September 1992; the vice president of Nanshi Sub-branch of the Industrial and Commercial Bank of China Shanghai Branch (中國工商銀行上海市分行南市支行) from September 1992 to November 1995; the deputy head of the accounting and cashier department of the Industrial and Commercial Bank of China Shanghai Branch (中國工商銀行上海市分行) from November 1995 to December 1996 (He was in charge of the party and political work of Shanghai Jiading Sub-branch of the Industrial and Commercial Bank of China (中國工商銀行上海市嘉定支行) from December 1995 to December 1996; the president and deputy secretary of CPC party committee of Shanghai Baoshan Sub-branch of the Industrial and Commercial Bank of China (中國工商銀行上海市寶山支行) from December 1996 to March 1999; the head of the accounting and clearing department of the Industrial and Commercial Bank of China Shanghai Branch from March 1999 to December 1999; the assistant to the president of the Industrial and Commercial Bank of China Shanghai Branch from December 1999 to June 2000; the vice president of the Industrial and Commercial Bank of China Shanghai Branch from June 2000 to February 2005 (he was a visiting scholar at University of Pennsylvania from September 2002 to September 2003); the vice president of the Industrial and Commercial Bank of China Jiangsu Branch (中國工商銀行江蘇省分行) from February 2005 to September 2008; the deputy secretary of CPC party committee and the vice chairman of the board of directors of Bank of Shanghai (上海銀行) from September 2008 to November 2008; the president, deputy secretary of CPC party committee and the vice chairman of the board of directors of Bank of Shanghai from November 2008 to December 2010; the head of the Work Coordination Department of the Dispatched Offices of the CSRC (中國證監會派出機構工作協調部) from December 2010 to August 2012; and the head of the Department of Unlisted Public Company Supervision of the CSRC (中國證監會非上市公眾公司監管部) from August 2012 to April 2014. Mr. Qu has been the member of Expert Committee of the Finance Research Centre of Counselors' Office of the State Council (國務院參事室金融研究中心) since October 2016, the director of the Shenzhen Stock Exchange since April 2017, the member of the Chinese People's political Consultative Conference Shanghai Committee since December 2017, vice president of the Securities Association of China (中國證券業協會) since June 2017, the Chairman of the Supervisory Committee of the council of the Listed Companies Association of Shanghai (上海上市公司協會) since June 2018, and the chairman of the M&A Financing Committee of the China Association of Listed Companies (中國上市公司協會併購融資專業委員會) since October 2018. Mr. Qu has been the chairman of the board of directors of Haitong International Holdings Limited (海通國際控股有限公司), the chairman of the board of directors, a non-executive director, the chairman of the nomination committee and the strategic development committee, and a member of remuneration committee of Haitong International Securities Group Limited (海通國際證券集團有限公司, listed on the Hong Kong Exchange under the stock code of 0665) since February 2018.

Mr. Ren Peng (任澎先生), born in 1962, a holder of master's degree in business and administration, an economist, joined the Company in March 1996 and has been the Deputy General Manager since November 1997 and is mainly in charge of investment banking business. Mr. Ren served in several managerial positions in the Xihu Office of the Industrial and Commercial Bank of China (中國工商銀行) from June 1982 to February 1988 and served in various positions in Bank of Communications (中國交通銀行) (Hangzhou Branch) from March 1988 to March 1996 including head of saving business and manager of securities department. In addition, Mr. Ren was manager of Hangzhou business department of Haitong Securities Company Limited from March 1996 to November 1997. Mr. Ren was a director of Haitong Capital Investment Co., Ltd. (海通開元投資有限公司) from October 2008 to August 2011. He has been a director of China-Belgium Direct Equity Investment Fund (中國—比利時直接股權投資基金) since March 2011. Mr. Ren served as the chairman of the board of directors of Haitong UniTrust International Leasing Corporation (海通恒信國際租賃有限公司) from June 2014 to May 2017. He has been chairman of the board of Haitong UT Capital Group Co., Limited (海通恒信金融集團有限公司) since June 2014. Mr. Ren served as the chairman of board of directors of Haitong UniFortune International Leasing Co., Ltd. (海通恒運國際租賃有限公司) from July 2014 to March 2018, and the chairman of the board of directors of Haitong UniTrust Finance & Leasing Corporation (Shanghai) (海通恒信融資租賃(上海)有限公司) from November 2014 to August 2018. Mr. Ren has been served as the chairman of the board of directors of Haitong UniTrust International Leasing Co., Ltd. (海通恒信國際租賃股份有限公司) since May 2017.

Mr. Tu Xuanxuan (屠旋旋先生), born in 1973, a holder of bachelor's degree in economics, an economist, has served as a deputy general manager (in charge of operations) of the asset management division of Shanghai Guosheng (Group) Co., Ltd. (上海國盛(集團)有限公司) from January 2019. Mr. Tu has served as chairman of Shanghai Zhenghao Asset Management Company (上海正浩資產管理有限公司) since March 2017, the general manager of Shanghai Economy Almanac Agency (上海經濟年鑒社) since May 2018, the director of Dongxing Securities since August 2007. Mr. Tu served as an intern and office staff of Centre of Safe Deposit Box (保險箱中心) of Shanghai Trust Consultancy Corporation of the Bank of China (中國銀行上海信託諮詢公司) from July 1993 to March 1997, and office staff and clerk of the Leasing Guarantee Division of the Management Department of Separate Operation (分業管理處租賃擔保科) of Bank of China, Shanghai Branch from March 1997 to March 2001. Mr. Tu served successively as the senior employee and the director of the Second Asset Management Department of Shanghai Office of China Orient Asset Management Corporation (中國東方資產管理公司上海辦事處資產經營二部) from March 2001 to October 2004. Mr. Tu served successively as the senior manager and the deputy general manager of the Asset Management Department of Shanghai Dasheng Assets Co., Ltd. (上海大盛資產有限公司) from October 2004 to September 2009, the deputy director of the Asset Management Centre of Shanghai Guosheng (Group) Co., Ltd. from September 2009 to October 2012. Mr. Tu served successively as the assistant to the president, a member of CPC party committee and the vice president of Shanghai Guosheng Group Assets Co., Ltd. (上海國盛集團資產有限公司) from June 2012 to January 2019 (during which he served as the deputy director (on job position) of the Intellectual Property Department of State-owned Assets Supervision and Administration Commission of Shanghai Municipal Government (上海市國資委產權處) from July 2014 to July 2015).

Ms. Yu Liping (余莉萍女士), born in 1962, a holder of MBA degree, a senior accountant, has served as a non-executive Director of the Company since 8 June 2015 and as the vice president of Bright Food (Group) Co., Ltd. (光明食品(集團)有限公司) since August 2010. Ms. Yu served in several positions in Shanghai Light Industry Bureau (上海輕工業局) and Shanghai Light Industry Company (Group) (上海輕工控股(集團)公司) from August 1996 to April 2006, including deputy chief of finance department, manager of finance department and vice chief accountant. She was a member of CPC party committee, vice president and chief financial officer of Shanghai Yimin Food Plant No.1 (Group) Co., Ltd. (上海益民食品一廠(集團)有限公司) from August 2006 to August 2008. Ms. Yu served as the chief financial officer of Shanghai Guangdian (Group) Co., Ltd. (上海廣電(集團)有限公司) from August 2008 to August 2010. Ms. Yu served as the chairman of supervisory committee of Shanghai Yimin Food Group (上海益民食品集團) from March 2015 to May 2017, and the chairman of supervisory committee of NGS Supermarket (Group) Co., Ltd. (農工商超市(集團)有限公司) from September 2013 to September 2018. Mr. Yu has been the legal representative of Shanghai Light Industry Company (Group) (上海輕工控股(集團)公司) since March 2014. Ms. Yu has been the chairman of supervisory committee of Bright Food Group Finance Co., Ltd. (光明食品集團財務有限公司) since September 2014.

Mr. Chen Bin (陳斌先生), born in 1981, a holder of master's degree in economics, has served as a non-executive Director of the Company since 30 December 2014. He has been the deputy general manager of Shanghai Haiyan Investment Management Company Limited (上海海煙投資管理有限公司) since February 2017. Mr. Chen served as an officer of the investment management department of Shanghai Tobacco (Group) Company (上海煙草(集團)公司) from July 2003 to January 2010. He served, successively, as assistant to section chief, section chief and assistant to head of the investment management department of Shanghai Tobacco (Group) Company (上海煙草(集團)公司, now renamed as Shanghai Tobacco Group Co., Ltd., 上海煙草集團有限責任公司), from January 2010 to October 2016. He was the assistant to general manager of Shanghai Haiyan Investment Management Company Limited from April 2014 to February 2017. Mr. Chen has been a non-executive director of Orient Securities Company Limited (東方證券股份有限公司, listed on the Shanghai Stock Exchange under the stock code of 600958; listed on the Hong Kong Stock Exchange under the stock code of 03958) since October 2014.

Mr. Xu Jianguo (許建國先生), born in 1964, a holder of master of professional accountancy degree, a senior accountant, has served as a non-executive Director of the Company since 18 October 2016. He has been the head of the financial budget department of Shanghai Electric (Group) Corporation (上海電氣(集團)總公司) since April 2013. Mr. Xu worked in the finance department and audit office of Shanghai Cable Works (上海電纜廠) from July 1984 to December 2001, inspection office of Shanghai Electric (Group) Corporation from January 2002 to March 2004 and the assets and finance department of Shanghai Electric Assets Management Company Limited (上海電氣資產管理有限公司) from April 2004 to September 2005, respectively. He served as an assistant to the financial manager of the first management department of Shanghai Electric Assets Management Company Limited from September 2005 to August 2008 during which period he also served as the chief financial officer of Shanghai Li Da Heavy Industrial Manufacturing Limited (上海力達重工製造有限公司) from March 2006 to August 2008. From August 2008 to December 2009, Mr. Xu was the deputy head of the assets and finance department of Shanghai Electric Assets Management Company Limited. From December 2009 to April 2013, he served as the deputy head of the financial budget department of Shanghai Electric (Group) Corporation. Mr. Xu served as a director of Shanghai Highly (Group) Co., Ltd. (上海海立(集團)股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600619) from June 2016 to December 2017, a director of Shanghai Electric Group Finance Co., Ltd. (上海電氣集團財務有限責任公司) since April 2013. Mr. Xu has been a director of Shanghai Life Insurance Company Ltd. (上海人壽保險股份有限公司) since March 2015, the chairman of the supervisory committee of Shanghai Prime Machinery Co., Ltd. (上海集優機械股份有限公司) (listed on the Hong Kong Stock Exchange under the stock code of 02345) since May 2016. Mr. Xu also served as a director of Shanghai Micro Electronics Equipment Co., Ltd. (上海微電子裝備股份有限公司) since June 2016, and a non-executive director of Orient Securities Company Limited (東方證券股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600958; listed on the Hong Kong Stock Exchange under the stock code of 03958) since November 2016. Mr. Xu has been the chairman of the supervisory committee of Shanghai Highly (Group) Co., Ltd. (上海海立(集團)股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600619) since December 2017.

Mr. Zhang Ming (張鳴先生), born in 1958, a holder of doctor's degree in economics, a professor and senior researcher, has served as an independent non-executive Director of the Company since 12 June 2016. He currently lectures at the School of Accountancy of Shanghai University of Finance and Economics (上海財經大學會計學院). Mr. Zhang has lectured in Shanghai University of Finance and Economics since graduation from this university in 1983 and has been the director of the teaching office, the deputy director and then the deputy head of the School of Accountancy. He is now a professor and doctoral supervisor in the same university. Mr. Zhang served as an independent director of Shanghai Shenda Co., Ltd. (上海申達股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600626) from May 2010 to May 2016, an independent director of Shangong Shenbei (Group) Co., Ltd. (上工申貝(集團)股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600843) from May 2009 to April 2017. Mr. Zhang has been an independent non-executive director of Shanghai Jinqiao Export Processing Zone Development Co., Ltd. (上海金橋出口加工區開發股份有限公司, listed on Shanghai Stock Exchange under the stock code of 600639) since June 2011, an independent non-executive director of Wuxi Commercial Mansion Grand Orient Co., Ltd. (無錫商業大廈大東方股份有限公司, listed on Shanghai Stock Exchange under the stock code of 600327) since May 2015, and an independent director of Shanghai Pudong Development Bank Co., Ltd. (上海浦東發展銀行股份有限公司, listed on Shanghai Stock Exchange under the stock code of 600000) since May 2016.

Mr. Lam Lee G. (林家禮先生), born in 1959, a holder of Ph.D degree, a Solicitor (formerly a barrister-at-law) of the High Court of Hong Kong, an Honorary Fellow of CPA Australia, a Fellow of CMA Australia, a Fellow of the Hong Kong Institute of Arbitrators and the Hong Kong Institute of Directors, and an Honorary Fellow of the University of Hong Kong School of Professional and Continuing Education, has served as an independent non-executive Director of the Company since 6 April 2017, Non-Executive Chairman – Hong Kong and ASEAN Region and Chief Adviser of Macquarie Infrastructure and Real Assets Asia since May 2015. Mr. Lam has over 30 years of international experience in general management, strategy consulting, corporate governance, direct investment, investment banking and fund management across the telecommunications/media/technology, consumer/healthcare, infrastructure/real estates, energy/resources and financial services sectors. Mr. Lam previously held a number of posts including General Manager of Hong Kong Telecom, a member of the Senior Management of CP Group in Thailand and Chairman/Director/Chief Executive Officer of several companies affiliated to the group, Managing Director of BOC International and Vice Chairman and COO of Investment Banking Division of BOC International, Executive Director of Singapore Technologies Telemedia (a member of Temasek Holdings, a sovereign fund of Singapore), and Chairman – Hong Kong/Vietnam/Cambodia/Laos/Myanmar/Thailand and Senior Adviser – Asia of Macquarie Capital. Mr. Lam served as a non-executive director of the Rome Group Limited (Stock Code: 8072), Imagi International Holdings Limited (Stock Code: 0585) and an independent non-executive director of Xi'an Haitiantian Holdings Co., Ltd. (formerly known as: Xi'an Haitian Antenna Holdings Co., Ltd. under the Stock Code: 8227), the shares of all of which are listed on The Stock Exchange of Hong Kong Limited, an independent non-executive director of Rowsley Limited (Company Code: A50) whose shares are listed on the Singapore Exchange, and an independent non-executive director of Vietnam Equity Holding (Company Code: 3MS) whose shares are listed on the Stuttgart Stock Exchange. Mr. Lam is an independent non-executive director of each of CSI Properties Limited (Stock Code: 497), Glorious Sun Enterprises Limited (Stock Code: 393), Vongroup Limited (Stock Code: 318), Mei Ah Entertainment Group Limited (Stock Code: 391), Elife Holdings Limited (Stock Code: 223), Huarong Investment Stock Corporation Limited (Stock Code: 2277), Hua Long Jin Kong Company Limited (Stock Code: 1682), Kidsland International Holdings Limited (Stock Code: 2122), Hsin Chong Group Holdings Limited (Stock code: 404), Mingfa Group (International) Company Limited (Stock Code: 846) and Aurum Pacific (China) Group Limited (Stock Code: 8148), and a non-executive Director of each of Sunwah Kingsway Capital Holdings Limited (Stock Code: 188), China LNG Group Limited (Stock Code: 931), National Arts Entertainment and Culture Group Limited (Stock Code: 8228), China Shandong Hi-Speed Financial Group Limited (Stock code: 412), and Tianda Pharmaceuticals Limited (Stock Code: 455), the shares of all of which are listed on The Stock Exchange of Hong Kong Limited. Dr. Lam is also an independent non-executive director of each of China Real Estate Grp Limited (former name Asia-Pacific Strategic Investments Limited, Stock code: 5RA), Top Global Limited (Stock code: BHO), and China Medical (International) Group Limited (Stock code: 5IB), and non-executive director of Singapore eDevelopment Limited (Stock code: 40V), the shares of all of which are listed on the Singapore Exchange. Mr. Lam is an independent non-executive director of Sunwah International Limited (Stock code: SWH) whose shares are listed on the Toronto Stock Exchange, an independent non-executive director of AustChina Holdings Limited (Stock code: AUH) whose shares are listed on the Australian Securities Exchange, and non-executive director of Adamas Finance Asia Limited (Stock code: ADAM) whose shares are listed on the London Securities Exchange.

Mr. Zhu Hongchao (朱洪超先生), born in 1959, a holder of master's degree in law, a senior lawyer, has served as the director and senior partner of Shanghai United Law Firm (上海市聯合律師事務所) since June 1986. Mr. Zhu currently serves as an arbitrator of China International Economic and Trade Arbitration Commission, Shanghai International Economic and Trade Arbitration Commission (Shanghai International Arbitration Centre) and Shanghai Arbitration Commission, the vice president of the Procedure Law Studies at the Shanghai Law Society, a mediator of Shanghai Commercial Mediation Centre, and a part-time professor of Shanghai University Law School, East China University of Political Science and Law and Shanghai University of Political Science and Law. Mr. Zhu was selected as one of Shanghai Leading Talents (上海市領軍人才), is entitled to the special government allowance of the State Council, and is a member of the legal experts of CPC Shanghai Committee (中共上海市委法律專家庫). Mr. Zhu served as a lawyer at Shanghai First Law Firm (上海市第一律師事務所) from July 1983 to June 1986. Mr. Zhou served as the deputy president of the third, fourth, fifth and sixth session of All China Lawyers Association, the president of the sixth session of Shanghai Bar Association and the chief supervisor of the seventh session of Shanghai Bar Association and a representative member of the 13th and 14th Shanghai Municipal People's Congress from 1994 to 2010. Mr. Zhu has served as an independent director of Wonders Information Co. Ltd. (listed on the Shenzhen Stock Exchange under the stock code of 300168(SHE)) since December 2013; an independent director of Jupai Holdings Limited (listed on NYSE under the stock code of JP (NYSE)) since June 2015; an independent director of Leju Holdings Limited (listed on NYSE under the stock code of LEJU (NYSE)) since March 2017; an independent director of E-House (China) Enterprise Holdings Limited (listed on Hong Kong Stock Exchange under the stock code of 2048 (HK)) since July 2018; an independent director of Chiho Environmental Group Limited (listed on Hong Kong Stock Exchange under the stock code of 0976 (HK)) since April 2018. Mr. Zhu served as an independent director of Sinochem International Corporation (listed on the Shanghai Stock Exchange under the stock code of 600500.SH) from February 2010 to December 2017; an independent director of Shanghai Guangdian Electric Group Co., Ltd. (listed on the Shanghai Stock Exchange under the stock code of 601616.SH) from November 2013 to December 2017; an independent director of Tengda Construction Group Co., Ltd. (listed on the Shanghai Stock Exchange under the stock code of 600521.SH) from October 2013 to November 2016, an independent director of Shanghai No.1 Pharmacy Co., Ltd. (listed on the Shanghai Stock Exchange under the stock code of 600833.SH) from September 2012 to June 2018.

Mr. Zhou Yu (周宇先生), born in 1959, a holder of doctor's degree in economics, a researcher and a doctoral supervisor in economics. He is an expert entitled to the special government allowance of the State Council and an executive director of China Association of World Economic Research (中國世界經濟學會). Mr. Zhou has served as the director of the International Finance Research Institution of the Institute of World Economy of Shanghai Academy of Social Sciences (上海社會科學院世界經濟研究所國際金融研究室), the director of the International Finance Monetary Research Centre of Shanghai Academy of Social Sciences (上海社會科學院國際金融貨幣研究中心) since October 2008, as well as the chief expert and principal of the International Finance Discipline Innovation Project of the Shanghai Academy of Social Sciences (上海社會科學院國際金融學科創新工程) since January 2015. Mr. Zhou served as a teacher of the Finance Department at Xinjiang University of Finance and Economics from August 1982 to March 1992, among which, he served as a guest research fellow at Osaka University of Commerce from April 1990 to March 1992. He pursued a master's degree and a doctor's degree at the Department of Economics of Osaka City University from April 1992 to March 2000, served as a guest research fellow at the Graduate School of Economics of Osaka City University from April 2000 to November 2000, served in various positions at the Institute of World Economy of Shanghai Academy of Social Sciences including assistant researcher, associate researcher, deputy director of the Finance Research Institution from December 2000 to October 2008, among which, he served as a post-doctoral fellow of economic theory at Shanghai Academy of Social Sciences from January 2001 to December 2002.

ANNEX II BIOGRAPHY OF ELECTED SUPERVISORS

EMPLOYEE REPRESENTATIVE SUPERVISORS

Mr. Wu Hongwei (吳紅偉先生), former name Wu Hongwei (吳紅衛), born in 1966, a holder of MBA degree, a researcher, has served as a Supervisor of the Company since 13 December 2017 and the vice chairman of the Supervisory Committee since 15 December 2017. Mr. Wu has been serving as the deputy secretary of CPC party committee and secretary of discipline inspection committee of the Company since September 2017. From July 1990 to June 2001, Mr. Wu served the following positions in Unit 801 of Shanghai Aerospace Administration (上海航天局801所): a designer, project leader, assistant to the director, deputy director of the Research Plan Office (later renamed as the “Science and Technology Office”) from July 1990 to January 1997, during which period, he also served as the secretary of the Science and Technology Committee from August 1995 to January 1997; the director of the Personnel Security Department and the secretary of the Science and Technology Committee from January 1997 to March 1997; the director of the Administration Department and the director of Personnel Security Department from March 1997 to October 2000; the deputy secretary of CPC party committee, the secretary of discipline inspection committee, and the director of the Administration Department from October 2000 to June 2001, during which period, he also served as the chairman of the Labor Union from March 2001 to June 2001. From June 2001 to April 2004, Mr. Wu served as the secretary of CPC party committee of Shanghai Xinguang Telecom Factory (上海新光電訊廠). From April 2004 to January 2015, Mr. Wu served the following positions in the Social Work Committee of CPC Shanghai Municipal Committee (中共上海市社會工作委員會): the deputy director of the Human Resources Department (in charge of operations) from April 2004 to January 2006; the director of the Human Resources Department from January 2006 to June 2011; and the Secretary-General from June 2011 to January 2015. Mr. Wu was appointed as the secretary of the discipline inspection committee of the State-owned Assets Supervision and Administration Commission of Shanghai Municipal Government (the “**Shanghai SASAC**”, 上海市國有資產監督管理委員會) from January 2015 to April 2015, the leader of Dispatched Discipline Inspection Office of the Discipline Inspection Committee of CPC Shanghai Municipal Committee to the CPC party committee of the Shanghai SASAC (中共上海市紀律檢查委員會駐上海市國資委黨委紀檢組), and a member of CPC party committee of the Shanghai SASAC from April 2015 to September 2017.

Mr. Shi Xu (侍旭先生), born in 1972, a holder of master’s degree in management, an accountant, has served as a deputy general manager of the audit department of the Company since March 2018. Mr. Shi served in the following various positions in the Company since July 1999, including: project assistant, deputy manager of the off-site audit department and manager of the off-site audit department when working in the audit department from July 1999 to November 2007; manager of the fourth audit department of the risk control headquarters from November 2007 to June 2009; manager of the fourth on-site audit department of the risk control headquarters from June 2009 to March 2011; manager of the fourth on-site audit department of the audit department from March 2011 to March 2014; manager of the fourth audit department of the audit department from March 2014 to November 2014; and assistant to general manager of the audit department from November 2014 to March 2018. Mr. Shi served as a supervisor of Fullgoal Fund Management Co., Ltd. (富國基金管理有限公司) from November 2016 to March 2018. He has served as a supervisor of Haitong Auspicate Capital Management Co., Ltd. (海通新創投資管理有限公司) since December 2016 and a supervisor of Liaoning Haitong New Energy Low-carbon Industry Equity Investment Fund Limited (遼寧海通新能源低碳產業股權投資基金有限公司) since December 2016.

Mr. Wu Xiangyang (武向陽先生), born in 1966, a holder of master's degree in law, an economist, has been an assistant to general manager of the compliance and legal department of the Company since March 2018. Mr. Wu served as a teacher at Huibu Middle School in Fengxin County, Jiangxi Province from July 1985 to September 1987, and an officer of the Publicity Department of the CPC party committee and secretary of the general Communist Youth League branch of electric department at Nanchang Hangkong College from July 1991 to September 1995. He pursued his master's degree in the economic law department of East China University of Political Science and Law from September 1995 to July 1998 and obtained a master's degree in law when graduated. Mr. Wu served as the asset administrator of the legal affairs office of Bank of Communications Shanghai Branch from August 1998 to April 2000. Mr. Wu has served in the following various positions in the Company since January 2001, including: project manager of the investment banking headquarter from January 2001 to September 2002; legal counsel of the general manager office from September 2002 to July 2007; deputy manager of the legal affairs department of the office of general manager from July 2007 to January 2008; deputy manager of the legal compliance department of the compliance office from January 2008 to November 2008; manager of the legal compliance department of the compliance department from November 2008 to March 2010; manager of the compliance inspection department of the compliance department from March 2010 to March 2011; manager of the compliance inspection department of the compliance and risk management headquarters from March 2011 to March 2014; manager of the compliance review department of the compliance and risk management headquarters from March 2014 to August 2015; and assistant to general manager of the compliance and risk management headquarters from August 2015 to May 2017. He has worked in the compliance and legal department since May 2017.

SHAREHOLDER SUPERVISORS

Mr. Xu Renzhong (徐任重先生), born in August 1972, a holder of MBA degree, a senior accountant, has served as manager of the finance department of Shenergy (Group) Company Limited since July 2016. Mr. Xu served as an employee of Shanghai Shenergy Real Estate Company (上海申能房地產公司) from July 1994 to December 1997, and served successively as clerk, deputy head, head, assistant to the manager and deputy manager (in charge of operations) at the finance department of Shenergy Company Limited (listed on the Shanghai Stock Exchange under the stock code of 600642) from January 1998 to October 2009. He served as manager of the internal control department of Shenergy Company Limited (listed on the Shanghai Stock Exchange under the stock code of 600642) from October 2009 to July 2016.

Mr. Cao Yijian (曹奕劍先生), born in 1976, a holder of master's degree in science, an economist, and has served as the general manager of the investment development department of Shanghai Jiushi (Group) Co., Ltd. (上海久事(集團)有限公司) since April 2018. He served as a staff member of Shanghai Huipu Technology Investment Company Limited (上海匯浦科技投資有限公司) from March 2001 to February 2003 and a staff member of Shanghai Qiangsheng Holding Co., Ltd. (上海強生控股股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600662) from February 2003 to July 2003. He served as the manager of the asset management department of Shanghai Huipu Technology Investment Company Limited from July 2003 to July 2007 and a staff member of the asset operation department at Shanghai Qiangsheng Group Co., Ltd. (上海強生集團有限公司) from August 2007 to November 2008. He also worked as an assistant to the manager of the asset operation department of Shanghai Qiangsheng Group Co., Ltd. (上海強生集團有限公司) from November 2008 to June 2009 and the deputy manager of the asset operation department of Shanghai Qiangsheng Group Co., Ltd. (上海強生集團有限公司) from June 2009 to April 2012. He then served as the manager of the asset operation department of Shanghai Qiangsheng Group Co., Ltd. (上海強生集團有限公司) from April 2012 to June 2013 and the manager of the asset operation department at Shanghai Jiushi Properties Co., Ltd. (上海久事置業有限公司) from June 2013 to May 2015. He worked at the investment development department of Shanghai Jiu Shi Company (上海久事公司) from May 2015 to October 2015, serving as the deputy general manager. He was the deputy general manager of the investment development department of Shanghai Jiushi (Group) Co., Ltd. from October 2015 to April 2018. Mr. Cao has been a director of Shanghai Public Traffic Card Co., Ltd. since April 2018, a director of Shanghai Qiangsheng Holding Co., Ltd. since October 2018 and a director of Shenergy Group Company Limited (申能(集團)有限公司) since May 2019.

Ms. Zheng Xiaoyun (鄭小蕓女士), born in 1962, a holder of master's degree in accounting, a senior accountant, has been serving as a Supervisor of the Company since 21 September 2015. She has been the chief financial officer of Shanghai Bailian Group Co., Ltd. (上海百聯集團股份有限公司) (listed on the Shanghai Stock Exchange under the stock code of 600827, the "Shanghai Bailian") since June 2015, and the secretary to the board of Shanghai Bailian since August 2015 and a director of Shanghai Bailian since June 2017. Ms. Zheng held various positions at Shanghai Forever Co., Ltd. (上海永久股份有限公司) where she successively served as the accountant, the assistant to manager and the deputy manager of the finance department from September 1982 to July 1999. From July 1999 to March 2002, she served as the chief financial officer of Shanghai Advertising and Decorating Company (上海廣告裝潢公司). She served as the deputy manager of the finance department of Shanghai Yibai (Group) Co., Ltd. (上海一百(集團)有限公司) from March 2002 to December 2002, served as the chief financial officer of Shanghai Quanfang Investment Management Co., Ltd. (上海全方投資管理有限公司) from December 2002 to October 2003, served as the chief financial officer of general operation department of Shanghai Bailian Group Co., Ltd. From October 2003 to July 2005 and served as the chief financial officer of Shanghai Bailian Investment Management Co., Ltd. (上海百聯投資管理有限公司) from July 2005 to August 2010. She served as the chief financial officer of Shanghai Bailian Group Assets Management Co., Ltd. (上海百聯集團資產經營管理有限公司) from August 2010 to July 2014, served as the chief financial officer of Shanghai Bailian E-Commerce Co., Ltd. (上海百聯電子商務有限公司) from July 2014 to June 2015, and served as a director of Shanghai Baihong Trading Co., Ltd. (上海百紅商業貿易有限公司) and the chairman of the board of directors of Hualian Group Assets Custody Co., Ltd. (華聯集團資產託管有限公司) from March 2014 to July 2014.

Ms. Dai Li (戴麗女士), born in 1973, a holder of master's degree in law, a mid-level economist, has served as the head of the asset operation department of Shanghai United Media Group (上海報業集團) since June 2018. Ms. Dai worked as a teaching assistant intern at Nanyang Institute of Technology (南陽理工學院) from July 1995 to August 1996, a clerk at Nanyang Customs (南陽海關) from August 1996 to August 2000, a legal counsel, head of investment and deputy-director level propagandist of Wenhui Xinmin United Press Group (文匯新民聯合報業集團) from July 2002 to October 2013, the deputy director-level cadre and the deputy director of the asset operation department of Shanghai United Media Group from October 2013 to June 2018. Ms. Dai has served as a director of Shanghai DongJie Advertising Media Co., Ltd. (上海東傑廣告傳媒有限公司) since December 2016, a director of Shanghai Evening News Media Co., Ltd. (上海新聞晚報傳媒有限公司) since November 2017 and the executive director and legal representative of Shanghai Shenjiang Service Guide Post Co., Ltd. (上海申江服務導報社有限公司) since January 2019.

Mr. Feng Huang (馮煌先生), born in 1971, a holder of MBA degree, an economist and an in-house legal counsel, has been serving as a Supervisor of the Company since 30 December 2014. Mr. Feng joined SIIC Investment (Shanghai) Co., Ltd. (上實投資(上海)有限公司) in January 1999 and served in various positions, including the president and director since December 2012, and concurrently the vice chairman since September 2014. Mr. Feng has been a director of Shanghai Lujiazui Finance & Trade Zone United Development Co., Ltd. (上海陸家嘴金融貿易區聯合發展有限公司) since July 2004, the chairman of SIIC Investment Co., Ltd. (上海實業投資有限公司) and Hong Kong South Pacific Hotel Co., Ltd. (南洋酒店(香港)有限公司) since April 2012, the vice chairman of Shanghai Guojin Leasing Co., Ltd. (上海國金租賃有限公司) since January 2014, a director of SIIC Group Finance Co., Ltd. (上海上實集團財務有限公司) since May 2014, the chairman of SIIC Shanghai Asset Operation Co. Ltd. (上海上實資產經營有限公司) since December 2014, the chairman and president of Shanghai SIIC Investment Management Consulting Co., Ltd. (上海上實投資管理諮詢有限公司) since December 2014, a director of SIIC Financial Services Holdings Ltd. (上海上實金融服務控股有限公司) since February 2015, a non-executive director of Shanghai International Shanghai Growth Investment Limited (滬光國際上海發展投資有限公司) (listed on the Hong Kong Exchange, under stock code of 0770) since December 2015, a director of Shanghai Invest and Asset Management Co., Ltd. (上海上投資產經營有限公司) since December 2015, and a director of SIIC Shanghai Venture Capital Co. Ltd. (上海上實創業投資有限公司) since October 2018. Mr. Feng was a Director of the Company from 16 May 2011 to 30 December 2014.