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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code : 812)

CHANGE OF COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) announces that Ms. Tam Lai Kwan Terry (“**Ms. Terry Tam**”) has tendered her resignation as the company secretary of the Company and has ceased to act as an authorised representative of the Company (the “**Authorised Representative**”) under the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) with effect from 13 November 2019. Ms. Terry Tam has confirmed that she has no disagreement with the Board and there is no matter in relation to her resignation that needs to be brought to the attention of the Stock Exchange or the holders of securities of the Company.

The Board further announces that with effect from 13 November 2019, Ms. Tam Kar Bo Carrie (“**Ms. Carrie Tam**”) has been appointed as the company secretary of the Company and an Authorised Representative under the Listing Rules. Ms. Carrie Tam joined the Group in 2011 as the financial controller of the Group. She has worked for international accountancy firm and well-known securities houses for over 20 years and is experienced in the field of auditing and accounting in financial services industry. She obtained a Bachelor’s Degree of Arts in Accountancy and a Master’s Degree in Professional Accountancy from The Hong Kong Polytechnic University. She is a Certified Public Accountant of the Hong Kong Institute of Certified Public Accountants.

The Board would like to express its sincere gratitude to Ms. Terry Tam for her contribution to the Group during her tenure of office and welcome Ms. Carrie Tam for her new appointment.

By Order of the Board

Southwest Securities International Securities Limited

Wu Jian*

Chairman

Hong Kong, 13 November 2019

As at the date of this announcement, the executive directors of the Company are Mr. Wu Jian (Chairman), Mr. Pu Rui* (Chief Executive Officer), Dr. Zhao Mingxun*, Ms. Wang Huiyun* and Mr. Xiong Xiaoqiang*; and the independent non-executive directors of the Company are Professor Wu Jun*, Mr. Meng Gaoyuan* and Dr. Guan Wenwei.*

** For identification purpose only*