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## **Southwest Securities International Securities Limited**

**西證國際證券股份有限公司\***

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

### **RESIGNATION OF FINANCIAL CONTROLLER, CHANGE OF COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE**

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) announces that Ms. Tam Kar Bo Carrie (“**Ms. Tam**”) has tendered her resignation as the financial controller and the company secretary of the Group, and has ceased to act as an authorised representative of the Company under the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) with effect from 22 June 2021. Ms. Tam has confirmed that she has no disagreement with the Board and there is no matter in relation to her resignation that needs to be brought to the attention of the Stock Exchange or the holders of securities of the Company.

The Board further announces that with effect from 22 June 2021, Ms. Yiu Yi Ting (“**Ms. Yiu**”) has been appointed as the company secretary of the Company and an authorised representative under the Listing Rules. Ms. Yiu joined the Group in May 2021 as the deputy head of company secretary and corporate communication department. She graduated from the Hong Kong Polytechnic University in 2010 and was awarded a Master’s Degree in Corporate Governance. Ms. Yiu is a Chartered Secretary, a Chartered Governance Professional and an associate of both The Hong Kong Institute of Chartered Secretaries (HKICS) and The Chartered Governance Institute (CGI) (formerly known as The Institute of Chartered Secretaries and Administrators (ICSA) in the United Kingdom). She has worked in various listed companies which were listed on the Main Board and Growth Enterprise Market of the Stock Exchange, commercial bank and professional firms for around 18 years. Ms. Yiu has extensive experience in corporate secretarial, corporate administration and trustee management services.

The Board would like to express its sincere gratitude to Ms. Tam for her contribution to the Group during her tenure of office and welcome Ms. Yiu for her new appointment.

By Order of the Board  
**Southwest Securities International Securities Limited**  
**Wu Jian**  
*Chairman*

Hong Kong, 21 June 2021

*As at the date of this announcement, the executive directors of the Company are Mr. Wu Jian (Chairman), Dr. Zhao Mingxun and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Dr. Guan Wenwei and Mr. Liang Jilin.*

\* *For identification purpose only*