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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

PROPOSED CHANGE OF AUDITORS

This announcement is made by Southwest Securities International Securities Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) pursuant to Rule 13.51(4) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

The board (the “**Board**”) of directors (the “**Directors**”) of the Company hereby announces that Ernst & Young (“**EY**”) has resigned as the auditors of the Company with effect from 7 July 2021 and, with the recommendation of the audit committee of the Company, a resolution will be proposed to appoint SHINEWING (HK) CPA Limited (“**SHINEWING (HK)**”) as the auditors of the Company to fill the casual vacancy following the resignation of EY at the special general meeting of the Company to be held on 28 July 2021.

EY has confirmed in its letter of resignation dated 7 July 2021 that there are no matters in relation to its resignation that need to be brought to the attention of the shareholders of the Company (“**Shareholders**”) or creditors of the Company. The Board and the Audit Committee of the Company also confirmed that, there is no disagreement between EY and the Company, and there are no other matters in respect of the resignation of EY that need to be brought to the attention of the Shareholders or creditors of the Company.

ShineWing Certified Public Accountants (“**SHINEWING (PRC)**”) is the current auditors of Southwest Securities Co., Ltd. (“**SWSC**”), a controlling shareholder of the Company. The Board believes that by having the same audit alliance with SWSC, the audit process of SWSC, the Company and their respective subsidiaries can be streamlined and more efficient. The Board considers that it would be in the interests of the Company and the Shareholders as a whole to appoint SHINEWING (HK) as the auditors of the Company. Such appointment is subject to the finalisation of SHINEWING (HK)’s normal client acceptance procedures.

The Board would like to take its appreciation to EY for the professional and quality services rendered to the Company in the past years. A circular containing, among other things, information in relation to the proposed appointment of SHINEWING (HK), together with the notice of SGM and the form of proxy, will be despatched to the Shareholders as soon as practicable.

By order of the Board
Southwest Securities International Securities Limited
Wu Jian
Chairman

Hong Kong, 8 July 2021

As at the date of this announcement, the executive directors of the Company are Mr. Wu Jian (Chairman) and Dr. Zhao Mingxun; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Dr. Guan Wenwei and Mr. Liang Jilin.

* *For identification purposes only*