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## HAITONG INTERNATIONAL SECURITIES GROUP LIMITED

海通國際證券集團有限公司

*(Incorporated in Bermuda with limited liability)*

(Stock Code: 665)

### ANNOUNCEMENT

#### (1) CHANGE OF INDEPENDENT NON-EXECUTIVE DIRECTOR; AND (2) CHANGE OF COMPANY SECRETARY AND AUTHORIZED REPRESENTATIVE

The Board of the Company hereby announces that:

1. Ms. LIU Yan has resigned as an independent non-executive director of the Company and has ceased to act as a member of the Nomination Committee with effect from 25 August 2021;
2. Ms. LEE Man Yuen Margaret has been appointed as an independent non-executive director of the Company and a member of the Nomination Committee with effect from 25 August 2021;
3. Mr. LO Wai Ho has retired and ceased to act as the Company Secretary and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Listing Rules and Part 16 of the Companies Ordinance with effect from 25 August 2021; and
4. Mr. LUK Wai Yin has been appointed as the Company Secretary and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Listing Rules and Part 16 of the Companies Ordinance with effect from 25 August 2021.

The board of directors (the “**Board**”) of Haitong International Securities Group Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) hereby announces that (1) Ms. LIU Yan has resigned as an independent non-executive director of the Company and has ceased to act as a member of the nomination committee of the Company (the “**Nomination Committee**”) with effect from 25 August 2021; (2) Ms. LEE Man Yuen Margaret has been appointed as an independent non-executive director of the Company and a member of the Nomination Committee with effect from 25 August 2021; (3) Mr. LO Wai Ho has retired and ceased to act as the company secretary of the Company (the “**Company Secretary**”) and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) (the “**Listing Rules**”) and Part 16 of the Companies Ordinance, Chapter 622 of the Laws of Hong Kong (the “**Companies Ordinance**”) with effect from 25 August 2021; and (4) Mr. LUK Wai Yin has been appointed as the Company Secretary and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Listing Rules and Part 16 of the Companies Ordinance with effect from 25 August 2021.

### **Resignation as Independent Non-executive Director**

The Board announces that Ms. LIU Yan (“**Ms. LIU**”) has resigned as an independent non-executive director of the Company and has ceased to act as a member of the Nomination Committee with effect from 25 August 2021 in order to devote more time to her own career and business.

Ms. LIU has confirmed that there is no disagreement between her and the Board and there is no other matter that needs to be brought to the attention of the shareholders of the Company.

The Board would like to take this opportunity to express its sincere appreciation to Ms. LIU for her valuable contributions to the Group during her tenure of office.

### **Appointment of Independent Non-executive Director**

The Board is pleased to announce that Ms. LEE Man Yuen Margaret (“**Ms. LEE**”) has been appointed as an independent non-executive director of the Company and a member of the Nomination Committee with effect from 25 August 2021.

### **Biographical details of Ms. LEE**

Ms. LEE, aged 68, holds a Bachelor Degree of Commerce from University of Calgary. Ms. LEE has over 30 years of experience in banking industry. From 1998 to 2015, Ms. LEE worked at Standard Chartered Bank (Hong Kong) Limited, mainly responsible for corporate banking business. Ms. LEE is currently an independent non-executive director of Scotiabank (Hong Kong) Limited. Ms. LEE is also an executive committee member of the Belt and Road Youth Foundation Limited, council member of the Hong Kong Family Planning Association and committee member of project management committee for Project Aspire, The Education University of Hong Kong.

Ms. LEE entered into a service contract with the Company for the period from 25 August 2021 to 31 December 2023 (both dates inclusive), subject to the retirement and re-election provisions in the new bye-laws of the Company unless and until terminated by either party giving to the other not less than 3 months’ prior notice in writing to determine the same. Pursuant to the service contract, Ms. LEE will be entitled to receive an annual director’s fee of HK\$350,000, payable every half-yearly in equal amount of HK\$175,000.

Save as disclosed herein, Ms. LEE neither holds other positions in the Company and its subsidiaries nor has any other relationship with any directors, senior management or substantial or controlling shareholder of the Company as at the date of this announcement. In the last three years, Ms. LEE did not hold any directorships in other public companies the securities of which are listed on any securities market in Hong Kong or overseas. As at the date of this announcement, Ms. LEE has no interest in the shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance, Chapter 571 of the Laws of Hong Kong.

Ms. LEE has met the independence guidelines as set out in Rule 3.13 of the Listing Rules. Save as disclosed herein, there is no other matter in connection with the appointment of Ms. LEE that is required to be disclosed pursuant to Rule 13.51(2) of the Listing Rules and there are also no other matters that need to be brought to the attention of the shareholders of the Company.

The Board would like to take this opportunity to welcome Ms. LEE to join the Board of the Company.

### **Change of Company Secretary and Authorized Representative**

The Board further announces that Mr. LO Wai Ho (“**Mr. LO**”) has retired and ceased to act as the Company Secretary and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Listing Rules and Part 16 of the Companies Ordinance with effect from 25 August 2021 (the “**Retirement**”).

Mr. LO confirmed that there is no disagreement between him and the Board and there is no matter relating to his Retirement that needs to be brought to the attention of the shareholders of the Company and the Stock Exchange.

The Board would like to take this opportunity to express its sincere appreciation to Mr. LO for his valuable contributions and services to the Group.

Following the Retirement, the Board is pleased to announce that Mr. LUK Wai Yin (“**Mr. LUK**”) has been appointed as the Company Secretary and the authorized representative of the Company for accepting service of process and notices on behalf of the Company in Hong Kong as required under Rule 19.05(2) of the Listing Rules and Part 16 of the Companies Ordinance with effect from 25 August 2021.

Mr. LUK joined the Group in September 2016 and is currently the Group Head of Finance, responsible for financial management, accounting and tax functions of the Group. He is also a director of various subsidiaries of the Company. Mr. LUK graduated from the Hong Kong University of Science and Technology with a bachelor’s degree in Accounting and holds degrees of Juris Doctor and Master of Laws from the Chinese University of Hong Kong. Mr. LUK has over 15 years of experience in the financial services industry. Prior to joining the Group, Mr. LUK served as the head of finance and accounting department in a financial institution and was a senior manager of assurance department in an international accounting firm. He is a fellow of the Hong Kong Institute of Certified Public Accountants and a member of the Institute of Chartered Accountants in England and Wales. Mr. LUK therefore meets the requirements under Rules 3.28 and 8.17 of the Listing Rules in respect of a company secretary.

The Board would like to take this opportunity to welcome Mr. LUK on his new appointment and responsibilities.

By order of the Board  
**Haitong International Securities Group Limited**  
**LIN Yong**  
*Deputy Chairman and Chief Executive Officer*

Hong Kong, 25 August 2021

*As at the date of this announcement, the Board comprises Mr. QU Qiuping (Chairman)\*, Mr. LIN Yong (Deputy Chairman and Chief Executive Officer), Mr. LI Jianguo (Deputy Chairman), Mr. POON Mo Yiu, Mr. SUN Jianfeng, Mr. SUN Tong, Mr. CHENG Chi Ming Brian\*, Mr. ZHANG Xinjun\*, Mr. WAN Kam To\*\*, Mr. LIU Swee Long Michael\*\*, Mr. ZHANG Huaqiao\*\* and Ms. LEE Man Yuen Margaret\*\*.*

\* *Non-executive directors*

\*\* *Independent non-executive directors*