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GF SECURITIES CO., LTD.

廣發証券股份有限公司

(A joint stock company incorporated in the People's Republic of China with limited liability)

(Stock Code: 1776)

CHANGE OF JOINT COMPANY SECRETARY, AUTHORIZED REPRESENTATIVE AND PROCESS AGENT

The board of directors (the “**Board**”) of GF Securities Co., Ltd. (the “**Company**” and together with its subsidiaries, the “**Group**”) hereby announces that, because of changes in job arrangement, Mr. Wan Ka Hung (“**Mr. Wan**”) has tendered his resignation from the Group and applied for resignation as a joint company secretary of the Company (the “**Joint Company Secretary**”), an authorized representative of the Company (the “**Authorized Representative**”) under Rule 3.05 of the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) and an authorized representative of the Company under the Companies Ordinance (Cap. 622 of the Laws of Hong Kong) for acceptance of service of process or notices on behalf of the Company in Hong Kong (the “**Process Agent**”) with effect from August 27, 2021. Mr. Wan confirms that there is no disagreement between himself and the Board and there is no other matter in relation to his resignations that needs to be brought to the attention to the shareholders of the Company or the Stock Exchange.

The Board is pleased to announce that Ms. Mok Ming Wai (“**Ms. Mok**”) has been appointed as the Joint Company Secretary, the Authorized Representative and the Process Agent with effect from August 27, 2021. Ms. Mok is currently an executive director of the Corporate Services Division of Tricor Services Limited, an integrated provider offering business, corporate and investor services. Ms. Mok has over 25 years of experience in the corporate secretarial field and has been providing professional corporate services to Hong Kong listed companies as well as multinational, private and offshore companies. Ms. Mok is a Chartered Secretary, a Chartered Governance Professional and a fellow of both The Hong Kong Chartered Governance Institute (formerly The Hong Kong Institute of Chartered Secretaries) and The Chartered Governance Institute (formerly The Institute of Chartered Secretaries and Administrators).

After the aforesaid changes, Mr. Xu Youjun (“**Mr. Xu**”) will continue to serve as the other Joint Company Secretary.

In view of Mr. Wan's resignation and since Mr. Xu does not possess the qualifications of company secretary as required under Rule 3.28 of the Listing Rules, the Company has applied for, and the Stock Exchange has granted, a new waiver (the "**New Waiver**") from strict compliance with the requirements under Rule 3.28 and Rule 8.17 of the Listing Rules and in relation to the eligibility of Mr. Xu to act as the Joint Company Secretary for a period from August 27, 2021 to May 28, 2022 (the "**Remaining Waiver Period**") on the conditions that:

- (i) Mr. Xu will be assisted by Ms. Mok during the Remaining Waiver Period; and
- (ii) the New Waiver could be revoked if there are material breaches of the Listing Rules by the Company.

The Stock Exchange may withdraw or change the New Waiver if the Company's situation changes. Before the end of the Remaining Waiver Period, the Company must demonstrate and seek the confirmation from the Stock Exchange that Mr. Xu, having had the benefit of Ms. Mok's assistance during the Remaining Waiver Period, has attained the relevant experience and is capable of discharging the functions of company secretary under Rule 3.28 of the Listing Rules such that a further waiver will not be necessary.

By order of the Board
GF Securities Co., Ltd.
Lin Chuanhui
Chairman

Guangzhou, the PRC
August 27, 2021

As at the date of this announcement, the Board of the Company comprises Mr. Lin Chuanhui, Ms. Sun Xiaoyan and Mr. Qin Li as executive directors; Mr. Li Xiulin, Mr. Shang Shuzhi and Mr. Guo Jingyi as non-executive directors; and Mr. Fan Lifu, Mr. Hu Bin, Ms. Leung Shek Ling Olivia and Mr. Li Wenjing as independent non-executive directors.