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**Bank of Guizhou Co., Ltd. \***

**貴州銀行股份有限公司 \***

*(A joint stock company incorporated in the People's Republic of China with limited liability)*

**(Stock Code: 6199)**

**CHANGE OF JOINT COMPANY SECRETARY  
AND PROCESS AGENT  
AND**

**WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND  
8.17 OF THE LISTING RULES**

Bank of Guizhou Co., Ltd.\* (the “**Bank**”) hereby announces that Mr. Lei Kin Keong (“**Mr. Lei**”) has resigned from his positions as (i) one of the joint company secretaries of the Bank (the “**Joint Company Secretary**”); and (ii) the agent for the acceptance of service of process and notices on behalf of the Bank in Hong Kong under Rule 19A.13(2) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) (the “**Listing Rules**”) and Part 16 of the Companies Ordinance (Chapter 622 of the Laws of Hong Kong) (the “**Process Agent**”), with effect from 27 December 2021. Mr. Lei has confirmed that there is no disagreement between him and the board of directors (the “**Board**”) of the Bank and there are no matters that need to be brought to the attention of the Stock Exchange or the shareholders of the Bank in relation to his resignation.

The Board is pleased to further announce that Dr. Ngai Wai Fung Maurice (魏偉峰) (“**Dr. Ngai**”) has been appointed as a Joint Company Secretary and the Process Agent with effect from 27 December 2021, and Dr. Ngai will assist the Bank’s Joint Company Secretary, Mr. Zhou Guichang (“**Mr. Zhou**”), to discharge his functions as a Joint Company Secretary and gain the “relevant experience” as required under Rule 3.28 of the Listing Rules.

The biographical details of Dr. Ngai are set out below:

Dr. Ngai is the Director and Group Chief Executive Officer of SWCS Corporate Services Group (Hong Kong) Limited. Dr. Ngai has over 30 years of professional practice and senior management experience including acting as the executive director, chief financial officer and company secretary, most of which are in the areas of finance, accounting, internal control and risk management, regulatory compliance, corporate governance and company secretarial work for listed issuers including major red chips companies. Dr. Ngai is a Fellow of the Hong Kong Chartered Governance Institute (formerly known as the Hong Kong Institute of Chartered Secretaries), a Fellow of the Chartered Governance Institute (formerly known as the Institute of Chartered Secretaries and Administrators), a member of HKICPA, a Fellow of Association of Chartered Certified Accountants in the United Kingdom and a member of the Chartered Institute of Arbitrators. Dr. Ngai obtained a Doctoral Degree in Economics (Finance) at Shanghai University of Finance and Economics, a Master's Degree in Corporate Finance from Hong Kong Polytechnic University, a Master's Degree in Business Administration from Andrews University of Michigan and a Bachelor's Degree in Law (Honours) at University of Wolverhampton.

#### **WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE LISTING RULES**

Reference is made to the waiver (the “**Waiver**”) granted to the Bank by the Stock Exchange from strict compliance with the requirements of Rules 3.28 and 8.17 of the Listing Rules in respect of the eligibility of Mr. Zhou to act as a Joint Company Secretary for three-years period from the date of the Bank's listing (i.e. 30 December 2019) to 29 December 2022 (the “**Waiver Period**”), on the condition that Mr. Lei shall serve as a Joint Company Secretary and assist Mr. Zhou in gaining the “relevant experience” as required under Rule 3.28 of the Listing Rules and in discharging his functions as a Joint Company Secretary.

Given the abovementioned condition of the Waiver could no longer be fulfilled following the resignation of Mr. Lei, the Bank has applied to the Stock Exchange and has already been granted with a new waiver (the “**New Waiver**”) by the Stock Exchange from strict compliance with the requirements under Rules 3.28 and 8.17 of the Listing Rules for the remaining period of the Waiver Period, i.e. from the date of appointment of Dr. Ngai as a Joint Company Secretary (i.e. 27 December 2021) to 29 December 2022 (the “**New Waiver Period**”). The New Waiver is granted on the conditions that (i) Mr. Zhou must be assisted by Dr. Ngai during the New Waiver Period; and (ii) the New Waiver could be revoked if there are material breaches of the Listing Rules by the Bank.

Before the end of the New Waiver Period, the Bank must demonstrate and seek the Stock Exchange's confirmation that Mr. Zhou, having had the benefit of Dr. Ngai's assistance during the New Waiver Period, has attained the relevant experience and is capable of discharging the functions of company secretary under Rule 3.28 of the Listing Rules such that a further waiver will not be necessary.

The Board would like to take this opportunity to express its gratitude to Mr. Lei for his valuable contribution to the Bank during his tenure of service, and to welcome Dr. Ngai on his new appointment.

By order of the Board  
**Bank of Guizhou Co., Ltd.**  
**YANG Mingshang**  
*Chairman*

Guiyang, the PRC, 27 December 2021

*As at the date of this announcement, the Board of the Bank comprises Mr. Yang Mingshang, Mr. Xu An and Mr. Cai Dong as executive Directors; Mr. Chen Jingde, Mr. Chen Hanqing, Mr. Chen Hua, Mr. Wang Xiaoyong, Ms. Gong Taotao, Mr. Wang Wencheng and Mr. Zhao Yong as non-executive Directors; and Mr. Tang Xin, Mr. Wang Gefan, Mr. Song Ke and Mr. Law Cheuk Kin Stephen as independent non-executive Directors.*

\* *Bank of Guizhou Co., Ltd. is not an authorized institution within the meaning of the Banking Ordinance (Chapter 155 of the Laws of Hong Kong), not subject to the supervision of the Hong Kong Monetary Authority, and not authorized to carry on banking and/or deposit-taking business in Hong Kong.*