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**国联证券股份有限公司**  
GUOLIAN SECURITIES CO., LTD.

*(a joint stock limited company established in the People's Republic of China with limited liability)*

**(Stock Code: 01456)**

## **CHANGE OF JOINT COMPANY SECRETARIES, AUTHORISED REPRESENTATIVE AND PROCESS AGENT**

The board of directors (the “**Board**”) of Guolian Securities Co., Ltd. (the “**Company**”) hereby announces that (i) Ms. Lin Fanyu (“**Ms. Lin**”) has tendered her resignation as the company secretary of the Company and ceased to act as an authorised representative of the Company (the “**Authorised Representative**”) under Rule 3.05 of the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”); and (ii) Ms. Wong Wai Ling (“**Ms. Wong**”) has tendered her resignation as the authorised person of the Company under Part 16 of the Companies Ordinance (Chapter 622 of the Laws of Hong Kong) and Rule 19A.13(2) of the Listing Rules for accepting service of process or notices on behalf of the Company in Hong Kong (the “**Process Agent**”), with effect from 27 October 2022.

Ms. Lin and Ms. Wong confirmed that they have no disagreement with the Board and there is no other matter relating to their resignations that needs to be brought to the attention of the shareholders of the Company or the Stock Exchange.

The Board is pleased to announce that:

1. Ms. Zhang Xiao (張瀟) (“**Ms. Zhang**”) has been appointed as a joint company secretary (the “**Joint Company Secretary**”), an Authorised Representative and the Process Agent of the Company, with effect from 27 October 2022; and

2. Ms. Hui Yu (惠宇) (“**Ms. Hui**”) has been appointed as a Joint Company Secretary of the Company.

Pursuant to Rule 8.17 of the Listing Rules, the Company must appoint a company secretary who meets the requirements under Rule 3.28 of the Listing Rules. Pursuant to Rule 3.28 of the Listing Rules, the Company must appoint an individual as its company secretary who, by virtue of his/her academic or professional qualifications or relevant experience, is, in the opinion of the Stock Exchange, capable of discharging the duties of a company secretary. As Ms. Hui does not possess the requisite qualifications under Rule 3.28 of the Listing Rules, the Company will apply to the Stock Exchange for a waiver from strict compliance with the requirements under Rules 8.17 and 3.28 of the Listing Rules (the “**Waiver**”). The appointment of Ms. Hui will take effect from the date when the Waiver is granted by the Stock Exchange.

The biographical details of Ms. Hui and Ms. Zhang are set out as follows respectively:

Ms. Hui holds a Bachelor’s Degree in Economics from the Nanjing University and a Master’s Degree in Economics from the University of Illinois at Urbana-Champaign in the United States. She joined the Company in 2012 and held various positions in the Company successively: from August 2012 to August 2019, she served as a business manager, a senior business manager and the person in charge of the ongoing supervision business of the over-the-counter (“OTC”) market department of the Company; from September 2019 to April 2020, she served as a senior vice president of Hua Ying Securities Co., Ltd.\* (華英證券有限責任公司), a wholly-owned subsidiary of the Company; and from May 2020 to September 2022, she served as a credit assessor for a stock-pledged repurchase business of the Wealth Management Headquarters of the Company. Ms. Hui currently serves in the Board Office of the Company.

Ms. Zhang is an assistant vice president of SWCS Corporate Services Group (Hong Kong) Limited and has over nine years of experience in the corporate secretarial sector. Ms. Zhang has been admitted as an associate member of both The Hong Kong Chartered Governance Institute and The Chartered Governance Institute in the United Kingdom in 2019. Ms. Zhang obtained a Bachelor’s Degree in Computer Science from The Chinese University of Hong Kong in 2010 and a Master’s Degree in Corporate Governance from the Hong Kong Metropolitan University in 2018.

The Company will make further announcements on the progress of the Waiver as and when appropriate.

The Board would like to take this opportunity to express its gratitude to Ms. Lin and Ms. Wong for their contributions to the Company during their tenures of service and welcome Ms. Hui and Ms. Zhang on their new appointments.

By order of the Board  
**Guolian Securities Co., Ltd.**  
**Ge Xiaobo**  
*Chairman*

Wuxi, Jiangsu Province, the PRC  
27 October 2022

*As of the date of this announcement, the executive director of the Company is Mr. Ge Xiaobo; the non-executive directors of the Company are Mr. Hua Weirong, Mr. Zhou Weiping, Mr. Wu Weihua, Ms. Li Suo and Mr. Liu Hailin; and the independent non-executive directors of the Company are Mr. Wu Xingyu, Mr. Chu, Howard Ho Hwa and Mr. Gao Wei.*

\* *For identification purpose only*