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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

CHANGE OF INDEPENDENT NON-EXECUTIVE DIRECTORS AND CHANGE IN COMPOSITION OF BOARD COMMITTEES AND CHANGE OF COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”) hereby announces that, Dr. Guan Wenwei (“**Dr. Guan**”) has resigned from his role as an independent non-executive director of the Company with effect from 1 November 2022 due to his desire to devote more time to his personal affairs.

Dr. Guan has confirmed that, as at the date of this announcement, he has no disagreement with the Board and is not aware of any matter relating to his resignation that needs to be brought to the attention of the shareholders of the Company or The Stock Exchange of Hong Kong Limited (“**Hong Kong Stock Exchange**”).

The Board would like to express its sincere gratitude to Dr. Guan for his contribution to the Company during his terms of office.

APPOINTMENT OF INDEPENDENT NON-EXECUTIVE DIRECTOR AND COMMITTEE MEMBERS

The Board is pleased to announce that Mr. Cao Ping (“**Mr. Cao**”) has been appointed as an independent non-executive director, the chairman of the remuneration committee, a member of the audit committee and a member of the nomination committee of the Company with effect from 1 November 2022.

* *For identification purpose only*

Mr. Cao

Mr. Cao, aged 54, is currently a solicitor, partner and director of Chongqing Senswins Solicitors (重慶盛世文輝律師事務所). He was re-elected as the chairman of the Chongqing Branch of the British Chamber of Commerce Southwest China, and the director of foreign-related professional committee of the Chongqing Lawyers Association and WTO. Mr. Cao has been the director of mediation professional committee of the Chongqing Lawyers Association since 2020; he has been the secretary-general of the Chongqing Liangjiang International Business Mediation Centre (重慶市兩江國際商務調解中心) since 2021. After obtaining a master's degree in English from Sichuan International Studies University in 1992, Mr. Cao obtained the qualification to practice law in China in 1995. In 1999, he attended the School of Oriental and African Studies at the University of London and received his training at Clifford Chance, Fountain Court Chambers, and Gallant in Hong Kong. Since then, Mr. Cao has served as a solicitor and partner of Z & Z Law Firm in Chongqing, a solicitor in the Guangzhou representative office of Pinsent Masons in United Kingdom, and a solicitor of in the Shanghai representative office of Cameron Mckenna. Mr. Cao continued his studies at Temple University Beasley School of Law in the United States and Tsinghua University in 2015 and became a member of the All China Lawyers Association's leading foreign lawyer talent pool in 2015. He joined the All China Lawyers Association's One Belt One Road Lawyers Expert Bank in 2016. Mr. Cao has over 20 years of rich experience in foreign-related legal affairs, international finance, foreign trade, energy, domestic and foreign infrastructure, real estate development and other fields.

Pursuant to a director's service agreement entered into between Mr. Cao and the Company, he was appointed for a term of three years with effect from 1 November 2022. He is subject to retirement by rotation and re-election in accordance with the Company's Bye-laws. Pursuant to the director's service agreement, Mr. Cao is entitled to receive a director's fee of HK\$204,000 per annum, which was determined with reference to his experience and responsibilities, prevailing market conditions and the recommendation of the remuneration committee of the Company.

Save as disclosed above, Mr. Cao (i) did not hold any directorship in the last three years in other public companies in Hong Kong or overseas, the securities of which are listed on any securities market in Hong Kong or overseas, nor other major appointments or professional qualifications; (ii) did not hold any other position with the Group; (iii) did not have any relationship with any directors, senior management or substantial or controlling shareholders of the Company; and (iv) did not have any interests in shares or underlying shares of the Company as defined in Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Save as disclosed above, there is no further information required to be disclosed pursuant to the requirements of Rules 13.51(2) of the Listing Rules and there are no other matters relating to the appointment of Mr. Cao that need to be brought to the attention of the holders of securities of the Company.

The Board would like to express its warm welcome to Mr. Cao for joining the Board.

CHANGE IN COMPOSITION OF BOARD COMMITTEES

The Board hereby further announces that, as Dr. Guan resigned as an independent non-executive director, he ceased to be the chairman of the remuneration committee, a member of the audit committee and a member of the nomination committee of the Company. Mr. Cao, an independent non-executive director, has been appointed as the chairman of the remuneration committee, a member of the audit committee and a member of the nomination committee of the Company with effect from 1 November 2022.

The Board would like to express its great appreciation to Dr. Guan for his valuable contribution to the Company.

CHANGE OF COMPANY SECRETARY AND AUTHORISED REPRESENTATIVE

The Board further announces that Ms. Yiu Yi Ting (“**Ms. Yiu**”) has resigned from her roles as the company secretary of the Group and ceased to be an authorised representative of the Company under the Rules Governing the Listing of Securities on Hong Kong Stock Exchange (the “**Listing Rules**”), with effect from 1 November 2022. Ms. Yiu has confirmed that she has no disagreement with the Board and there is no matter relating to her resignation that needs to be brought to the attention of the Hong Kong Stock Exchange or the holders of securities of the Company.

The Board further announces that Ms. Li Peihua (“**Ms. Li**”) has been appointed as the company secretary of the Company and an authorised representative under the Listing Rules, with effect from 1 November 2022. Ms. Li graduated from Hong Kong University of Science and Technology in 2012 with a Master of Arts in Social Sciences. Ms. Li is an associate of the Hong Kong Chartered Governance Institute (formerly known as the Hong Kong Institute of Chartered Secretaries) and the Chartered Governance Institute (formerly known as the Institute of Chartered Secretaries and Administrators). Ms. Li has extensive experience in company secretarial, corporate governance, investor relations matters and corporate management.

The Board would like to express its sincere gratitude to Ms. Yiu for her contribution to the Group during her tenure of office and express its warm welcome to Ms. Li for her newly appointed positions.

By Order of the Board
Southwest Securities International Securities Limited
Zhang Hongwei
Chairman

Hong Kong, 1 November 2022

As at the date of this announcement, the executive directors of the Company are Mr. Zhang Hongwei (Chairman) and Mr. Huang Changsheng; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.