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国联证券股份有限公司
GUOLIAN SECURITIES CO., LTD.

(a joint stock limited company established in the People's Republic of China with limited liability)

(Stock Code: 01456)

APPOINTMENT OF JOINT COMPANY SECRETARY AND WAIVER FROM STRICT COMPLIANCE WITH RULES 3.28 AND 8.17 OF THE LISTING RULES

Reference is made to the announcement (the “**Announcement**”) of Guolian Securities Co., Ltd. (the “**Company**”) dated 27 October 2022 in relation to, among others, change of joint company secretaries. Unless otherwise defined herein, capitalised terms used in this announcement shall have the same meanings as those defined in the Announcement.

As stated in the Announcement, the appointment of Ms. Hui Yu (惠宇) (“**Ms. Hui**”) will take effect from the date when the Waiver is granted by the Stock Exchange. The board of directors (the “**Board**”) of the Company is pleased to announce that the Stock Exchange has granted the Waiver on 4 November 2022, which is valid for a period of three years from the date of the Waiver (the “**Waiver Period**”). Therefore, the appointment of Ms. Hui as a joint company secretary of the Company took effect on 4 November 2022. The Waiver is on the following conditions:

- (i) Ms. Hui must be assisted by Ms. Zhang Xiao (張瀟) (“**Ms. Zhang**”) as a joint company secretary of the Company during the Waiver Period; and
- (ii) The Waiver could be revoked if there are material breaches of the Listing Rules by the Company.

Before the end of the Waiver Period, the Company must demonstrate and seek the confirmation from the Stock Exchange that Ms. Hui, having had the benefit of Ms. Zhang's assistance during the Waiver Period, has attained the relevant experience and is capable of discharging the functions of company secretary under Rule 3.28 of the Listing Rules such that a further waiver will not be necessary.

The Waiver applies to Ms. Hui's appointment as a joint company secretary of the Company only. The Stock Exchange may withdraw or change the Waiver if the Company's situation changes.

For the biographical details of Ms. Hui and Ms. Zhang, please refer to the Announcement. As of the date of this announcement, there is no change in such information.

By order of the Board
Guolian Securities Co., Ltd.
Ge Xiaobo
Chairman

Wuxi, Jiangsu Province, the PRC
4 November 2022

As of the date of this announcement, the executive director of the Company is Mr. Ge Xiaobo; the non-executive directors of the Company are Mr. Hua Weirong, Mr. Zhou Weiping, Mr. Wu Weihua, Ms. Li Suo and Mr. Liu Hailin; and the independent non-executive directors of the Company are Mr. Wu Xingyu, Mr. Chu, Howard Ho Hwa and Mr. Gao Wei.