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(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as "東方證券" (in Chinese) and "DFZQ" (in English))

(Stock Code: 03958)

**POLL RESULTS OF THE EXTRAORDINARY GENERAL MEETING HELD ON
NOVEMBER 22, 2024**

**AMENDMENTS TO THE ARTICLES OF ASSOCIATION
APPOINTMENT OF MEMBERS OF THE SIXTH SESSION OF THE BOARD
APPOINTMENT OF MEMBERS OF THE SIXTH SESSION OF THE
SUPERVISORY COMMITTEE
ROLES OF MEMBERS OF THE SIXTH SESSION OF THE BOARD
APPOINTMENT OF JOINT COMPANY SECRETARIES
APPOINTMENT OF AUTHORIZED REPRESENTATIVES**

I VOTING RESULTS OF THE EGM

References are made to the notice and the circular (the **"Circular"**) of the extraordinary general meeting (the **"EGM"**) dated October 31, 2024 of 東方證券股份有限公司 (the **"Company"**). Unless otherwise defined herein, capitalized terms used in this announcement shall have the same meanings as those defined in the Circular.

The Board of Directors (the **"Board"**) of the Company is pleased to announce that the EGM was held at Meeting Room, 15/F, No. 119 South Zhongshan Road, Shanghai, the PRC on Friday, November 22, 2024 at 2:00 p.m.

The EGM was convened by the Board and chaired by Mr. JIN Wenzhong, the chairman of the Board. All thirteen Directors of the Company attended the meeting (being Mr. JIN Wenzhong, Mr. GONG Dexiong and Mr. LU Weiming, the executive Directors of the Company, Mr. YU Xuechun, Mr. ZHOU Donghui, Ms. LI Yun, Mr. REN Zhixiang and Ms. ZHU Jing (the employee representative Director of the Company), the non-executive Directors of the Company, and Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai, the independent non-executive Directors of the Company); and all eight Supervisors of the Company attended the meeting (being Mr. WU Junhao, Mr. XU Yongmiao, Mr. SHEN Guangjun and Mr. LING Yun, the shareholder representative Supervisors of the Company, Mr. DU Weihua, Ms. RUAN Fei and Ms. DING Yan, the employee representative Supervisors of the Company and Mr. XIA Lijun, the independent Supervisor of the Company). The secretary to the Board attended the EGM and some members of the senior management were also present. Voting at the EGM was conducted by a combination of network voting and poll on-site. The holders of A Shares were entitled to attend the voting at the EGM in person, by proxy, or via network for the relevant resolutions. The time of network voting for the resolutions proposed at the EGM for holders of A Shares on November 22, 2024 was set out in the notice of the EGM to holders of A Shares dated October 31, 2024 and published on the website of Shanghai Stock Exchange separately. Computershare Hong Kong Investor Services Limited, the Company's H Share Registrar, two representatives from the Company's Shareholders, a Supervisor of the Company and two representatives from Grandall Law Firm (Shanghai) were appointed by the Company as the scrutineers for the vote-taking at the EGM.

As at the date of the EGM, the total number of issued shares of the Company was 8,496,645,292 (including 7,469,482,864 A Shares and 1,027,162,428 H Shares), 8,461,801,968 of which was the total number of shares entitling the holders to attend and vote for or against or abstain from voting in respect of the resolutions at the EGM. 34,843,324 A Shares in the Company's designated securities account for repurchase did not carry voting rights at the EGM, and the Company did not solicit voting in respect of the aforesaid treasury Shares at the EGM. No Shareholder was required to abstain from voting on any resolution proposed at the EGM under the Hong Kong Listing Rules. No Shareholder who was entitled to attend the EGM had to abstain from voting in favour of any resolution at the EGM pursuant to Rule 13.40 of the Hong Kong Listing Rules. No parties indicated their intention in the Circular to vote against or abstain from voting in respect of any resolution proposed at the EGM.

A total of 1,207 Shareholders and their proxies, holding an aggregate of 3,432,027,828 shares with voting rights, representing approximately 40.5591% of the total shares with voting rights of the Company, in which, 1,206 Shareholders of A Shares and their proxies, holding an aggregate of 3,352,251,264 shares, representing approximately 39.6163% of the total shares with voting rights of the Company while one Shareholder of H Shares and his/her/its proxies, holding an aggregate of 79,776,564 shares, representing approximately 0.9428% of the total shares with voting rights of the Company, attended the EGM.

POLL RESULTS OF THE EGM

The Shareholders present at the EGM considered and approved the following resolutions:

SPECIAL RESOLUTION			For		Against		Abstain	
			Shares	Percentage (%)	Shares	Percentage (%)	Shares	Percentage (%)
1.	To consider and approve the resolution in relation to the amendments to certain provisions of the Articles of Association.	A Shares	3,349,414,790	99.9154	1,725,070	0.0515	1,111,404	0.0331
		H Shares	79,776,564	100.0000	0	0.0000	0	0.0000
		Total	3,429,191,354	99.9174	1,725,070	0.0503	1,111,404	0.0323
As more than two-thirds of the votes were cast in favor of this resolution, the resolution was duly passed as a special resolution.								
ORDINARY RESOLUTIONS THROUGH CUMULATIVE VOTING SYSTEM						Cumulative Voting No. of Votes For (Percentage of the total number of shares with voting rights held by all attending Shareholders and proxies) (%)		
2.	To consider and approve the resolution regarding the election of directors (excluding independent non-executive directors) of the sixth session of the board of directors (the “Directors”) of the Company (the “Board”), including:					a total of 9 Directors (excluding independent non-executive Directors) to be elected		
2.01	To consider and approve the election of Mr. GONG Dexiong as an executive Director;					3,413,801,552 (99.4689%)		
2.02	To consider and approve the election of Mr. LU Weiming as an executive Director;					3,419,381,009 (99.6315%)		
2.03	To consider and approve the election of Mr. LU Dayin as an executive Director;					3,412,939,603 (99.4438%)		
2.04	To consider and approve the election of Mr. XIE Weiqing as a non-executive Director;					3,411,767,344 (99.4097%)		
2.05	To consider and approve the election of Mr. YANG Bo as a non-executive Director;					3,411,784,250 (99.4102%)		
2.06	To consider and approve the election of Mr. SHI Lei as a non-executive Director;					3,411,800,346 (99.4106%)		
2.07	To consider and approve the election of Ms. LI Yun as a non-executive Director;					3,411,763,450 (99.4096%)		
2.08	To consider and approve the election of Mr. XU Yongmiao as a non-executive Director; and					3,411,695,085 (99.4076%)		
2.09	To consider and approve the election of Mr. REN Zhixiang as a non-executive Director.					3,411,854,623 (99.4122%)		
As more than half of the votes were cast in favor of this resolution, the resolution was duly passed as an ordinary resolution.								
3.	To consider and approve the resolution regarding the election of independent non-executive Directors of the sixth session of the Board of the Company, including:					a total of 5 independent non-executive Directors to be elected		
3.01	To consider and approve the election of Mr. WU Hong as an independent non-executive Director;					3,402,349,668 (99.1353%)		
3.02	To consider and approve the election of Mr. FENG Xingdong as an independent non-executive Director;					3,414,164,867 (99.4795%)		
3.03	To consider and approve the election of Mr. LUO Xinyu as an independent non-executive Director;					3,420,012,474 (99.6499%)		
3.04	To consider and approve the election of Mr. CHAN Hon as an independent non-executive Director; and					3,422,583,150 (99.7248%)		
3.05	To consider and approve the election of Mr. ZHU Kai as an independent non-executive Director.					3,417,066,191 (99.5641%)		
As more than half of the votes were cast in favor of this resolution, the resolution was duly passed as an ordinary resolution.								

ORDINARY RESOLUTIONS THROUGH CUMULATIVE VOTING SYSTEM		Cumulative Voting No. of Votes For (Percentage of the total number of shares with voting rights held by all attending Shareholders and proxies) (%)
4.	To consider and approve the resolution regarding the election of shareholder representative supervisors (the “Supervisors”) of the sixth session of the supervisory committee of the Company (the “Supervisory Committee”), including:	a total of 4 shareholder representative Supervisors to be elected
4.01	To consider and approve the election of Mr. LIU Wei as a shareholder representative Supervisor;	3,409,033,063 (99.3300%)
4.02	To consider and approve the election of Ms. DU Xinhong as a shareholder representative Supervisor;	3,421,964,943 (99.7068%)
4.03	To consider and approve the election of Mr. SHEN Guangjun as a shareholder representative Supervisor; and	3,409,009,240 (99.3293%)
4.04	To consider and approve the election of Mr. LING Yun as a shareholder representative Supervisor.	3,423,122,838 (99.7405%)
As more than half of the votes were cast in favor of this resolution, the resolution was duly passed as an ordinary resolution.		

Please refer to the Circular for full text of the resolutions.

ATTESTATION BY LAWYERS

Grandall Law Firm (Shanghai), the PRC legal advisers to the Company, consider that the convening and convocation procedures of the EGM are in accordance with the relevant laws, regulations and administrative documents as well as the Articles of Association; the qualifications of conveners of and the attendees at the EGM are lawful and valid; the voting procedures and poll results of the EGM are in accordance with the relevant laws, regulations and administrative documents as well as the Articles of Association; and the resolutions duly passed at the EGM are lawful and valid.

II AMENDMENTS TO THE ARTICLES OF ASSOCIATION

The proposal in relation to the amendments to certain provisions of the Articles of Association has been duly passed as a special resolution at the EGM. For details of the amendments, please refer to the Circular. The amended Articles of Association will take effect from the date of this announcement. The full text of the amended Articles of Association is available on the websites of the Shanghai Stock Exchange (<http://www.sse.com.cn>), The Stock Exchange of Hong Kong Limited (<http://www.hkexnews.hk>) and the Company (<http://www.dfzq.com.cn>). The Company will complete filing and registration and other matters in accordance with laws as required.

III APPOINTMENT OF MEMBERS OF THE SIXTH SESSION OF THE BOARD

In view of expiry of the term of office of the fifth session of the Board of the Company, after consideration at the EGM, Mr. GONG Dexiong, Mr. LU Weiming and Mr. LU Dayin were elected as the executive Directors of the sixth session of the Board, Mr. XIE Weiqing, Mr. YANG Bo, Mr. SHI Lei, Ms. LI Yun, Mr. XU Yongmiao and Mr. REN Zhixiang were elected as the non-executive Directors of the sixth session of the Board, and Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai were elected as the independent non-executive Directors of the sixth session of the Board.

Among the members of the sixth session of the Board, in addition to the Directors elected above, Mr. SUN Weidong was recently elected by the employees of the Company at the employees' representative congress as an employee representative Director (together with the above Directors considered and elected at the EGM, collectively, the “**Elected Directors**”) and joined the sixth session of the Board directly. All members of the sixth session of the Board shall serve a term of three years with effect from the date of this announcement.

The biographical details for the Elected Directors of the sixth session of the Board are set out in Annex I to this announcement. As at the date of this announcement and to the best of the Board's knowledge, save as disclosed in this announcement, the Elected Directors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years. As at the date of this announcement, the Elected Directors do not have other relationship with any Directors, Supervisors, senior management or substantial Shareholders of the Company. The Elected Directors do not directly have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). There is no other information in relation to the appointments of the Elected Directors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2)(h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the Shareholders of the Company. The Elected Directors have not been subject to any penalties imposed by the China Securities Regulatory Commission or other relevant authorities or disciplinary actions imposed by the stock exchanges in the past three years.

The Company will enter into a service agreement with each of the Elected Directors. Unless adjustment is required by the relevant applicable laws and regulations, the terms of office of the Elected Directors shall end on the expiry date of the terms of office of the sixth session of the Board. Mr. GONG Dexiong will not receive remuneration from the Company during his term of office as an executive Director, and the remuneration of Mr. LU Weiming and Mr. LU Dayin will be determined in accordance with relevant rules on remuneration and performance of management members of the Company during their terms of office as executive Directors. Mr. XIE Weiqing, Mr. YANG Bo, Mr. SHI Lei, Ms. LI Yun, Mr. XU Yongmiao and Mr. REN Zhixiang will not receive remuneration from the Company during their terms of office as non-executive Directors. The remuneration of Mr. SUN Weidong will be determined in accordance with the remuneration and assessment system of the Company during his term of office as an employee representative Director (non-executive Director) of the Company. The remuneration of Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai will be determined in accordance with the resolution in relation to the Adjustment of Remuneration of the Independent Directors of the Company during their terms of office as independent non-executive Directors. The Company will disclose the details of Directors' remuneration in the annual report. The above independent non-executive Directors are selected by the Company after considering the diversity of Board members in several aspects, including but not limited to age, cultural and educational background, professional experience, skills and knowledge as well as their experience and contribution to be provided to the Board. As at the date of this announcement, to the best knowledge of the Board, the Board is of the view that all candidates for independent non-executive Directors meet the independence guidelines set out in Rule 3.13 of the Hong Kong Listing Rules and are independent in accordance with the terms of the guidelines. In particular, each of Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai has confirmed that:

- (1) he has satisfied the independence requirements in relation to each of the factors set out in Rules 3.13(1) to (8) of the Hong Kong Listing Rules;
- (2) he has no past or present financial or other interests in the business of the Company or its subsidiaries and is not connected with any core connected persons (as defined in the Hong Kong Listing Rules) of the Company; and
- (3) there is no other factor which may affect his independence.

Due to the election of the new session of the Board, among the Directors of the fifth session of the Board, Mr. JIN Wenzhong, Mr. YU Xuechun, Mr. ZHOU Donghui and Ms. ZHU Jing no longer serve as Directors or serve any position in the Board committees of the Company from the date of approval of the election of the sixth session of the Board at the EGM. They confirm that they have no disagreement with the Board and there is no matter relating to their retirements that needs to be brought to the attention of the Shareholders of the Company or the Hong Kong Stock Exchange.

The Board of the Company would like to express its sincere gratitude to the Directors of the fifth session of the Board for their contributions.

Mr. JIN Wenzhong, the chairman of the fifth session of the Board of the Company, will cease to serve as an executive Director and chairman of the Board of the Company upon the completion of the election of the new session of the Board. Mr. JIN Wenzhong has been with the Company since its establishment in 1997 and successively served as deputy general manager, deputy secretary of the CPC Party Committee, president, chairman of the Board and other positions. Mr. JIN Wenzhong performed his duties with dedication and diligence, strengthened corporate governance, focused on strategic leadership, and reinforced compliance and risk management. Under his leadership, the Company's capital strength has continuously enhanced, and its operating results and industry position have steadily improved. Mr. JIN Wenzhong has devoted significant efforts and made outstanding contributions to the Company's high-quality development. The Board of the Company would like to express its sincere gratitude to Mr. JIN Wenzhong.

The Board of the Company will proceed with the election and appointment of the management members by the Board in an orderly manner in accordance with the laws and regulations, and will fulfill its information disclosure obligations in a timely manner.

IV APPOINTMENT OF MEMBERS OF THE SIXTH SESSION OF THE SUPERVISORY COMMITTEE

In view of expiry of the term of office of the fifth session of the Supervisory Committee of the Company, after consideration at the EGM, Mr. LIU Wei, Ms. DU Xinhong, Mr. SHEN Guangjun and Mr. LING Yun were elected as the shareholder representative Supervisors of the sixth session of the Supervisory Committee. Among the Supervisors of the sixth session of the Supervisory Committee, in addition to the Supervisors elected above, Ms. RUAN Fei, Ms. DING Yan and Mr. ZHANG Yun were recently elected by the employees of the Company at the employees' representative congress as employee representative Supervisors (together with the above Supervisors considered and elected at the EGM, collectively, the "**Elected Supervisors**") and joined the sixth session of the Supervisory Committee directly. All members of the sixth session of the Supervisory Committee shall serve a term of three years with effect from the date of this announcement.

The biographical details for the Elected Supervisors of the sixth session of the Supervisory Committee are set out in Annex II to this announcement. As at the date of this announcement and to the best of the Supervisory Committee's knowledge, save as disclosed in this announcement, the Elected Supervisors have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years. As at the date of this announcement, the Elected Supervisors do not have other relationship with any Directors, Supervisors, senior management or substantial Shareholders of the Company. The Elected Supervisors do not directly have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance. There is no other information in relation to the appointments of the Elected Supervisors which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2)(h) to (v) of the Hong Kong Listing Rules nor are there any matters which need to be brought to the attention of the Shareholders of the Company. The Elected Supervisors have not been subject to any penalties imposed by the China Securities Regulatory Commission or other relevant authorities or disciplinary actions imposed by the stock exchanges in the past three years.

The Company will enter into a service agreement with each of the Elected Supervisors. Unless adjustment is required by the relevant applicable laws and regulations, the terms of office of the Elected Supervisors shall end on the expiry date of the terms of office of the sixth session of the Supervisory Committee. Mr. LIU Wei, Ms. DU Xinhong, Mr. SHEN Guangjun and Mr. LING Yun will not receive remuneration from the Company during their terms of office as shareholder representative Supervisors, the remuneration of Ms. RUAN Fei, Ms. DING Yan and Mr. ZHANG Yun will be determined in accordance with the remuneration and assessment system of the Company during their terms of office as employee representative Supervisors of the Company. The Company will disclose the details of Supervisors' remuneration in the annual report.

Due to the election of the new session of the Supervisory Committee, among the Supervisors of the fifth session of the Supervisory Committee, Mr. DU Weihua, Mr. WU Junhao, Mr. XU Yongmiao and Mr. XIA Lijun no longer serve as Supervisors of the Company from the date of approval of the election of the sixth session of the Supervisory Committee of the Company at the EGM. They confirm that they have no disagreement with the Board and the Supervisory Committee and there is no matter relating to their retirements that needs to be brought to the attention of the Shareholders of the Company or the Hong Kong Stock Exchange.

At the first meeting of the sixth session of the Supervisory Committee of the Company held on November 22, 2024, it was considered and agreed that Mr. LIU Wei be elected as the chairman of the sixth session of the Supervisory Committee of the Company for a term from the date of this announcement to the date of expiry of the term of office of the sixth session of the Supervisory Committee. The biographical details of Mr. LIU Wei and other information required to be disclosed in accordance with Rule 13.51(2) of the Hong Kong Listing Rules are set out in Annex II to this announcement.

V ROLES OF MEMBERS OF THE SIXTH SESSION OF THE BOARD

On November 22, 2024, at the first meeting of the sixth session of the Board of the Company, it was resolved that Mr. GONG Dexiong be elected as the chairman of the sixth session of the Board of the Company for a term from the date of this announcement to the date of expiry of the term of office of the sixth session of the Board.

At the first meeting of the sixth session of the Board of the Company, it was further resolved that the composition of the special committees under the Board be as follows:

- (1) members of the Strategic Development Committee: Mr. GONG Dexiong, Ms. LI Yun, Mr. LU Dayin, Mr. YANG Bo, Mr. REN Zhixiang; and Mr. GONG Dexiong shall serve as the chairman of the committee.
- (2) members of the Compliance and Risk Management Committee: Mr. LU Weiming, Mr. XU Yongmiao, Mr. WU Hong, Mr. LUO Xinyu, Mr. Chan Hon; and Mr. LU Weiming shall serve as the chairman of the committee.

- (3) members of the Audit Committee: Mr. ZHU Kai, Mr. XIE Weiqing, Mr. SHI Lei, Mr. FENG Xingdong, Mr. CHAN Hon; and Mr. ZHU Kai shall serve as the chairman of the committee.
- (4) members of the Remuneration and Nomination Committee: Mr. WU Hong, Mr. GONG Dexiong, Mr. LU Weiming, Mr. FENG Xingdong, Mr. LUO Xinyu; and Mr. WU Hong shall serve as the chairman of the committee.

VI APPOINTMENT OF JOINT COMPANY SECRETARIES

At the first meeting of the sixth session of the Board of the Company, it was also resolved that Mr. WANG Rufu and Dr. NGAI Wai Fung recommended by SWCS Corporate Services Group (Hong Kong) Limited be appointed as the joint company secretaries with effect from the date of this announcement. Biographical details of Mr. WANG Rufu and Dr. NGAI Wai Fung are set out in Annex III to this announcement.

VII APPOINTMENT OF AUTHORIZED REPRESENTATIVES

At the first meeting of the sixth session of the Board of the Company, it was also resolved that Mr. GONG Dexiong and Mr. WANG Rufu be appointed to jointly serve as the authorized representatives of the Company under Rule 3.05 of the Hong Kong Listing Rules with effect from the date of this announcement.

By order of the Board of Directors

GONG Dexiong

Chairman

Shanghai, PRC
November 22, 2024

As at the date of this announcement, the Board of Directors comprises Mr. GONG Dexiong, Mr. LU Weiming and Mr. LU Dayin as executive Directors; Mr. XIE Weiqing, Mr. YANG Bo, Mr. SHI Lei, Ms. LI Yun, Mr. XU Yongmiao, Mr. REN Zhixiang and Mr. SUN Weidong as non-executive Directors; and Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai as independent non-executive Directors.

ANNEX I: BIOGRAPHICAL DETAILS OF THE ELECTED DIRECTORS

EXECUTIVE DIRECTORS

Mr. GONG Dexiong (龔德雄), born in 1969, is a member of the Communist Party of China, an executive master of business administration and an economist, and currently serves as the vice president of Shenergy (Group) Company Limited* (申能(集團)有限公司), the secretary of the CPC Party Committee, the chairman and executive Director of the Company, the executive director and general manager of Shenergy Investment Management Co., Ltd.* (申能投資管理有限公司), and the chairman of Shenergy Property & Casualty Insurance Co., Ltd.* (申能財產保險股份有限公司). Mr. GONG served as the deputy director of the Pudong business outlet of the securities department, head of the investment research section of the securities department, and deputy manager of the securities department of Shanghai International Trust & Investment Corporation* (上海國際信託投資公司); the deputy secretary of the CPC Party Committee, secretary of the Discipline Inspection Commission, deputy general manager of Shanghai Securities Company Limited* (上海證券有限責任公司) and the chairman of Hicend Futures Company Limited* (海證期貨有限公司); the general manager of the finance management department of Shanghai International Group Co., Ltd.* (上海國際集團有限公司); the general manager, secretary of the CPC Party Committee, vice chairman and chairman of Shanghai Securities Company Limited; the chairman and chief executive officer of Shanghai Guotai Junan Securities Asset Management Co., Ltd.* (上海國泰君安證券資產管理有限公司); the vice president and member of the CPC Party Committee of Guotai Junan Securities Co., Ltd. (國泰君安證券股份有限公司) (a company listed on the Shanghai Stock Exchange, stock code: 601211; and the Hong Kong Stock Exchange, stock code: 02611), and concurrently served as the president of the asset management business committee, the chairman of Guotai Junan Capital Management Co., Ltd.* (國泰君安資本管理有限公司), the chairman, general manager, chairman of the executive committee and president of the wealth management business committee of Guotai Junan Innovation Investment Co., Ltd.* (國泰君安創新投資有限公司) and other positions. He has served as the vice president of Shenergy (Group) Company Limited since April 2023, the secretary of the CPC Party Committee of the Company since September 2023, an executive Director of the Company since October 2023 and the chairman of the Company since November 2024.

Mr. LU Weiming (魯偉銘), born in 1971, a member of the Communist Party of China, is a holder of a master's degree in economics and an economist. Currently, he is the deputy secretary of the CPC Party Committee, executive Director and president of the Company and a director of Orient Finance Holdings (Hong Kong) Limited (東方金融控股(香港)有限公司). He served as the salesman and the project manager of business office of the transaction department of Guotai Securities Co., Ltd.* (中國國泰證券有限公司) from July 1994 to March 1998, the deputy general manager of the securities investment department under the transaction business department of the Company, the assistant to the general manager, deputy general manager, deputy general manager (in charge of affairs) and general manager of the fixed income business department of the Company, an assistant to the president of the Company, a vice president of the Company and other positions from March 1998 to March 2022. He has served as the president of the Company since March 2022 and an executive Director of the Company since June 2022.

Mr. LU Dayin (盧大印), born in 1972, a member of the Communist Party of China, is a holder of doctorate degree in management and a senior economist. Currently, he is an executive Director and the chief information officer of the Company, the secretary of the CPC Party Committee and chairman of Orient Securities Futures Co., Ltd.* (上海東證期貨有限公司), and the chairman of Orient Futures International (Singapore) Pte Ltd. (東證期貨國際(新加坡)有限公司). He served as the assistant to manager and deputy manager of the business outlet of Shenyin & Wanguo Securities Co., Ltd.* (申銀萬國證券股份有限公司) from July 1994 to June 2001, the assistant to general manager and deputy general manager of the information technology center of the Company, the deputy general manager (in charge of affairs) of e-commerce business department of the Company, the deputy general manager of brokerage business department of the Company, the deputy general manager and general manager of Orient Securities Futures Co., Ltd. and other positions from June 2001 to January 2021. He has served as the secretary of the CPC Party Committee of Orient Securities Futures Co., Ltd. since November 2020, the chairman of Orient Securities Futures Co., Ltd. since December 2020, the chief information officer of the Company since November 2021 and an executive Director of the Company since November 2024.

NON-EXECUTIVE DIRECTORS

Mr. XIE Weiqing (謝維青), born in 1979, a member of the Communist Party of China, holds a bachelor's degree and a master's degree in finance, and is a non-practicing certified public accountant and a professor level senior accountant. He currently serves as a non-executive Director of the Company, the general manager of the finance department of Shenergy (Group) Company Limited* (申能(集團)有限公司), an executive director and the general manager of Shenergy Group Business Services Co., Ltd.* (申能集團商務服務有限公司), a director of Shenergy Company Limited* (申能股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600642)), a supervisor of Haitong Securities Co., Ltd. (海通證券股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600837) and the Hong Kong Stock Exchange (stock code: 06837)), a director of China Pacific Insurance (Group) Co., Ltd. (中國太平洋保險(集團)股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 601601) and the Hong Kong Stock Exchange (stock code: 02601)) and a director of China Pacific Life Insurance Co., Ltd. (中國太平洋人壽保險股份有限公司). He served as the financial accountant of Shanghai Shentong Group Co., Ltd.* (上海申通集團有限公司) from July 2001 to October 2001, the financial director of Shanghai Maglev Transportation Development Co., Ltd.* (上海磁浮交通發展有限公司) from October 2001 to May 2007, the deputy director and director of the finance department of Shenergy (Group) Company Limited, the deputy general manager of Shenergy Group Finance Co., Ltd.* (申能集團財務有限公司) and the deputy manager of the finance department of Shenergy (Group) Company Limited from May 2007 to May 2020. He has served as an executive director and the general manager of Shenergy Group Business Services Co., Ltd. since May 2020, the general manager of the finance department of Shenergy (Group) Company Limited since April 2024 and a non-executive Director of the Company since November 2024.

Mr. YANG Bo (楊波), born in 1974, a member of the Communist Party of China, holds a master's degree in business administration. He currently serves as a non-executive Director of the Company, the vice president and secretary to the board of directors of Shenergy Company Limited* (申能股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600642)). He successively served as the senior director of ABN AMRO Shanghai Branch, the senior manager of Shanghai Representative Office of ABN AMRO Asset Management (Asia) Limited, the senior manager of corporate finance department of the Shanghai Branch of DBS Bank, a senior account manager of the corporate finance department, a member of the asset-liability risk management committee and a member of the branch management committee of the Shanghai Branch of Fortis Bank from July 1997 to August 2007, the deputy general manager of Shenergy Group Finance Co., Ltd.* (申能集團財務有限公司), the Party Branch Secretary and general manager of Shanghai ICY Capital Co., Ltd.* (上海誠毅投資管理有限公司), the general manager of Shanghai Shenergy ICY Equity Investment Co., Ltd.* (上海申能誠毅股權投資有限公司), the secretary of the General Party Branch and general manager of Shenergy Group Finance Co., Ltd. and other positions from August 2007 to December 2023. He has served as the vice president of Shenergy Company Limited since December 2023, secretary to the board of directors of Shenergy Company Limited since January 2024 and a non-executive Director of the Company since November 2024.

Mr. SHI Lei (石磊), born in 1982, a member of the Communist Party of China, holds a bachelor's degree and is a senior accountant. He currently serves as a non-executive Director of the Company, the director of finance division of Shanghai Tobacco Group Co., Ltd.* (上海煙草集團有限責任公司), a director of Haitong Securities Co., Ltd. (海通證券股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600837) and the Hong Kong Stock Exchange (stock code: 06837)), a director of Shanghai Tobacco Group Beijing Cigarette Factory Co., Ltd.* (上海煙草集團北京捲煙廠有限公司), a director of Shanghai Tobacco Group Taicang Haiyan Tobacco Flake Co., Ltd.* (上海煙草集團太倉海煙煙草薄片有限公司), a director of Shanghai Tobacco Trading Center Co., Ltd.* (上海煙草貿易中心有限公司), a director of Shanghai Haiyan Tobacco Sugar and Wine Co., Ltd.* (上海海煙煙草糖酒有限公司), a director of Shanghai Haiyan Investment Management Company Limited* (上海海煙投資管理有限公司), a supervisor of Shanghai Tobacco Group Songjiang Tobacco Sugar and Wine Co., Ltd.* (上海煙草集團松江煙草糖酒有限公司), a supervisor of Shanghai Tobacco Group Huangpu Tobacco Sugar and Wine Co., Ltd.* (上海煙草集團黃浦煙草糖酒有限公司), a supervisor of Shanghai Tobacco Group Putuo Tobacco Sugar and Wine Co., Ltd.* (上海煙草集團普陀煙草糖酒有限公司) and a supervisor of Shanghai Tobacco Group Qingpu Tobacco Sugar and Wine Co., Ltd.* (上海煙草集團青浦煙草糖酒有限公司). He served as an audit clerk, assistant to section chief, deputy section chief (in charge of affairs) and assistant to director of the audit department of Shanghai Tobacco Group Co., Ltd. from July 2004 to April 2017, the deputy general manager of Shanghai Tobacco Group Jing'an Tobacco Sugar and Wine Co., Ltd.* (上海煙草集團靜安煙草糖酒有限公司), the deputy general manager of Shanghai Tobacco Group Huangpu Tobacco Sugar and Wine Co., Ltd. from April 2017 to October 2019, and the deputy director of the finance division of Shanghai Tobacco Group Co., Ltd. from October 2019 to August 2022. He has served as the director of finance division of Shanghai Tobacco Group Co., Ltd. since August 2022 and a non-executive Director of the Company since November 2024.

Ms. LI Yun (李芸), born in 1964, is a member of the Communist Party of China, holds a master's degree in economics, and is a senior editor. She currently serves as a non-executive Director of the Company, the secretary of the CPC Party Committee and the president of Shanghai United Media Group* (上海報業集團), the chairman of Shanghai Zhongyuan Capital Management Co., Ltd.* (上海眾源資本管理有限公司), a director of China Universal Asset Management Company Limited* (匯添富基金管理股份有限公司), the chairman of Shanghai Ruili Investment Fund Management Company Limited* (上海瑞力投資基金管理股份有限公司), and the chairman of Shanghai Ruiyi Investment Management Company Limited* (上海瑞壹投資管理有限公司). She served as the secretary of the CPC Youth League Committee and a teacher of Shanghai Fourth Teacher Training College* (上海第四師範學校), deputy director, director and deputy secretary of the school department of the Luwan District Committee of the Communist Youth League* (共青團盧灣區委), deputy director of the Luwan District Women's Federation* (盧灣區婦女聯合會), deputy director of the Luwan District Committee Office* (盧灣區委辦公室), secretary of the Party Working Committee of Wuliqiao Street in Luwan District* (盧灣區五里橋街道黨工委) and other positions from January 1984 to May 2001, a member of the Standing Committee of the Luwan District Committee and Director of the Publicity Department, a member of the Standing Committee of the Minhang District Committee and Director of the Publicity Department from May 2001 to July 2008, the deputy secretary of the CPC Party Committee and the secretary of the Discipline Inspection Commission of Jiefang Press Group* (解放日報報業集團), the secretary of the CPC Party Committee of Jiefang Daily* (解放日報), the deputy secretary of the CPC Party Committee of Shanghai United Media Group (上海報業集團) and the secretary of the CPC Party Committee and the president of Jiefang Daily from July 2008 to November 2021. She has served as the secretary of the CPC Party Committee and the president of Shanghai United Media Group since November 2021 and a non-executive Director of the Company since August 2023.

Mr. XU Yongmiao (徐永淼), born in 1977, is a member of the Communist Party of China and holds a master's degree in business administration. He currently serves as a non-executive Director of the Company, the deputy general manager and a member of the CPC Party Committee of Shanghai Branch of China Post Group Corporation Limited* (中國郵政集團有限公司上海市分公司), a director of Beijing Yingge Barcode Technology Development Co., Ltd.* (北京英格條碼技術發展有限公司), an executive director and the general manager of Shanghai Post Science Research Institute* (上海郵政科學研究院有限公司). He served as the assistant to the general manager and director of the business service department of Guangzhou Express Company* (廣州速遞公司), the deputy director of the marketing department and the director of the express delivery business department of the Guangdong Province Postal Logistics Bureau and the Express Delivery Bureau, the deputy manager and general manager of the delivery business department of Guangdong Province Postal Express and Logistics Company* (廣東省郵政速遞物流公司), the general manager of Maoming Branch of Guangdong Postal Express & Logistics Co., Ltd.* (廣東省郵政速遞物流有限公司) and other positions from July 1999 to May 2014, the deputy general manager and general manager of the marketing department and general manager of the market management department of China Postal Express & Logistics Company Limited* (中國郵政速遞物流股份有限公司), the general manager of the marketing department of the post office and the general manager of the delivery department of China Post Group Corporation* (中國郵政集團公司) from May 2014 to January 2022. He has served as the deputy general manager and a member of the CPC Party Committee of Shanghai Branch of China Post Group Corporation Limited* (中國郵政集團有限公司上海市分公司) since January 2022 and a non-executive Director of the Company since November 2024.

Mr. REN Zhixiang (任志祥), born in 1969, is a member of the Communist Party of China and holds a doctorate degree in economics. He currently serves as a non-executive Director of the Company, the deputy secretary of the CPC Party Committee and director and general manager of Zheneng Capital Holdings Co., Ltd.* (浙能資本控股有限公司), a director of Zhejiang Fuzhe Investment Co., Ltd.* (浙江富浙投資有限公司), the vice chairman of Zheshang Property and Casualty Insurance Co., Ltd.* (浙商財產保險股份有限公司) and a director of China Zheshang Bank Co., Ltd. (浙商銀行股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 601916) and the Hong Kong Stock Exchange (stock code: 02016)). He served as an office clerk, engineer and secretary of the CPC Youth League Committee of Zhejiang Water Conservancy and Hydropower Engineering Bureau from August 1995 to August 2001, a senior researcher and deputy general manager of investment banking division of Zhejiang International Trust & Investment Company Ltd.* (浙江省國際信託投資有限責任公司) from June 2004 to February 2007, a senior director of asset management department, the chief economist, deputy director and director of strategy management and legal department of Zhejiang Provincial Energy Group Co., Ltd.* (浙江省能源集團有限公司) from February 2007 to October 2019. He has served as the deputy secretary of the CPC Party Committee and general manager of Zheneng Capital Holdings Co., Ltd. since October 2019, a director of Zheneng Capital Holdings Co., Ltd. since June 2020 and a non-executive Director of the Company since March 2021.

Mr. SUN Weidong (孫維東), born in 1968, is a member of the Communist Party of China and holds a master's degree in business administration. He currently serves as an employee representative Director and the director of trade union office of the Company. He served as a programmer of Shanghai Daji Data Processing Company* (上海大計數據處理公司), the computer supervisor of Shanghai branch of Dalian Liantong Securities Company* (大連連通證券公司), the department manager of product sales department of Shanghai Dewei Economic Technology Company Limited* (上海德威經濟技術有限公司) and the department manager of Shanghai headquarter of Three Gorges Securities* (三峽證券) from August 1991 to December 1998; the assistant to general manager of the information technology center of the Company, deputy general manager of the operational management department of the Company, deputy general manager (in charge of affairs) of the internet financial business department of the Company and other positions from December 1998 to May 2024. He has served as the director of trade union office of the Company since May 2024 and an employee representative Director of the Company since November 2024.

INDEPENDENT NON-EXECUTIVE DIRECTORS

Mr. WU Hong (吳弘), born in 1956, is a member of the Communist Party of China and holds a degree of bachelor of laws. He currently serves as an independent non-executive Director of the Company, a professor and a doctoral supervisor of East China University of Political Science and Law, an independent director of Shanghai Pudong Development Bank Co., Ltd.* (上海浦東發展銀行股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600000)), and the chairman of supervisory committee of Keboda Technology Co., Ltd.* (科博達技術股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 603786)). He has been working in East China University of Political Science and Law since July 1984, and previously served as the dean of School of Economic Laws of East China University of Political Science and Law and other positions, and has served as an independent non-executive Director of the Company since December 2020.

Mr. FENG Xingdong (馮興東), born in 1977, is a member of the Communist Party of China and holds a doctorate degree in statistics. He currently serves as an independent non-executive Director of the Company, the dean, a professor and doctoral supervisor of School of Statistics and Management of Shanghai University of Finance and Economics. He served as an assistant professor of statistics and an associate professor of School of Statistics and Management of Shanghai University of Finance and Economics from June 2011 to June 2015. He has served as a professor and doctoral supervisor of School of Statistics and Management of Shanghai University of Finance and Economics since July 2015, the dean of School of Statistics and Management of Shanghai University of Finance and Economics since November 2019 and an independent non-executive Director of the Company since December 2020.

Mr. LUO Xinyu (羅新宇), born in 1974, is a member of the Communist Party of China and holds a bachelor's degree and a master's degree in business administration. He is currently an independent non-executive Director of the Company, the general manager (president) of Shanghai State-owned Capital Operation Research Institute Co., Ltd.* (上海國有資本運營研究院有限公司), chairman of Shanghai State-owned Capital Training Center Co., Ltd.* (上海國資培訓中心有限公司), a director of Shanghai Pudong Technology Investment Co., Ltd.* (上海浦東科技投資有限公司), a director of Hangzhou Industrial Investment Group Co., Ltd.* (杭州市實業投資集團有限公司), a director of Ningbo Development Investment Group Co., Ltd.* (寧波開發投資集團有限公司), a director of Dalian State-owned Assets Management Co., Ltd.* (大連市國有資本管理運營有限公司), an independent director of Huatai Securities (Shanghai) Asset Management Co., Ltd.* (華泰證券(上海)資產管理公司), a director of Kunshan Culture Business Tourism Group Co., Ltd.* (昆山文商旅集團有限公司), a director of Shanghai Yangpu State-owned Assets Management Co., Ltd.* (上海楊浦國有資產經營有限公司), a director of Luoyang Guohong Investment Holdings Group Co., Ltd.* (洛陽國宏投資控股集團有限公司), a supervisor of Shanghai Guosheng Guxian Venture Capital Investment Management Co., Ltd.* (上海國盛古賢創業投資管理有限公司), an executive director of Shanghai Shengzhizi Corporate Management Co., Ltd.* (上海盛之諮企業管理有限公司), an executive director of Shanghai Guoyan Corporate Management Co., Ltd.* (上海國研企業管理有限公司) and a director of Luoyang Industrial Holding Group Co., Ltd.* (洛陽工業控股集團有限公司). He served as a reporter from China Youth Daily and a reporter from Xinhua News Agency Shanghai Branch from July 1998 to July 2004, the general manager of the membership department of Shanghai United Assets and Equity Exchange from July 2004 to July 2009, the deputy director of the board office and the vice-chairman of the strategy and investment decision committee of Shanghai Guosheng (Group) Co., Ltd.* (上海國盛(集團)有限公司) from July 2009 to April 2020. He has served as the general manager (president) of Shanghai Stateowned Capital Operation Research Institute Co., Ltd. since June 2018 and an independent non-executive Director of the Company since May 2021.

Mr. CHAN Hon (陳漢), born in 1960, holds a bachelor's degree. He is currently an independent non-executive Director of the Company, a consultant of Nixon Peabody CWL in Hong Kong, and an arbitrator of China International Economic and Trade Arbitration Commission, Beijing International Arbitration Center, Hong Kong International Arbitration Centre, Singapore International Arbitration Centre and Shanghai International Arbitration Center. He served as a lawyer in Allen & Overy LLP from January 1993 to May 1997, a legal counsel of investment banking in Credit Suisse, a legal counsel in ING Bank in Hong Kong and the head of the Compliance and Legal Department for Deutsche Bank's China operations from June 1997 to November 2016. He has served as a consultant of Nixon Peabody CWL in Hong Kong since January 2017 and an independent non-executive Director of the Company since November 2022.

Mr. ZHU Kai (朱凱), born in 1974, is a member of the Communist Party of China and a doctorate in accounting. He currently serves as an independent non-executive Director of the Company, the vice dean, professor and doctoral supervisor of the Graduate School of Shanghai University of Finance and Economics. He served as a lecturer in the Accounting Department of the Business School of Nanjing University from July 1999 to January 2001 and a vice dean of the School of Accounting of Shanghai University of Finance and Economics from February 2016 to September 2023. He has served as a lecturer, associate professor and professor of the School of Accounting of Shanghai University of Finance and Economics since April 2004, a vice dean of the Graduate School of Shanghai University of Finance and Economics since December 2023 and an independent non-executive Director of the Company since October 2023.

ANNEX II: BIOGRAPHICAL DETAILS OF THE ELECTED SUPERVISORS

SHAREHOLDER REPRESENTATIVE SUPERVISORS

Mr. LIU Wei (劉偉), born in 1973, is a member of the Communist Party of China, holds a master's degree in law and is a senior economist and political engineer. He currently serves as the chairman of the Supervisory Committee of the Company, the chief auditor and general legal counsel of Shenergy (Group) Company Limited* (申能(集團)有限公司), a director of Shenergy Company Limited* (申能股份有限公司) (a company listed on the Shanghai Stock Exchange (stock code: 600642)), and a director of Shanghai Shenergy ICY Capital Co., Ltd.* (上海申能誠毅股權投資有限公司). He served as an assistant judge of the People's Court of Huangpu District of Shanghai from July 1996 to December 2001, an assistant judge, the deputy section chief of the General Section of the Office, the director of the President's Office of the Office, a judge, and the deputy director of the Office of Higher People's Court of Shanghai Municipality from December 2001 to May 2013, the deputy director of the Office of Organization Department, the deputy director and investigator of General Official Division of the CPC Shanghai Municipal Committee from May 2013 to September 2017, the general manager of human resource department and the director of organization department under the CPC Party Committee of Shenergy (Group) Company Limited and other positions from September 2017 to April 2021, and the secretary of the CPC Party Committee of Shenergy Company Limited from April 2021 to November 2024. He has served as a director of Shenergy Company Limited since May 2023, the general legal counsel of Shenergy (Group) Company Limited since August 2024, the chief auditor of Shenergy (Group) Company Limited since September 2024 and the chairman of the Supervisory Committee of the Company since November 2024.

Ms. DU Xinhong (杜心紅), born in 1970, is a member of the Communist Party of China, holds a master's degree in economics, and is a professor level senior economist and an accountant. She currently serves as a shareholder representative Supervisor of the Company, the general manager of the finance management department of Shenergy (Group) Company Limited* (申能(集團)有限公司), chairman of Shenergy Group Finance Co., Ltd.* (申能集團財務有限公司) and chairman of Shanghai Shenergy Financial Leasing Co., Ltd.* (上海申能融資租賃有限公司). She served as the deputy director of the business department and the manager of the international business department of the Shanghai Pudong Branch of the Agricultural Bank of China and other positions from July 1992 to November 2000, the deputy head of fund management of the finance department of Shenergy (Group) Company Limited, the assistant to general manager, deputy general manager, secretary of the general party branch and general manager of Shenergy Group Finance Co., Ltd., the deputy secretary of the CPC Party Committee, secretary of the CPC Party Committee and general manager of Shenergy Financial Business Division* (申能能源金融事業部) from November 2000 to December 2023. She has served as the general manager of the finance management department of Shenergy (Group) Company Limited since December 2023 and a shareholder representative Supervisor of the Company since November 2024.

Mr. SHEN Guangjun (沈廣軍), born in 1979, is a member of the Communist Party of China and holds a master's degree in accountancy. He currently serves as a shareholder representative Supervisor of the Company, the deputy chief accountant of Shanghai Construction Group Co., Ltd.* (上海建工集團股份有限公司), a supervisor of Shanghai Construction Environmental Technology Co., Ltd.* (上海建工環境科技有限公司), a director of Shanghai Construction No. 2 (Group) Co., Ltd.* (上海建工二建集團有限公司), a director of Shanghai Gardens (Group) Co., Ltd.* (上海園林(集團)有限公司), a director of Shanghai Pudong BOC Fullerton Community Bank Co., Ltd.* (上海浦東中銀富登村鎮銀行有限責任公司), a director of Shanghai Foreign Trade Group Holdings Co., Ltd.* (上海外經集團控股有限公司), a director of China Shanghai Foreign Trade (Group) Co., Ltd.* (中國上海外經(集團)有限公司) and a director of Shanghai Tower Construction and Development Co., Ltd.* (上海中心大廈建設發展有限公司). He served as the general manager of asset and finance department, deputy chief accountant, assistant to president (assistant to director) and deputy chief accountant (in charge of affairs) of Shanghai Municipal Engineering Design General Institute (Group) Co., Ltd.* (上海市政工程设计研究總院(集團)有限公司) from April 2004 to June 2019 and the chief accountant of the overseas business department of Shanghai Construction Group Co., Ltd. from June 2019 to March 2021. He has served as the deputy chief accountant of Shanghai Construction Group Co., Ltd. since March 2021 and a shareholder representative Supervisor of the Company since March 2021.

Mr. LING Yun (凌雲), born in 1975, is a member of the Communist Party of China and holds a bachelor's degree in business administration. He currently serves as a shareholder representative Supervisor of the Company, the deputy general manager of the planning and finance department of Shanghai Jinqiao Export Processing Zone Development Co., Ltd.* (上海金橋出口加工區開發股份有限公司), the chief financial officer of Shanghai Zongshun Construction and Development Co., Ltd.* (上海綜舜建設開發有限公司), the chief financial officer of Shanghai Zong'ao Construction and Development Co., Ltd.* (上海綜奧建設開發有限公司), and the chief financial officer of Shanghai Zongnuo Construction and Development Co., Ltd.* (上海綜諾建設開發有限公司). He served as the accounting supervisor of the planning and finance department and assistant to the general manager of Shanghai Jinqiao Export Processing Zone Development Co., Ltd. from June 2007 to January 2018. He has served as the deputy general manager of the planning and finance department of Shanghai Jinqiao Export Processing Zone Development Co., Ltd. since January 2018 and a shareholder representative Supervisor of the Company since October 2023.

EMPLOYEE REPRESENTATIVE SUPERVISORS

Ms. RUAN Fei (阮斐), born in 1971, is a member of the Communist Party of China, holds a master's degree in finance, and is a senior accountant and senior economist. Currently, she is the deputy secretary of the Discipline Inspection Commission of the Company, an employee representative Supervisor, the secretary to the Supervisory Committee, office director of the Supervisory Committee and director of the discipline inspection office of the Company. She served as a researcher of the development research department of China Worldbest Group Co., Ltd.* (中國華源集團有限公司) from January 1997 to June 1998; a researcher of the securities research institute of the Company, assistant to office director and deputy office director of the Company and other positions from June 1998 to March 2012. She has served as the secretary to the Supervisory Committee and office director of the Supervisory Committee of the Company since March 2012, the director of the discipline inspection office of the Company since December 2012, an employee representative Supervisor of the Company since March 2021 and the deputy secretary of the Discipline Inspection Commission of the Company since January 2024.

Ms. DING Yan (丁艷), born in 1979, is a member of the Communist Party of China, holds a master's degree in economic laws and a degree of master of science, and is an economist. Currently, she is an employee representative Supervisor of the Company, the general manager of the audit department of the Company, a director of Shanghai Orient Securities Capital Investment Co., Ltd.* (上海東方證券資本投資有限公司), and a supervisor of Great Wall Fund Management Co., Ltd.* (長城基金管理有限公可). She served as a clerk and deputy chief clerk of the banking management department and the office of People's Bank of China, Shanghai branch, the deputy chief clerk, chief clerk and section chief of the secretariat of general management department and the anti-money laundering division of financial services department II of People's Bank of China, Shanghai headquarter from August 2001 to January 2017, the assistant to general manager, deputy general manager and deputy general manager (in charge of affairs) of the audit centre of the Company from January 2017 to September 2022, an employee representative Supervisor of the Company since March 2021 and the general manager of the audit centre of the Company since October 2022.

Mr. ZHANG Yun (張雲), born in 1982, is a member of the Communist Party of China, and holds a master's degree in management science and engineering. He currently serves as an employee representative Supervisor and the general manager of the risk management department of the Company. He served as a senior consultant of risk system and data of the business development department of Shanghai Anshuo Information Technology Co., Ltd.* (上海安碩信息技術股份有限公司) from June 2009 to November 2011; and a risk management officer, deputy general manager and general manager of the risk management department of Sinolink Securities Co., Ltd. (國金證券股份有限公司) from December 2011 to March 2023. He has served as the general manager of the risk management department of the Company since March 2023 and an employee representative Supervisor of the Company since November 2024.

ANNEX III: BIOGRAPHICAL DETAILS OF THE COMPANY SECRETARIES

Mr. WANG Rufu (王如富), born in 1973, is a member of the CPC, a holder of master's degree in engineering and a certified public accountant. Currently, he is the secretary to the Board, the joint company secretary and the director of the Board office of the Company, a supervisor of China Universal Asset Management Company Limited* (匯添富基金管理股份有限公司), and a director of Shanghai ICY New Energy Venture Capital Investment Co., Ltd.* (上海誠毅新能源創業投資有限公司). Mr. Wang served as the comprehensive planner of Planning Head Office and the strategic manager of Development and Coordination Office of Shenyin Wanguo Securities from August 2002 to April 2004, the assistant to general manager of planning and development department and the deputy chief (in charge of affairs) of secretariat of Kinghing Securities from May 2004 to October 2005, the senior researcher of the securities market strategy of the research institute, the senior director, assistant to the director and deputy director (securities affairs representative) of the Board office of the Company from October 2005 to October 2014. Mr. Wang has been serving as the director of the Board office of the Company since October 2014, the secretary to the Board of the Company since November 2016, and the joint company secretary since November 2019.

Dr. NGAI Wai Fung (魏偉峰) is the director and chief executive officer of SWCS Corporate Services Group (Hong Kong) Limited. Dr. Ngai has over 30 years of professional practice and senior management experience including acting as the executive director, chief financial officer and company secretary, most of which are in the areas of finance, accounting, internal control and risk management, regulatory compliance, corporate governance and company secretarial work for listed issuers including major red chips companies. Dr. Ngai is a Fellow of the Hong Kong Chartered Governance Institute, a Fellow of the Chartered Governance Institute, a member of HKICPA, a Fellow of Association of Chartered Certified Accountants in the United Kingdom and a member of the Chartered Institute of Arbitrators. Dr. Ngai obtained a Doctoral Degree in Economics majoring in Finance at Shanghai University of Finance and Economics, a Master's Degree in Corporate Finance from Hong Kong Polytechnic University, a Master's Degree in Business Administration from Andrews University of Michigan and a Bachelor's Degree (Honours) in Law at University of Wolverhampton.