



**GF SECURITIES CO., LTD.**

**廣發証券股份有限公司**

(A joint stock company incorporated in the People's Republic of China with limited liability)

(於中華人民共和國註冊成立的股份有限公司)

(Stock Code: 1776)

(股份代號: 1776)

24 April 2025

Dear Non-registered Shareholder<sup>(1)</sup>,

**GF Securities Co., Ltd. (the "Company")**

**- Notice of Publication of 2024 Annual Report, Circular, Notice and Proxy Form of 2024 Annual General Meeting ("Current Corporate Communication") and 2024 Sustainable Development & Environmental, Social and Governance (ESG) Report ("2024 ESG Report")**

The English and Chinese versions of the Company's Current Corporate Communication are available on the Company's website at [www.gf.com.cn](http://www.gf.com.cn) and the HKEXnews website of The Stock Exchange of Hong Kong Limited (the "Stock Exchange") at [www.hkexnews.hk](http://www.hkexnews.hk).

As a non-registered shareholder, if you wish to receive Corporate Communications<sup>(2)</sup> from the Company pursuant to the Listing Rules, you should liaise with your bank(s), broker(s), custodian(s), nominee(s) or HKSCC Nominees Limited through which your Shares are held (collectively, the "Intermediaries") and provide your email address to your Intermediaries.

If you want to receive the Corporate Communications and/or the 2024 ESG Report in printed form, please complete and return the Reply Form on the reverse side to the Company's H share registrar (the "H Share Registrar"), Computershare Hong Kong Investor Services Limited, at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong or send an email to [gfsec.ecom@computershare.com.hk](mailto:gfsec.ecom@computershare.com.hk) specifying your name, address and request to receive the Corporate Communications in printed form.

Should you have any queries relating to any of the above matters, please contact the H Share Registrar at (852) 2862 8688 during business hours from 9:00 a.m. to 6:00 p.m. (Hong Kong time), Mondays to Fridays, excluding Hong Kong public holidays.

By order of the Board  
**GF Securities Co., Ltd.**  
**Lin Chuanhui**  
Chairman

Note (1): This letter is addressed to Non-registered Shareholders ("Non-registered Shareholder" means such person or company whose shares are held in The Central Clearing and Settlement System (CCASS) and who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited to receive Corporate Communications. If you have sold or transferred your shares in the Company, please disregard this letter and the Request Form on the reverse side.

Note (2): Corporate Communications refers to any document issued or to be issued by the Company for the information or action of holders of any of its securities, including but is not limited to (a) the directors' report, its annual accounts together with a copy of the auditors' report and, where applicable, its summary financial report; (b) the interim report and, where applicable, summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular; and (f) a proxy form.

各位非登記持有人<sup>(1)</sup>:

**廣發証券股份有限公司 (「本公司」)**

**- 2024 年度報告、2024 年度股東週年大會通函、通告及代表委任表格 (「本次公司通訊文件」) 以及 2024 年度可持續發展暨環境、社會及管治 (ESG) 報告 (「2024 年度 ESG 報告」) 之發佈通知**

本公司的本次公司通訊文件中、英文版本已上載於本公司網站 [www.gf.com.cn](http://www.gf.com.cn) 及香港聯合交易所有限公司 (「聯交所」) 披露易網站 [www.hkexnews.hk](http://www.hkexnews.hk) 歡迎瀏覽。

作為非登記股東，如有意根據《上市規則》收取公司通訊文件<sup>(2)</sup>，閣下應聯絡代 閣下持有股份的銀行、經紀、託管商、代理人或香港中央結算 (代理人) 有限公司 (統稱「中介公司」)，並向 閣下的中介公司提供 閣下的電子郵件地址。

若 閣下希望收取公司通訊文件及/或 2024 年度 ESG 報告之印刷版，請填妥本函背頁之回條並交回公司的 H 股股份過戶登記處 (「H 股股份過戶處」) 香港中央證券登記有限公司，地址為香港灣仔皇后大道東 183 號合和中心 17M 樓或發送電子郵件至 [gfsec.ecom@computershare.com.hk](mailto:gfsec.ecom@computershare.com.hk)，並註明 閣下的姓名、地址以及收取公司通訊文件印刷版的要求。

如 閣下對上述任何事項有任何疑問，請於辦公時間星期一至五 (香港公眾假期除外) 上午 9 時正至下午 6 時正 (香港時間) 期間致電 H 股股份過戶處 (852) 2862 8688 查詢。

承董事會命  
**廣發証券股份有限公司**  
董事長  
**林傳輝**

2025 年 4 月 24 日

附註(1): 此函件乃向本公司之非登記持有人 (「非登記持有人」指股份存放於中央結算及交收系統的人士或公司，透過香港中央結算有限公司不時向本公司發出通知，希望收到公司通訊文件) 發出。如果 閣下已經出售或轉讓所持有之本公司股份，則無需理會本函件及所附申請表格。

附註(2): 公司通訊文件指公司為供其任何證券持有人參考或採取行動而發佈的任何文件，包括但不限於: (a) 董事會報告、年度財務報表連同核數師報告及如適用，財務摘要報告; (b) 中期報告及如適用，中期摘要報告; (c) 會議通告; (d) 上市文件; (e) 通函及(f) 表決代理委託書。

**REPLY FORM 回條**

**To: Computershare Hong Kong Investor Services Limited (the “H Share Registrar”)**  
17M Floor, Hopewell Centre  
183 Queen’s Road East, Wanchai, Hong Kong

**致：香港中央證券登記有限公司（「H 股股份過戶處」）**  
香港灣仔皇后大道東 183 號  
合和中心 17M 樓

**REMINDER 提示**

**As a non-registered shareholder, you should liaise with your bank(s), broker(s), custodian(s), nominee(s) or HKSCC Nominees Limited (collectively, the “Intermediaries”) through which your shares are held and provide your email address to your Intermediaries.**

**作為非登記持有人，閣下應聯絡代 閣下持有股份的銀行、經紀、託管商、代理人或香港中央結算（代理人）有限公司（統稱「中介公司」），並向 閣下的中介公司提供 閣下的電子郵件地址。**

**Request for Corporate Communications\* in printed form / 要求收取公司通訊文件\*印刷版**

(Please mark “✓” in the below box if applicable) (如適用，請在以下方格內劃上「✓」號)

Name of the listed company (the “Company”) : **GF Securities Co., Ltd.**  
上市公司（「本公司」）名稱：**廣發證券股份有限公司**

I/we would like to receive future Corporate Communications\* in printed form.  
本人／我們欲收取未來公司通訊\*的印刷版。

☐ Printed English version 英文印刷本

☐ Printed Chinese version 中文印刷本

☐ Printed English and Chinese versions 中、英文印刷本

**Name(s) of non-registered shareholder(s):**

**非登記股東姓名：**

**Signature(s):**

**簽名：**

(Please use ENGLISH BLOCK LETTERS 請用英文正楷填寫)

**Contact number:**

**聯絡電話號碼：**

**Date:**

**日期：**

**Notes:**

**附註：**

- This letter is addressed to non-registered shareholder(s) (“Non-registered shareholder” means such person or company whose shares are held in The Central Clearing and Settlement System (CCASS) and who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited that such person or company wishes to receive Corporate Communications\*). 此函件乃向本公司之非登記股東（「非登記股東」指股份存放於中央結算及交收系統的人士或公司，已透過香港中央結算有限公司不時向本公司發出通知，表示欲收取公司通訊\*）發出。
- Please complete all your details clearly. 請 閣下清楚填妥所有資料。
- Any form with no box marked (✓), with no signature or otherwise incorrectly completed will be void. 如在本表格未有在方格內劃上「✓」號、或未有簽署、或在其他方面填寫不正確，則本表格將會作廢。
- For the avoidance of doubt, the Company does not accept any other instructions given on this Reply Form. 為免存疑，在本回條上的任何額外指示，本公司將不予處理。
- Unless otherwise specified, Corporate Communications refer to any documents issued or to be issued by the Company for the information or action of holders of any of its securities, including but not limited to (a) the directors’ report, its annual accounts together with a copy of the auditors’ report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular; and (f) a proxy form. 除非另有註明，公司通訊乃指本公司已發出或將予發出以供其任何證券的持有人參照或採取行動的任何文件，其中包括但不限於(a)董事報告、年度帳目以及審計報告副本以及財務摘要報告（如適用）；(b) 中期報告及其中期報告摘要（如適用）；(c) 會議通知；(d) 上市文件；(e) 通函；和(f) 委任表格。

**PERSONAL INFORMATION COLLECTION STATEMENT**  
收集個人資料聲明

- “Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong (“PDPO”). 本聲明中所指的「個人資料」與香港法例第 486 章《個人資料（私隱）條例》（「《私隱條例》」）中「個人資料」的涵義相同。
- Your Personal Data provided in this Reply Form will be used in connection with the Company’s electronic dissemination of Corporate Communications\*. Your supply of Personal Data to the Company is on a voluntary basis. In case of a failure to provide sufficient information, the Company may not be able to process your instructions and/or requests as stated in this Reply Form. 閣下於本回條所提供的個人資料將用於有關本公司以電子方式發佈公司通訊\*的事宜上。閣下是自願向本公司提供個人資料。若 閣下未能提供足夠資料，本公司可能無法處理閣下在本回條上所述的指示及/或要求。
- Your Personal Data may be disclosed or transferred by the Company to its subsidiaries, the Share Registrar, and/or other companies or bodies for any of the stated purposes, or when it is required to do so by law and will be retained for such period as may be necessary for our verification and record purposes. 本公司可就任何所說明的用途或在法例規定的情況下，將 閣下的個人資料披露或轉移給本公司的附屬公司、股份過戶處、及/或其他公司或團體，並將在適當期間保留該等個人資料作核實及紀錄用途。
- You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data should be in writing, by mail to the Hong Kong Privacy Officer of the Share Registrar at 17M Floor, Hopewell Centre, 183 Queen’s Road East, Wanchai, Hong Kong or by email at [PrivacyOfficer@computershare.com.hk](mailto:PrivacyOfficer@computershare.com.hk). 閣下有權根據《私隱條例》的條文查閱及/或修改 閣下的個人資料。任何該等查閱及/或修改個人資料的要求均須以書面方式郵寄至股份過戶處（地址為香港灣仔皇后大道東 183 號合和中心 17M 樓）向香港隱私主任提出，或發送電郵至 [PrivacyOfficer@computershare.com.hk](mailto:PrivacyOfficer@computershare.com.hk)。

**Mailing Label 郵寄標籤**

**Computershare Hong Kong Investor Services Limited**  
**香港中央證券登記有限公司**  
Freepost No. 簡便回郵號碼：37  
Hong Kong 香港

Please cut the mailing label and stick it on an envelope to return this form to us.

**No postage is necessary if posted in Hong Kong.**

當 閣下寄回此回條時，請將郵寄標籤剪貼於信封上。  
如在本港投寄，閣下無需支付郵費或貼上郵票。