

For the month ended (dd/mm/yyyy):

Monthly Return of Equity Issuer on Movements in Securities

To: Hong Kong Exchanges and Clearing Limited						
Name of Issuer Shaanxi Date Submitted	Northwest New Tec 03/06/2019	hnology Indust	ry Company Limited			
I. Movements in Authorised Share Capit	al					
1. Ordinary Shares						
(1) Stock code : 8258 Descrip	tion:	Domestic	Share			
	No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)			
Balance at close of preceding month	974,000,000	0.10	97,400,000			
Increase/(decrease)						
Balance at close of the month	974,000,000	0.10	97,400,000			
(2) Stock code : 8258 Descrip	tion:	H Sha	nre			
	No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)			
Balance at close of preceding month	33,100,000	0.10	33,100,000			
Increase/(decrease)						
Balance at close of the month	331,000,000	0.10	33,100,000			

31/05/2019

Stock code:Descri	ription:		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares			
Stock code :Descri	ription:		
	No. of other	Par value (State	Authorised share capital
	classes of shares	currency)	(State currency)
Balance at close of preceding month	classes of shares	currency)	(State currency)
Balance at close of preceding month Increase/(decrease)	classes of shares	currency)	(State currency)

II. Movements in Issued Share Capital

	No. of ordi	nary shares	No of preference	No. of other classes		
	(1) 680,000,000	(2) 331,000,000	shares	of shares		
Balance at close of preceding month	974,000,000	331,000,000				
Increase/ (decrease) during the month						
Balance at close of the month	974,000,000	331,000,000				

III. Details of Movements in Issued Share Capital

Share Options (under S	hare Option Sc	chemes of the Iss	uer)			
Particulars of share						No. of new shares
option scheme					of issuer issued	of issuer which may
including EGM					during the month	be issued pursuant
approval date		Movement dur	ring the month		pursuant thereto	thereto as at close
(dd/mm/yyyy) and					-	of the month
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1.						
(/ /)						
shares						
(Note 1)			· ·			
()						
2.						
<u></u>						
(/ /)						
shares		-	· ·			-
(Note 1)						
2						
3.						
(/ /)						
shares						
(Note 1)						
			Total A. (Ordi	•		
			·	ence shares)		
			(Other class)		
Total funds raised durin	ng the month fr	om exercise of				
options (State currency)						
			-			

Warrants to Issue Shares of the Issuer which are to be Listed No. of new No. of new shares of shares of issuer issued issuer which may be issued during the month pursuant pursuant thereto as at Currency of Nominal value at Exercised Nominal value thereto close of the Description of warrants nominal close of during the at close of the month (Date of expiry - dd/mm/yyyy) value preceding month month month (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (/ /) (dd/mm/yyyy) (Ordinary shares) Total B. (Preference shares) (Other class)

Convertibles (i.e. Convertible in Class and description		Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Outstanding	monui	ШОШП	month		
1.						
	_					
Stock code (if listed)						
Class of shares issuable (<i>Note 1</i>)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy))						
2.		<u></u>				
2.						
						
	_					
Stock code (if listed)						
Class of shares						
issuable (<i>Note 1</i>) Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
3.						
	—					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
4.						
	<u>—</u>					
						
Stock code (if listed)						
Class of shares						
issuable (Note 1)	_					
Subscription price	_					
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)					
			Гotal С. (Ordir	nary shares)		_
			(Prefere	ence shares)		_
			(0	Other class)		-

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1		
	-	
	-	
Ordinary shares (Note 1)		
2	-	
	-	
shares (Note 1)		
3.		
3	-	
	-	
shares (Note 1)		
Total D. (Ordinary shares)	-	
(Preference shares)		
(Other class)		•

		Issued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						ШОПШ
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
2.	Open offer	At price : State currency	_ Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						-	
			Class of shares issuable (Note 1)				
3.	Placing	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						-	
			Class of shares issuable (Note 1)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						-	<u> </u>

For Main Board and GEM listed issuers

1								
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	19000118840							
				Class of shares issuable (Note 1)				
5.	Scrip dividend	At price :	State currency —	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares redeemed (<i>Note 1</i>)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency -	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		

No. of new shares of issuer	No. of new shares of
issued during the month pursuant thereto Type of Issue	issuer whice may be issued pursuant thereto as a close of the month
Class of shares issuable (Note 1)	
9. Capital Issue and allotment (/ /) reorganisation date : (dd/mm/yyyy)	
EGM approval date: (/ /) (dd/mm/yyyy)	
Class of shares issuable (Note 1)	
10. Other (Please specify) At price: State (Please specify) At price: State (Issue and allotment date: (dd/mm/yyyy)	
EGM approval date: (/ /) (dd/mm/yyyy)	
Total E. (Ordinary shares)(Preference shares)(Other class)	- - -
Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1) (2)	
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):						
Submitted by: Wang Feng						
Title: Director						
(Director, Secretary or other duly authorised officer)						

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.