



盛業資本
SHENG YE CAPITAL

盛業資本有限公司 SHENG YE CAPITAL LIMITED

(Incorporated in the Cayman Islands with limited liability)

Stock Code: 8469

Environmental, Social
and Governance Report

2018



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ABOUT THIS REPORT

Sheng Ye Capital Limited (the "Company"), together with its subsidiaries (the "Group"), is pleased to present this Environmental, Social and Governance Report (the "Report") to provide an overview of the Group's management of significant issues affecting its operation, including environmental, social and governance issues. This Report is prepared by the Group with the professional assistance of APAC Compliance Consultancy and Internal Control Services Limited.

PREPARATION BASIS AND SCOPE

This Report is prepared in accordance with Appendix 20 Environmental, Social and Governance Reporting Guide (the "Guide") of the Rules "Governing Listing of securities on the GEM of the Stock Exchange of Hong Kong Limited (the "GEM Listing Rules"). This Report has complied with the "comply or explain" provisions of the Guide.

This Report summarises the performance of the Group in respect of corporate social responsibility, covering its operating activities which are considered as material by the Group – provision of factoring service business in the People's Republic of China (the "PRC"). With the aim to optimise and improve the disclosure requirements in the Report, the Group has taken initiative to formulate policies, record relevant data as well as implement and monitor measures. This Report shall be published both in Chinese and English on the websites of Stock Exchange and the Company. Should there be any discrepancy between the Chinese and the English versions, the English version shall prevail.

REPORTING PERIOD

This Report demonstrates our sustainability initiatives during the reporting period from 1 January 2018 to 31 December 2018.

CONTACT INFORMATION

The Group welcomes your feedback on this Report for our sustainability initiatives. Please contact us by email to ir@shengyecapital.com.



INTRODUCTION

The Group is a specialized financial service provider offering accounts receivable financing and other related solutions, focusing in the energy, construction and medical sectors in the PRC. Since the commencement of the business, the Group has strategically developed its customer base by targeting small and medium-sized enterprises ("SME") suppliers of state-owned enterprises ("SOEs") and large enterprises mainly through the provision of factoring services, including providing financing to factoring customers and account receivable management services to improve their supply chain management. The factoring business of the Group also includes sales of factoring assets and other relevant services.

In order to response to growing trends on the expectation of the stakeholders on the corporate responsibility performance, the Group is committed to maintaining its operation in a responsible and value-optimizing manner and value creation for stakeholders and community by integrating environmental and social factors into management considerations. Sustainability strategy is based on the compliance with the legal requirements applicable to us and opinions from stakeholders. Sustainability is essential for the Group's growth in order to achieve business excellence and enhance capabilities for long-term competitiveness. The Group has established and implemented various policies to manage and monitor the risks related to the environment, employment, operating practices and community. Details of the management approaches to sustainable development of different areas are illustrated in this Report.

STAKEHOLDERS ENGAGEMENT

The Group understands that the success of the Group's business depends on the support from its key stakeholders, who (a) have invested or will invest in the Group; (b) have the ability to influence the outcomes within the Group; and (c) are interested in or affected by or have the potential to be affected by the impact of the Group's activities, products, services and relationships. Effective communication and good relationship with stakeholders allow the Group to understand risks and opportunities. The Group will continue to ensure effective communication and maintain good relationship with each of its key stakeholders.

Stakeholders are prioritised from time to time in view of the Group's roles and duties, strategic plan and business initiatives. The Group engages with its stakeholders to develop mutually beneficial relationships and to seek their views on its business proposals and initiatives as well as to promote sustainability in the marketplace, workplace, community and environment.

The Group acknowledges the importance of intelligence gained from the stakeholders' insights, inquiries and continuous interest in the Group's business activities. The Group has identified key stakeholders that are important to its business and established various channels for communication. The following table provides an overview of the Group's key stakeholders, various platforms and methods of communication which are used to reach, listen and respond to the stakeholder.

Stakeholders	Issues of Concerns	Engagement channels	Practices
 Government	<ul style="list-style-type: none"> – Compliance with laws and regulations – Proper tax payment 	<ul style="list-style-type: none"> – Research and discussions through work conferences, work reports preparation and submission for approval – Annual reports, interim reports, quarterly reports, circulars and announcements – Company website 	<ul style="list-style-type: none"> – Operate, manage and pay taxes according to laws and regulations
 Shareholders and Investors	<ul style="list-style-type: none"> – Return on investments – Information disclosure and transparency – Protection of interests and fair treatment of shareholders 	<ul style="list-style-type: none"> – Annual general meetings and other shareholders' meetings – Annual reports, interim reports, quarterly reports, circulars and announcements – Meeting with investors and analysts – Company website 	<ul style="list-style-type: none"> – Issue notices of general meetings and proposed resolutions according to regulations, disclose Company's information by publishing announcements, circulars, annual reports, interim reports and quarterly reports – Carry out different forms of investor activities with the aim to improve investors' recognition – Disclose Company contact details on website and in reports and ensure all communication channels are available and effective

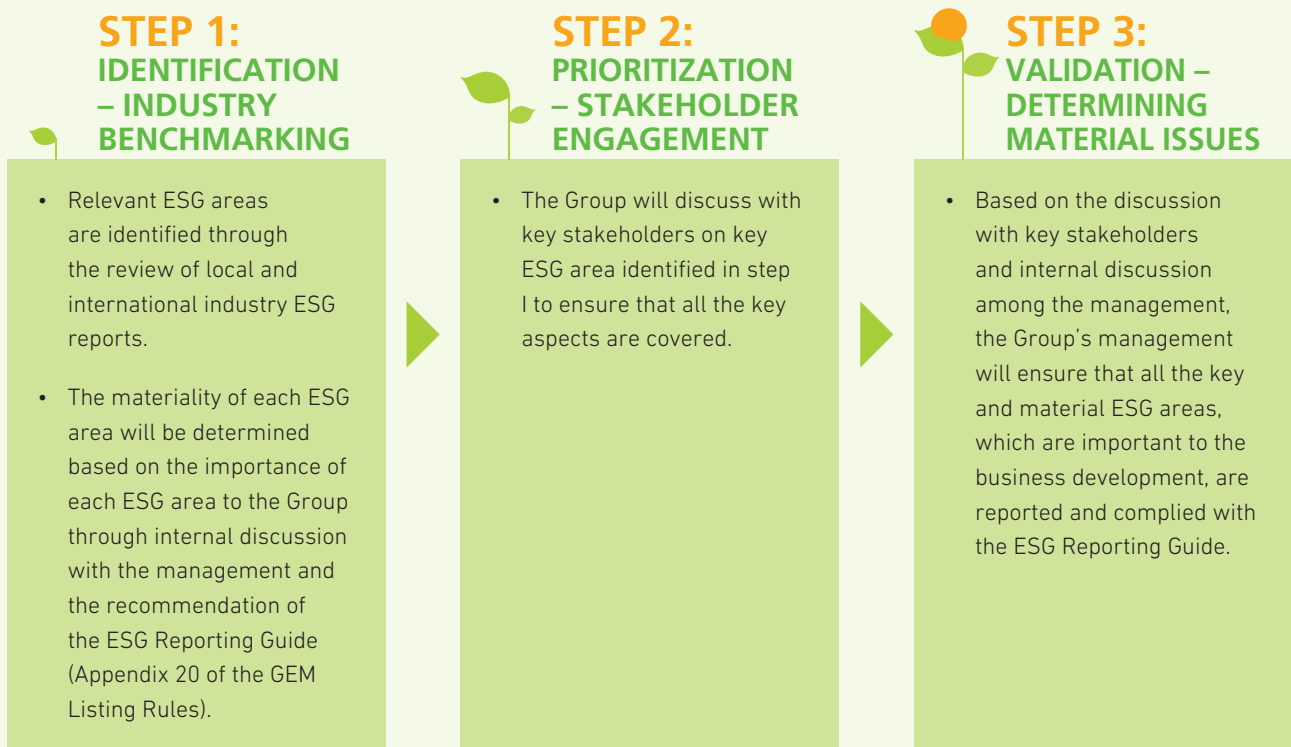
Stakeholders	Issues of Concerns	Engagement channels	Practices
<p>Employees</p>	<ul style="list-style-type: none"> – Rights and interests – Occupational health and safety – Work environment – Career development opportunities – Self-actualization 	<ul style="list-style-type: none"> – Meetings and conferences – Training, seminars, briefing sessions – Cultural and sport activities – Intranet and emails 	<ul style="list-style-type: none"> – Provide a healthy and safe work environment – Develop a fair mechanism for promotion – Establish labor unions at all levels to provide communication platforms for employees – Care for employees by helping those in need and organizing employee activities
<p>Customers</p>	<ul style="list-style-type: none"> – Stable relationship – Business ethics and integrity 	<ul style="list-style-type: none"> – Company website, brochures, annual reports, interim reports and quarterly reports and announcements – Email and customer service hotline 	<ul style="list-style-type: none"> – Organize marketing activities and visit to customers
<p>Business partners</p>	<ul style="list-style-type: none"> – Long-term partnership – Fairness and openness – Sharing of resources – Risk reduction – Business ethics and integrity 	<ul style="list-style-type: none"> – Meetings, phone calls, interviews – Performance reviews and assessments – On-site audit or checks – Emails, circulars and manuals – Company website 	<ul style="list-style-type: none"> – Establish long-term cooperation with qualified partners

Stakeholders	Issues of Concerns	Engagement channels	Practices
 <p>Industry associations</p>	<ul style="list-style-type: none"> – Long-term partnership – Cooperation – Fair competition 	<ul style="list-style-type: none"> – Regular meetings 	<ul style="list-style-type: none"> – In 2016, the Group was appointed as a deputy president unit of the Commercial Factoring Expertise Committee of the China Service Trade Association (CATIS), and a deputy president unit of the Shenzhen Commercial Factoring Association – In 2017, the Group became a “Year 2016 Commercial Factoring Contribution Unit” of the China Commercial Factoring Industry Conference
 <p>Bank and other financial institutions</p>	<ul style="list-style-type: none"> – Repayments on schedule – Business status – Operational risk 	<ul style="list-style-type: none"> – Conferences 	<ul style="list-style-type: none"> – Repay loans and interests on schedule and cooperate with lenders in investigation and inspection
 <p>Market Regulator</p>	<ul style="list-style-type: none"> – Compliance with law and regulations – Disclosure information 	<ul style="list-style-type: none"> – Information disclosure – Annual reports, interim reports, quarterly reports, circulars and announcements 	<ul style="list-style-type: none"> – Comply with regulatory requirements in a strict manner – Disclose and report true information in a timely and accurate manner according to law
 <p>Public and communities</p>	<ul style="list-style-type: none"> – Career opportunities – Social responsibilities 	<ul style="list-style-type: none"> – Volunteering – Charity and social investment 	<ul style="list-style-type: none"> – Partner with charitable organizations and supported medical and children development to enhance community harmony

Through general communication with stakeholders, the Group understands the expectations and concerns of the stakeholders. The feedbacks obtained allow the Group to make more informed decisions, and to better assess and manage the resulting impact.

The Group has adopted the principle of materiality in the ESG reporting by understanding the key ESG issues that are important to the business of the Group. All the key ESG issues and key performance indicators (KPIs) are reported in this Report in accordance with the recommendations of the ESG Reporting Guide (Appendix 20 of the GEM Listing Rules) and the Global Reporting Initiative Guidelines (the "GRI Guidelines").

The Group has evaluated the materiality and importance in ESG aspects through the following steps:





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As a result of this process carried out in 2018, those important ESG areas to the Group were discussed in this Report.

ENVIRONMENTAL ASPECT

Environmental protection is one of the key focuses of the Group in fulfilling its social responsibilities. We integrate environmental considerations into our business process and strive to continually improving our environmental performance while maintaining a high quality of services to our customers.

As a responsible corporate citizen, the Group is in strict compliance with the relevant laws and regulations in the PRC to mitigate the adverse impacts of our operations on the environment. During the reporting period, the Group is not aware of any non-compliance with PRC environmental laws.

ASPECT A1: EMISSIONS

GREENHOUSE GAS (GHG) EMISSION

Greenhouse gas is considered as one of the major contributors to climate change and global warming. As a financial service provider, our main source of emission is indirect emission through electricity consumption for our office operation. The Group attaches great importance to reduce the carbon footprint by adopting energy saving initiatives as mentioned in the section "Use of Resources". The increase in GHG emission in 2018 was mainly attributable to the increase in revenue generated from the provision of factoring and relevant services in the PRC during the year.

During the reporting period, the emission of greenhouse gas is as follows:

Greenhouse Gas Emission ¹	Unit	2018	2017
Scope 2 ²	tonnes of CO ₂ -e	24.00	16.74
Total	tonnes of CO ₂ -e	24.00	16.74
Intensity	tonnes of CO ₂ -e/m ²	0.092	0.064

1 The calculation of the greenhouse gas emission is based on the "Corporate Accounting and Reporting Standard" from greenhouse gas protocol.

2 Scope 2: Indirect emissions from the generation of purchased electricity consumed by the Group.



HAZARDOUS AND NON-HAZARDOUS WASTES

The Group's operational activities do not generate hazardous waste. For the generation of non-hazardous waste, the Group strives to minimize the environmental impacts by reducing the generation of commercial waste. The Group implements a variety of waste reduction measures as follows:

Paper Saving

Employees are encouraged to use both sides of paper, suitable font size and shrinkage mode to maximise utilization of paper. The back of single-sided documents will be used for printing or as draft paper. Furthermore, we promote dissemination of internal information by electronic means as much as possible to reduce our paper consumption.

Stationery Conservation

Refilling used-up pens is recommended instead of using new pens to reduce stationery consumed. We also purchase stationery with certification for green products that cause minimal impacts on the environment.

Materials Re-use and Conservation

We encourage our employees to reuse packaging materials so as to reduce the consumption of packaging materials. Moreover, manufacturers are encouraged to extend the product lifecycle.

Green Pantry

Reusable glass cups are provided in conference rooms and reception rooms to reduce consumption of paper cups. Green cleaning products, including phosphate free detergents and refillable soaps, are used.

Recycling

To increase environmental awareness of the employees, recycle bins are set up at public area of our office to separate wastes into different categories to facilitate recycling. For discarded computers, they will be collected and recycled by recycling companies.

Although the amount of non-hazardous waste generated by us is insignificant, we make every effort to reduce wastes in our operation. In future, the Group will continue to execute the "3R Principle", including Reuse, Reduce and Recycle, in order to minimize the environmental impacts from our operations.

The wastes generated by the Group during the reporting period are as follows:

Wastes disposal	Unit	2018	2017
Non-hazardous wastes produced	tonnes	7.04	N/A ³
Non-hazardous wastes produced intensity	tonnes/employee	0.0592	N/A ³

3 Non-hazardous data was not available in 2017 because the Group outsourced the office cleaning work to an independent contractor for handling and collecting non-hazardous waste and the waste volume has not been record has not been provided by the cleaning contractor.



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ASPECT A2: USE OF RESOURCES

In our daily operation, energy and water are the major sources of resource consumption. The Group consistently aims to implement energy and water efficiency initiatives to reduce the energy and water consumption. The Group also motivates all its employees to participate in resources conservation activities and encourages them to save energy and water.

ENERGY CONSUMPTION

In view of the scarcity of resources, the Group advocates various energy conservation strategies, for example, standby mode is set for computers when they are not in use for a long period of time, unnecessary lighting are switched off during lunch hours and all electrical appliance are switched off when not in use to improve our energy efficiency and management. In the daily operation, purchased electricity is the major source of energy consumption. The increase in electricity consumption in 2018 was mainly attributable to the increase in revenue generated from the provision of factoring and relevant services in the PRC during the year.

During the reporting period, the energy consumption is as follows:

Energy	Unit	2018	2017
Purchased electricity	MWh	27.47	24.89
Intensity	MWh/m ²	0.105	0.095

WATER CONSUMPTION

The business of our Group is operated in a commercial building where water supply is solely controlled by the property management company. In this case, it is infeasible for the Group to provide water consumption data as there is no sub-meter to record the data. However, the Group endeavours to reduce water usage, for example, automatic sensor water taps are installed and flush toilets are equipped with water-saving devices.

ASPECT A3: THE ENVIRONMENT AND NATURAL RESOURCES

Regarding the operation of our Group, we are not aware of any significant adverse impact on the environment and natural resources. With the green office measures implemented, we strive to minimize the impact on the environment and natural resources. The Group will continue to implement environment-friendly practices in the Group's operation in order to enhance environmental sustainability.

SOCIAL ASPECT

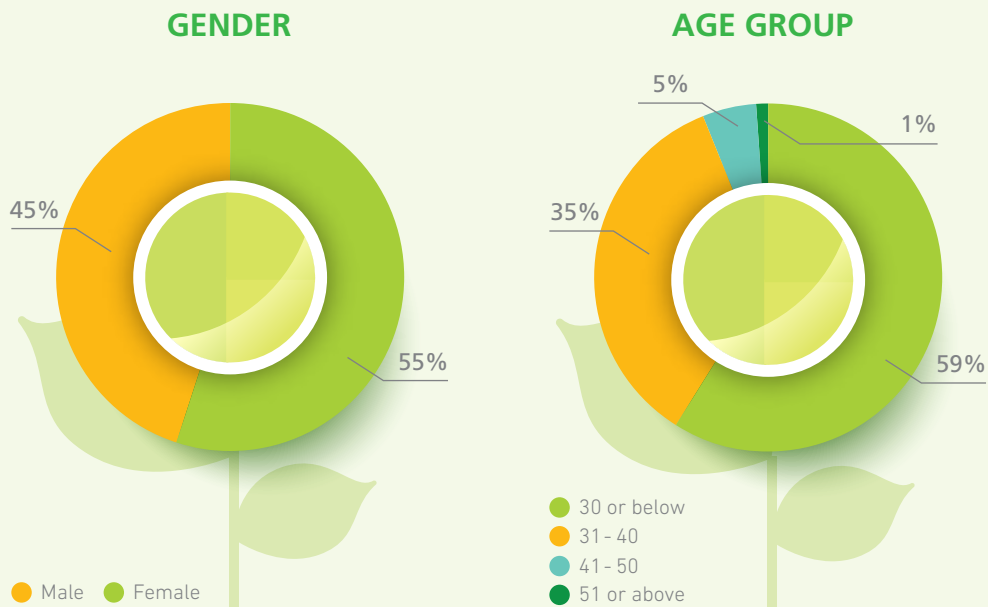
EMPLOYMENT AND LABOUR PRACTICES

ASPECT B1: EMPLOYMENT

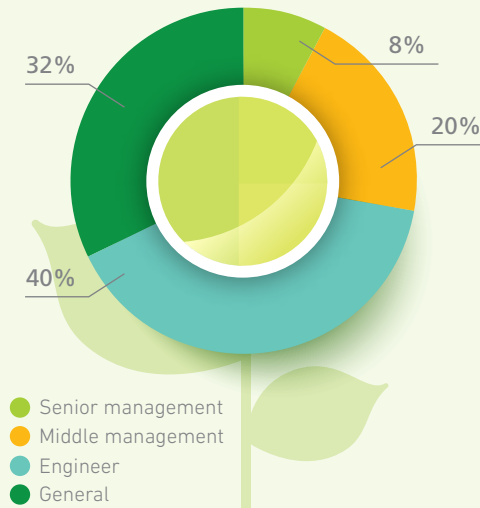
The Group regards employees as essential assets, which are the foundation for success and development of the Group. As such, we aim to attract and retain talents, ensure a safe and equal work environment for our employees, provide development opportunities and promote employee health and well-being. These aims have been incorporated into our staff handbook. The staff handbook covers the Group's standard in respect of compensation and dismissal, recruitment and promotion, working hours, rest periods and other benefits and welfare.

The Group is in strict compliance with the Labour Law of the PRC, the Labour Contract Law of the PRC and other applicable laws and regulations in the PRC. During the reporting period, the Group is not aware of any non-compliance with the applicable laws and regulations.

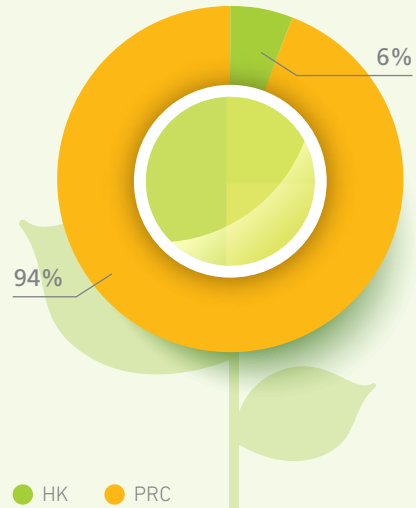
At the end of the reporting period, the Group has 117 employees located in Hong Kong, the PRC and overseas. Below is an employee breakdown by gender, age group, employment category and geographical region.



EMPLOYMENT CATEGORY



GEOGRAPHICAL REGION



Remuneration is an important tool to attract, retain and motivate talents in achieving key goals of the Group. We provide competitive remuneration for our employees according to their performance, experience and relevant skillset in recognition of their invaluable contribution to the Group. In addition, we have fully complied with relevant laws and regulations to provide social insurance and housing provident fund for our employees.

The Group strives to create a competitive welfare system for employees. Employees can enjoy birthday welfare, festivals welfare, health welfare and other allowances. We advocate our employees to maintain a work-life balance. Hence, we have organized a range of leisure activities for our employees, for example, festival gathering and annual dinner, to promote a healthy work style and strengthen employees' sense of belongings.

We are an equal opportunity employer who endeavours to create a diverse and inclusive workplace where all our employees are treated with dignity and respect. The principle of equal opportunity is applied in all employment policies, in particular to recruitment, training, career development and promotion of employees. The Group promotes fair competition and prohibits discrimination or harassment against any employee on their gender, age, marital status, religion, race, nationality, disability or any status protected by law.

The Group offers fair promotion opportunities which serve as a motivation for employees to continue learning and improving work performance. We conduct performance appraisals regularly on employees' ability, behavior and development potential to rank and adjust job positions. We are devoted to helping our employees to demonstrate their capabilities in line with their own career ambitions and the business objectives of the Group.

The staff handbook regulates the working hours of employees. For employees who are required to work overtime and on public holidays, overtime pay and additional compensation are provided as defined in the staff handbook. Furthermore, employees are entitled to statutory holidays, paid annual leave, marriage leave, maternity leave and paternity leave, etc. during their term of employment.

ASPECT B2: HEALTH AND SAFETY

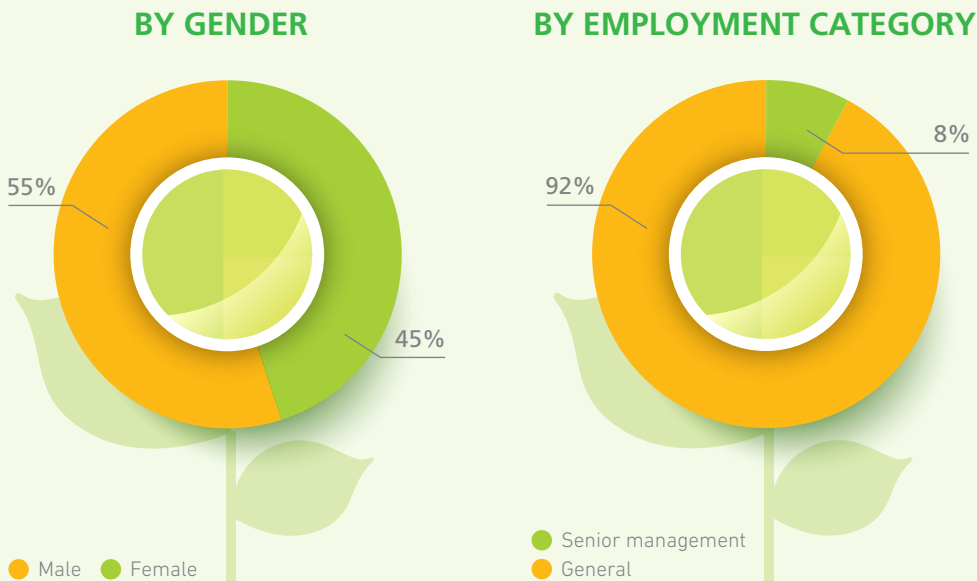
The Group has always placed the highest priority on securing health and safety for our employees. We strive to provide a safe and healthy working environment for all employees to protect them from occupational injuries or accidents. We are committed to achieving this goal by providing insurances, which include social insurance, labour insurance and business accident insurance for all employees. We also provide annual body check for employees who have passed probation. Furthermore, we reserve no effort to minimize the risk of fire by prohibiting smoking in all enclosed area of our office and participating in fire drills of the office building.

The Group adheres to the Labour Law of the PRC, the Law of the PRC on the Prevention and Treatment of Occupational Diseases and other applicable laws and regulations in the PRC. During the reporting period, the Group is not aware of any non-compliance with the relevant laws and regulations in providing a safe work environment. With the measures implemented, there is no case of work-related injury or accident during the reporting period.

ASPECT B3: DEVELOPMENT AND TRAINING

The Group aims at building a learning organization and ensuring all employees are provided with growing opportunities. We continue to promote a learning and sharing culture by providing outstanding and all-round trainings in various channels, which include face-to-face training, departmented sharing, E-learning, internal and external training, to ensure employees at all levels are well-equipped to excel work and in life.

During the reporting period, the percentage of employees trained and the average training hours completed per employee by gender and employee category are as follows:



Training hours	Average training hours (hours/employee)
By gender	
- Male	19
- Female	19
By employee category	
- Senior management	17
- General	29

Taking into consideration the development goals of the Group, staff trainings are provided under the follows three main categories, targeting employees at different levels:

- Management Training: specialized training for management staff to strengthen their management awareness and management ability.
- Professional Training: specialized training to meet the needs of the respective departments and positions, which includes professional certificate training and seminars.
- General Training: training targeting employees at all levels to increase their work efficiency, including computer skills training.



The followings are some of the main trainings provided by the Company during the reporting period:

Time	Participants	Topics
January	Department representatives All employees	Communication Skills Training Anti-fraud Training Program Introduction to Updated Employee Handbook
February	All employees	"Trade Secrets" Training
March	Information Technology Department All employees	Introduction to Information Technology Project Management Office Automation System Tutorial
April	All employees	Dialogue in the Dark Tour
May	Information Technology Department	Introduction to Microservice-From Theory to Practice
June	Corporate Finance, Operation and Risk Management Department All employees	Introduction to "Guaranty Law of the People's Republic of China" (I) Introduction to "Guaranty Law of the People's Republic of China" (II) Brief Description of Curtain Wall Industry
July	All employees	Efficient Communication
August	All employees All employees	How to Build Up a Demand Management System for Online Business Introduction to Effective Business Communication Skills
September	Corporate Finance, Operation, Risk Management and Production Departments All employees	Case sharing-Factoring Law of China Sharing Event on Construction Business Online Security Training
October	Corporate Finance Department Information Technology Department Corporate Finance, Operation and Risk Management All employees	Computer Knowledge and Frequently Asked Questions Introduction to Flutter Key Elements of Quality Audit for Factoring Business Archived Documentation System
November	All employees Corporate Finance, Operation and Risk Management Management Level	Microservices Framework Introduction to the Credit Reference Center of People's Bank of China and the Procedure of Registration Think On Your Need Workshop
December	Management Level	Management Skills Training

In order to better respond to employees' needs, the Group always seeks improvements on the effectiveness of trainings by conducting assessment and collecting feedback of the participants. These can help the Group to continuously improve the training programs offered in all levels so as to enhance the personal performance of the employees.

ASPECT B4: LABOUR STANDARDS

The Group is committed to upholding the elimination of all forms of forced and compulsory labour and supporting the effective abolition of child labour. The Group strictly complies with the Labour Law of the PRC, the Labour Contract Law of the PRC and other applicable laws and regulation. We have formulated regulations on the prohibition of child labour. To prevent recruitment of employees under the age of 16, employees are required to provide identity proofs to the Human Resources Department to verify the age. All work should be voluntarily performed and shall not involve forced labour.

During the reporting period, the Group is not aware of any non-compliance in relation to the employment of child labour, forced or compulsory labour in any operation.

OPERATING PRACTICES

ASPECT B5: SUPPLY CHAIN MANAGEMENT

The Group works with an array of different product and service providers. We integrate sustainability into the supply chain by purchasing products from reputable enterprises that uphold high corporate standards. The Group also emphasizes on the selection of products that cause minimal adverse impact on the environment, for example, we purchase green cleaning products and reusable items instead of single-use disposable products.

ASPECT B6: PRODUCT RESPONSIBILITY

As a financial service provider, we acknowledge the importance on the protection of data privacy. The Group is committed to protecting the confidentiality of the personal data and privacy of our customers. This commitment has been incorporated into the staff handbook. We convey clearly to our employees the Group's requirements and request employees to fully abide to prohibit unauthorized access to/or disclosure of confidential information, including transaction records and client identity. Employee who discloses confidential information to unauthorized person will be subject to disciplinary actions as defined in the staff handbook.

With the aim to create a highly secure environment and mitigate the risk of accidental leakage of client information, the Group has implemented a range of measures, which include prohibition of downloading and installing of unauthorized software, compulsory installation of anti-viruses system for all workplace computers and prohibition of personal use of workplace computers and emails.

With the above measures implemented, the Group has not discovered any cases regarding leakage of customer data during the reporting period.

ASPECT B7: ANTI-CORRUPTION

The Group maintains a high standard of business integrity throughout its operations. We have no tolerance in any form of corruption, bribery, extortion, fraud, money laundering and embezzlement. We request our employees to conform to our requirements on anti-corruption outlined in the staff handbook. Once a misconduct case is discovered and confirmed, the employee will be subject to disciplinary action and reported to the law enforcement authority when necessary.

The Group adheres to the Criminal Law of the PRC, the Anti-Unfair Competition Law of the PRC and other applicable laws and regulations. During the reporting period, no legal case concerned with corrupt practices has been brought against the Group.

COMMUNITY

ASPECT B8: COMMUNITY INVESTMENT

Our enterprise culture emphasizes on “Sharing and Growing” and we always encourage our employees to actively participate in community and social services. Since 2014, the Group has actively contributed to the community and continuously donate to various charitable organizations, which includes “Raleigh China” and “Handpicked Love Foundation”. Since 2014 now, the Group has donated approximately RMB4.8 million.

We always believe that community care is an important part of our operating practices. In future, the Group will continue to focus on community care and staff development, with the aim to improve the society through community involvement.

Raleigh China

“Raleigh China (雷勵中國)” is a non-profit organization dedicated to youth development. They believe and act in the way of “Serve and Achieve” by inspiring young people to step out of their comfort zone and contribute to sustainable communities. The Group is the sole sponsor in the building of the Raleigh China Guizhou base camp with an area of 3,679 m² and has made RMB400,000 donation. On 26 July 2017, the base camp was officially put into use which helped in running Raleigh programs that benefit local education, community infrastructure, environmental protection, as well as youth development. The Group has been awarded “Raleigh China Special Award for the year”, in recognition of our contribution to youth development in the year 2017. Besides, the Group has been nominated “WA Social Equality Award for the year” in October 2018.

Handpicked Love Foundation

“Handpicked Love Foundation (首彩愛心基金)” was founded in 2010. It focuses on the health and growth of children while promoting medical assistance and art and charity. Until the end of 2018, the Foundation has provided free treatments for about 700 poor children who have congenital heart disease. During the year, the Group has continued to support the “Handpicked Love Foundation” by making donation to provide free diagnosis and surgery for children.

Medical Development

One of the Group’s subsidiaries, Sheng Heng Factoring Limited, was invited to participate in the Strategic Cooperation Signing Ceremony held by the International Emergency Management Society (“TEMC”) and Dali University. TEMC and Dali University have cooperated to create exchange platforms in which members can share their medical knowledge and experience. As an enterprise that cares medical development, we are committed to donate RMB1 million to the Dali University and ten of its affiliated hospitals, as to foster academic exchange, talent cultivation and scientific research cooperation of medical sector.

Children development

The Group’s volunteer team has participated in “An egg runaway” charity walk which was organized by the Shanghai United Foundation in both 2017 and 2018. Participants were required to walk 50 kilometers with the aim to raise funds for supporting children development in remote areas, in particular nutrition and health, education development, safety protection and social integration. During the reporting period, the Group additionally donated approximately RMB1 million to the charity. Moreover, the Group donated RMB1.3 million to a kindergarten to improve its teaching quality and provide a more comfortable study environment to the local students.

Poverty Alleviation

In January 2018, the Group took part in the poverty alleviation activity in Heyuan and donated some daily consumables, which were worth approximately RMB80,000. In June 2018, the Group took part in the 8th Community Poverty Alleviation Activities. The Group donated electrical appliance such as fans, rice cookers and rice, which were worth about RMB80,000.

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Subject areas, aspects, general disclosures and Key Performance Indicators (KPIs)		Section	Page
A. Environmental			
A1: Emissions			
General Disclosure		"Environmental Aspects"	8
KPI A1.1	The types of emissions and respective emissions data	Not applicable to the Group's business	N/A
KPI A1.2	Greenhouse gas emissions in total and, where appropriate, intensity	"Emissions - Greenhouse Gas Emission"	8
KPI A1.3	Total hazardous waste produced and, where appropriate, intensity	Not applicable to the Group's business.	N/A
KPI A1.4	Total non-hazardous waste produced and, where appropriate, intensity	No significant amount of non-hazardous waste has been generated.	N/A
KPI A1.5	Description of measures to mitigate emissions and results achieved	"Emissions - Greenhouse Gas Emission"	8
KPI A1.6	Description of how hazardous and non-hazardous wastes are handled, reduction initiatives and results achieved	"Emissions - Hazardous and Non-hazardous Wastes"	9
A2: Use of Resources			
General Disclosure		"Use of Resources"	10
KPI A2.1	Direct and/or indirect energy consumption by type in total and intensity	"Use of Resources - Energy"	10
KPI A2.2	Water consumption in total and intensity	Not feasible for the Group to obtain the data.	N/A
KPI A2.3	Description of energy use efficiency initiatives and results achieved	"Use of Resources - Energy"	10
KPI A2.4	Description of whether there is any issue in sourcing water that is fit for purpose, water efficiency initiatives and results achieved	"Use of Resources - Water"	10
KPI A2.5	Total packaging material used for finished products and, if applicable, with reference to per unit produced	Not applicable to the Group's business.	N/A
A3: The Environment and Natural Resources			
General Disclosure		"The Environment and Natural Resources"	10
KPI A3.1	Description of the significant impacts of activities on the environment and natural resources and the actions taken to manage them	No significant impact of activities on the environment and natural resources has been noted.	N/A

Subject areas, aspects, general disclosures and Key Performance Indicators (KPIs)	Section	Page
B. Social Employment and Labour Practices		
B1: Employment		
General Disclosure	"Employment"	11
KPI B1.1 Total workforce by gender, employment type, age group and geographical region	"Employment"	–
KPI B1.2 Employee turnover rate by gender, age group and geographical region	–	–
B2: Health and safety		
General Disclosure	"Health and Safety"	13
KPI B2.1 Number and rate of work-related fatalities	No case of work-related fatalities has been noted.	–
KPI B2.2 Lost days due to work injury	No case of lost days due to work injury has been noted.	–
KPI B2.3 Description of occupational health and safety measures adopted, how they are implemented and monitored	"Health and Safety"	13
B3: Development and Training		
General Disclosure	"Development and Training"	13
KPI B3.1 The percentage of employee trained by gender and employee category	"Development and Training"	–
KPI B3.2 The average training hours completed per employee by gender and employee category	"Development and Training"	–
B4: Labour Standards		
General Disclosure	"Labour Standards"	16
KPI B4.1 Description of measures to review employment practices to avoid child and forced labour	"Labour Standards"	–
KPI B4.2 Description of steps taken to eliminate such practices when discovered	–	–

Subject areas, aspects, general disclosures and Key Performance Indicators (KPIs)	Section	Page
B. Social		
Operating Practices		
B5: Supply Chain Management		
General Disclosure	"Supply Chain Management"	16
KPI B5.1 Number of suppliers by geographical region	–	–
KPI B5.2 Description of practices relating to engaging suppliers, number of suppliers where the practices are being implemented, how they are implemented and monitored	–	–
B6: Product Responsibility		
General Disclosure	"Product Responsibility"	16
KPI B6.1 Percentage of total products sold or shipped subject to recalls for safety and health reasons	–	–
KPI B6.2 Number of products and service related complaints received and how they are dealt with	–	–
KPI B6.3 Description and practices relating to observing and protecting intellectual property rights	–	–
KPI B6.4 Description of quality assurance process and recall procedures	–	–
KPI B6.5 Description of consumer data protection and privacy policies, how they are implemented and monitored	"Product Responsibility"	16
B7: Anti-corruption		
General Disclosure	"Anti-corruption"	16
KPI B7.1 Number of concluded legal cases regarding corrupt practices brought against the issuer or its employees during the reporting period and the outcomes of the case	No concluded legal case regarding corrupt practices has been noted.	–
KPI B7.2 Description of preventive measures and whistle-blowing procedures, how they are implemented and monitored	–	–
Community		
B8: Community Investment		
General Disclosure	"Community Investment"	17
KPI B8.1 Focus areas of contribution (e.g. education, environmental concerns, labour needs, health, culture, sport)	"Community Investment"	17
KPI B8.2 Resources contributed (e.g. money or time) to the focus area	"Community Investment"	–



盛業資本
SHENG YE CAPITAL