HKEX 香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 31/12/2019

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Shaanxi Northwest New Technology Industry Company Limited
Date Submitted	03/01/2020

I. Movements in Authorised Share Capital

1.	Ordinary	Shares

(1) Stock code : <u>8258</u> Descrip	otion :	Domestic Share			
	No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)		
Balance at close of preceding month	974,000,000	0.10	97,400,000		
Increase/(decrease)					
Balance at close of the month	974,000,000	0.10	97,400,000		
(2) Stock code : 8258 Description : H Share					
(2) Stock code : <u>8258</u> Descrip	otion :	H Sha	are		
(2) Stock code : <u>8258</u> Descrip	otion : No. of ordinary shares	H Sha Par value (RMB)	Authorised share capital (RMB)		
(2) Stock code : <u>8258</u> DescripBalance at close of preceding month	No. of ordinary	Par value	Authorised share capital		
	No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)		

2. Preference Shares

Stock code :Dea	scription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

3. Other Classes of Shares

ption :		
No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
	No. of other	Par value No. of other (State

Total authorised share capital at the end of the month (*RMB*) : 130,500,000

II. Movements in Issued Share Capital

	No. of ordi (1) 680,000,000	nary shares (2) 331,000,000	No of preference shares	No. of other classes of shares
	(1) 000,000,000	(2) 331,000,000	5110105	of shares
Balance at close of preceding month	974,000,000	331,000,000		
Increase/ (decrease) during the month				
Balance at close of the month	974,000,000	331,000,000		
month	974,000,000	331,000,000		

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option Scl	hemes of the Iss	uer)			
Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and -		Movement dur	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month		
class of shares	Created	Energiand	Concelled	Lanad		
issuable 1.	Granted	Exercised	Cancelled	Lapsed		
1.						
3. 						
Total funds raised due options (State current		om exercise of	Total A. (Ord (Prefe	linary shares) erence shares) (Other class)		
options (State current	cy)					

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1.</u>					
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy) 3.	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
EGM approval date (if applicable) (dd/mm/yyyy) 4.	(/ /)				
Class of shares issuable (<i>Note 1</i>)					
EGM approval date (if applicable)	(/ /)				
	Total	(Prefere	nary shares) ence shares) Other class)		

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible in	nto Shares of the I	Issuer which are to	be Listed)			
Class and description 1.	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date		·				
(if applicable)						
(dd/mm/yyyy))						
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
3.						
Stock code (if listed)						
Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable)						
	(/ /)					
Stock code (if listed) Class of shares issuable (<i>Note 1</i>)		·				
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
		r.	(Prefere	nary shares) ence shares) Other class)		

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy),	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
if applicable, and class of shares issuable:		
1		
(/ /)		
Ordinary shares (Note 1)		
2		
shares (Note 1)		
3.		
J		
	-	
shares (Note 1)		
Total D. (Ordinary shares)	1	
(Preference shares)		
(Other class)		

	Type of Issue	ssued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable				
1.	Rights issue	At price : State	(Note 1) Issue and allotment	(/ /)		
		currency	date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)				
2.	Open offer	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable				
3.	Placing	At price : State	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		

5.	Type of Issue Scrip dividend At price : State currency	Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /	<u>Board</u>))	and GEM listed No. of new shares of issuer issued during the month pursuant thereto	issuers No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		EGM approval date: (dd/mm/yyyy)	ţ	, ,)		
		Class of shares repurchased (Note 1)					
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares redeemed (<i>Note 1</i>)					
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares issuable (Note 1)					
8.	Consideration issue At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		

		For Main Board and GEM listed issuers					
	Type of Issue				:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	••		Class of shares issuab (Note 1)	ole			
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
							·
			Class of shares issuab (Note 1)	ble			
10.	Other (Please specify)	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Total E.	(Preference s	hares))))	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	
	(2)	
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)		

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Remarks	(if	any)):
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Submitted by:	Wang Feng
Title:	Director
(Director, Se	cretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.