HKEX 香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 31/08/2020

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Shaanxi Northwest New Technology Industry Company Limited
Date Submitted	03/09/2020

I. Movements in Authorised Share Capital

1.	Ordinary	Shares

(1) Stock code : <u>8258</u> Descrip	otion :	Domestic	Share
	No. of ordinary shares	Par value (<i>RMB</i>)	Authorised share capital (RMB)
Balance at close of preceding month	974,000,000	0.10	97,400,000
Increase/(decrease)			
Balance at close of the month	974,000,000	0.10	97,400,000
(2) Stock code : <u>8258</u> Descrip	otion :	H Sha	are
(2) Stock code : <u>8258</u> Descrip	otion : No. of ordinary shares	H Sha Par value (RMB)	Authorised share capital (RMB)
(2) Stock code : <u>8258</u> DescripBalance at close of preceding month	No. of ordinary	Par value	Authorised share capital
	No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)

2. Preference Shares

Stock code :Des	cription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

3. Other Classes of Shares

Stock code :Desci	iption :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Total authorised share capital at the end of the month (*RMB*) : 130,500,000

II. Movements in Issued Share Capital

	No. of ordi (1) 680,000,000	nary shares (2) 331,000,000	No of preference shares	No. of other classes of shares
Balance at close of preceding month Increase/ (decrease) during the month	974,000,000	331,000,000		
Balance at close of the month	974,000,000	331,000,000		

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option Sci	hemes of the Issu	ier)			
Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and -		Movement duri	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month		
class of shares issuable	Granted	Exercised	Cancelled	Lapsed		
1.				1		
(/ /)						
(Note 1)						
2.						
$\frac{(/ /)}{\frac{\text{shares}}{(Note 1)}}$						
<u>3.</u>						
(/ /) shares						
(Note 1)						
			Total A. (Ord (Prefe	linary shares) erence shares) (Other class)		
Total funds raised dur options (State currenc		om exercise of		· · · · · · · · · · · · · · · · · · ·		

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.					
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)					_
<u>2.</u>	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3.	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date					
(if applicable)	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	<u>(/ /)</u> Total	(Prefere	nary shares) ence shares) Other class)		

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible in	nto Shares of the l	Issuer which are to	be Listed)			
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Stock code (if listed)		·				
issuable (<i>Note 1</i>)						
EGM approval date (if applicable) (dd/mm/yyyy))						
<u>2.</u>						
Stock code (if listed) Class of shares issuable (<i>Note 1</i>)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
<u>3.</u>						
Stock code (if listed) Class of shares issuable (<i>Note 1</i>)						
Subscription price EGM approval date						
(if applicable)	(/ /)					
<u>4.</u>						
	—					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)		(Prefere	nary shares)		
			(0	Other class)		-

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
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_	
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-	
_	
_	
_	
_	
-1	
	-
	-
	the month pursuant

	Type of Issue	ssued Share Capital					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable					
1.	Rights issue	At price : State	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)					
2.	Open offer	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable					
3.	Placing	At price : State	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)					
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		

		For Main Board and GEM listed issuers						
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of
		Class of shares issuable						
		(Note 1)						
5.	Scrip dividend At price : State currency —	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
		EGM approval date: (dd/mm/yyyy)	(/	/)		
		Class of shares						
6	Demughase of	repurchased (Note 1)	(7	/)		
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	(,	,)		
		EGM approval date: (dd/mm/yyyy)	(/	/)		
		Class of shares						
		Class of shares redeemed (<i>Note 1</i>)						
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(/	/)		
		EGM approval date: (dd/mm/yyyy)	(/	/)		
		Class of shares issuable (<i>Note 1</i>)						
8.	Consideration issue At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
		EGM approval date: (dd/mm/yyyy)	(/	/)		
								·

				For Main Board and GEM listed issuers				
	Type of Issue				S	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month	
			Class of shares issuab (<i>Note 1</i>)	ble				
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)			
			EGM approval date: (dd/mm/yyyy)	(/ /)			
			Class of shares issuab (<i>Note 1</i>)	ole				
10.	Other (Please specify)	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)			
			EGM approval date: (dd/mm/yyyy)	(/ /)			
		Total E. (Ordinary shares) (Preference shares) (Other class)					-	

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)				
	(2)				
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):					
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):					
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital"					

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Remarks	(if	any)	:
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Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.