HKEX 香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : <u>31/10/2020</u>

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Shaanxi Northwest New Technology Industry Company Limited
Date Submitted	03/11/2020

I. Movements in Authorised Share Capital

1. Ordinary Shares					
(1) Stock code : <u>8258</u> Descrip	ption : Domestic Share				
	No. of ordinary shares	Par value (<i>RMB</i>)	Authorised share capital (RMB)		
Balance at close of preceding month	974,000,000	0.10	97,400,000		
Increase/(decrease)					
Balance at close of the month	974,000,000	0.10	97,400,000		
(2) Stock code : <u>8258</u> Descrip	ption : H Share				
	No. of ordinary shares	Par value (<i>RMB</i>)	Authorised share capital (RMB)		
Balance at close of preceding month	33,100,000	0.10	33,100,000		
Increase/(decrease)					
Balance at close of the month	331,000,000	0.10	33,100,000		

2. Preference Shares

Stock code :Dese	cription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

3. Other Classes of Shares

ption :		
No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
	No. of other	Par value No. of other (State

Total authorised share capital at the end of the month (*RMB*) : 130,500,000

II. Movements in Issued Share Capital

	No. of ordi (1) 680,000,000	nary shares (2) 331,000,000	No of preference shares	No. of other classes of shares
Balance at close of preceding month Increase/ (decrease) during the month	974,000,000	331,000,000		
Balance at close of the month	974,000,000	331,000,000		

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option Sc	hemes of the Issu	uer)			
Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and		Movement dur	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month		
class of shares issuable	Granted	Exercised	Cancelled	Lapsed		
1.	Granteu	Exercised	Cancened	Lapseu		
1.						
3. 						
Total funds raised dur		om exercise of	Total A. (Oro (Prefe	linary shares) erence shares) (Other class)		
options (State currenc	(y)					

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1.</u>					
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)				
<u>2.</u>					
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) <u>3</u> .	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)				
4					
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)				
	Total	(Prefere	nary shares) ence shares) Other class)		

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible in	nto Shares of the l	Issuer which are to	be Listed)	or main Dourd		a 155a015
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.						
Stock code (if listed)						
Class of shares						
issuable (<i>Note 1</i>) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy))						
2						
<u></u>						
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)					
<u>3.</u>						
Stock code (if listed)						
Class of shares						
issuable (<i>Note 1</i>)						
EGM approval date						
(if applicable)						
4	(/ /)					
4.						
Stock code (if listed)						
issuable (<i>Note 1</i>)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)					
		-	Fotal C. (Ordir	hary shares)		-
			(Prefere)	ence shares)		_
			(-

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1	-	
	-	
Ordinary shares (Note 1)		
2.		
2	-	
	-	
shares (Note 1)		
3.		
shares (Note 1)	-	
Total D. (Ordinary shares)	1	
(Preference shares)		
(Other class)		

Type of Iss	ue.					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1990 01 155			Class of shares issuable				
			(Note 1)				
1. Rights iss	ue At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable				
			(Note 1)				
2. Open offe	r At price :	State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)				
3. Placing		State	_ Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)				
4. Bonus iss	ue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		

	Type of Issue	Fc	or M	<u>ain F</u>	<u>3oard</u>	and GEM listed No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of
		Class of shares issuable					
5.	Scrip dividend At price : State currency ———	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares repurchased (<i>Note 1</i>)					
6.	Repurchase of shares	Cancellation date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares redeemed (<i>Note 1</i>)					
7.	Redemption of shares	Redemption date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
		Class of shares issuable (<i>Note 1</i>)					
8.	Consideration issue At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
		EGM approval date: (dd/mm/yyyy)	(/ /)		
							· · · · ·

		For Main Board and GEM listed issuers					
Type of Issue		shares of issuer shares issued during issuer w the month may b pursuant issue thereto pursua thereto a close of	of hich be d unt as at the				
		Class of shares issuable (Note 1)					
Capital reorganisation		Issue and allotment (/ /) date : (dd/mm/yyyy)					
		EGM approval date: (/ /) (dd/mm/yyyy)					
		Class of shares issuable (Note 1)					
Other (Please specify)	At price : State	Issue and allotment (/ /) date : (dd/mm/yyyy)					
		EGM approval date: (/ /) (dd/mm/yyyy)					
		Total E. (Ordinary shares) (Preference shares) (Other class)					
	reorganisation	Capital reorganisation	No. of new shares of issuer shares issued during issuer with month pursuant thereto a close of mont Capital reorganisation Class of shares issuable (Note 1) Issue and allotment (1// / 1) Geffer (Please specify) At price : State (Please specify) Class of shares issuable (Note 1) EGM approval date: (1// 1) Issue and allotment (1// 1) Geffer (Please specify) At price : State (Id/mm/yyyy) EGM approval date: (1// 1) Issue and allotment (1// 1) Issue and allotment (1// 1) Geffer (Please specify) At price : State (Id/mm/yyyy) EGM approval date: (1// 1) Issue and allotment (1// 1) Issue and allotme				

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)		
	(2)		
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):			
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):			
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)			

Remarks	(if	any)	:
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Submitted by: Wang Feng
Title: Director
(Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.