HKEX 香港交易所

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) : 30/11/2020

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Shaanxi Northwest New Technology Industry Company Limited
Date Submitted	03/12/2020

I. Movements in Authorised Share Capital

1. Ordinary Shares

(1) Stock code :	1) Stock code : <u>8258</u> Des	_Descrip	tion :	Domestic	Share
			No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)
Balance at close of p	preceding n	nonth	974,000,000	0.10	97,400,000
Increase/(decrease)					
Balance at close of t	he month		974,000,000	0.10	97,400,000
(2) Stock code :	8258	Descrip	tion :	H Sha	are
(2) Stock code :	8258	_Descript	tion : No. of ordinary shares	H Sha Par value (RMB)	Authorised share capital (RMB)
(2) Stock code :Balance at close of p			No. of ordinary	Par value	Authorised share capital
			No. of ordinary shares	Par value (RMB)	Authorised share capital (RMB)

2. Preference Shares

Stock code :Desc	ription :		
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

3. Other Classes of Shares

Stock code :Descr	iption :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Total authorised share capital at the end of the month (*RMB*) : 130,500,000

II. Movements in Issued Share Capital

	No. of ordi (1) 680,000,000	nary shares (2) 331,000,000	No of preference shares	No. of other classes of shares
	(1) 000,000,000	(_) 001,000,000		01 0110100
Balance at close of preceding month	974,000,000	331,000,000		
Increase/ (decrease) during the month				
Balance at close of the				
month	974,000,000	331,000,000		

III. Details of Movements in Issued Share Capital

Share Options (under	Share Option Sc	hemes of the Issu	uer)			
Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and —		Movement dur	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month		
class of shares issuable	Granted	Exercised	Cancelled	Lapsed		
1.	Ofailleu	Exercised	Calicelleu	Lapseu		
shares						
(Note 1)						
2						
2.						
shares						
(Note 1)						
3.						
<u>(/ /)</u>						
(Note 1)						
(
				dinary shares)		
			(Prefe	erence shares) (Other class)		
Total funds raised dur	ing the month fr	om exercise of		(Other Class)		
options (State currenc						

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.					
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)					
<u>2.</u>					
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy) 3.	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price					
EGM approval date (if applicable)	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price					
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)				
	Total	(Prefere	ary shares) nce shares) Other class)		

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible i	nto Shares of the l	ssuer which are to	be Listed)		No. of new shares of issuer issued during the month pursuant	No. of new shares of issuer which may be issued pursuant thereto as at
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	thereto	close of the month
<u>1.</u>		monui	month	monui		
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price EGM approval date		·				
(if applicable) (dd/mm/yyyy)) 2.						
	_					
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price		·				
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
<u>3.</u>						
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price						
EGM approval date (if applicable)	(/ /)					
	_					
Stock code (if listed) Class of shares issuable (<i>Note 1</i>) Subscription price		·				
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)			1		
		,	(Prefere	nary shares) ence shares) Other class)		_

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1	-	
Ordinary shares (Note 1)		
2	-	
	-	
shares (Note 1)		
3.		
	-	
	-	
shares (Note 1)		
Total D. (Ordinary shares)	4	
(Preference shares)		
(Other class)		

	Type of Issue	ssued Share Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable				
1.	Rights issue	At price : State	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		. <u> </u>
			Class of shares issuable (<i>Note 1</i>)				
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable				
3.	Placing	At price : State	(<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (<i>Note 1</i>)				
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		

	Type of Issue			No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
5.	Scrip dividend At price : State currency ———	Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	/ /		
6.	Repurchase of shares	Class of shares repurchased (<i>Note 1</i>) Cancellation date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	/ /		
7.	Redemption of shares	Class of shares redeemed (<i>Note 1</i>) Redemption date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	/ /		
8.	Consideration issue At price : State currency ————————————————————————————————————	Class of shares issuable (<i>Note 1</i>) Issue and allotment date : (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	/ /		

]	For Main B	oard a	nd GEM listed	
	Turne of Josus				5	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue						montin
			Class of shares issuab (Note 1)	le			
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuab (Note 1)	le			
10.	Other (Please specify)	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
<u> </u>							
			Total E.	(Ordinary s			
			(.	Preference s (Other			
					ciass		

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)		
	(2)		
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):			
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):			
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)			

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Remarks	(if	any)):
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Submitted by: Wang Feng
Title: Director
(Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.