

For the month ended (dd/mm/yyyy):

Monthly Return of Equity Issuer on Movements in Securities

| To: Hong Kong Exchanges and Clearing Limited | | | | | |
|--|--|-----------------|---|--|--|
| Name of Issuer Date Submitted Shaanxi Northwest New Technology Industry Company Limited 02/07/2021 | | | | | |
| I. Movements in Authorised Share Capita | al | | | | |
| 1. Ordinary Shares | | | | | |
| (1) Stock code : 8258 Descrip | tion: | Domestic | Share | | |
| Balance at close of preceding month | No. of ordinary shares 974,000,000 | Par value (RMB) | Authorised share capital (RMB) 97,400,000 | | |
| Increase/(decrease) | | | | | |
| Balance at close of the month | 974,000,000 | 0.10 | 97,400,000 | | |
| (2) Stock code : 8258 Descrip | tion: | H Sha | nre | | |
| Balance at close of preceding month | No. of ordinary shares 33,100,000 | Par value (RMB) | Authorised share capital (RMB) 33,100,000 | | |
| Increase/(decrease) | | | | | |
| Balance at close of the month | 331,000,000 | 0.10 | 33,100,000 | | |

30/06/2021

130,500,000

| Stock code :Des | cription: | | |
|---|--------------------------------|----------------------------------|---|
| | No. of preference shares | Par value (State currency) | Authorised share capital (State currency) |
| Balance at close of preceding month | | | |
| Increase/(decrease) | | | |
| Balance at close of the month | | | |
| 3. Other Classes of Shares | | | |
| Stock code :Des | cription: | | |
| | | Par value | Authorised share |
| | No. of other classes of shares | (State currency) | capital (State currency) |
| Balance at close of preceding month | classes of shares | , | |
| Balance at close of preceding month Increase/(decrease) | classes of shares | , | |

Total authorised share capital at the end of the month (RMB):

II. Movements in Issued Share Capital

| | No. of ordi | nary shares | No of preference | No. of other classes |
|--|-----------------|-----------------|------------------|----------------------|
| | (1) 680,000,000 | (2) 331,000,000 | shares | of shares |
| Balance at close of preceding month | 974,000,000 | 331,000,000 | | |
| Increase/ (decrease) during the month | | | | |
| Balance at close of the month | 974,000,000 | 331,000,000 | | |

III. Details of Movements in Issued Share Capital

| Share Option So | chemes of the Issu | er) | | | |
|-------------------|--------------------|---|---|---|---|
| | Movement duri | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close | | |
| | TVIO VEINEIR GGII | ing the month | | - | of the month |
| | | | | | |
| Granted | Exercised | Cancelled | Lapsed | | |
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| | | · | · | | |
| | | • | (Otner class) | | |
| ring the month fr | om exercise of | | | | |
| | Granted | Movement duri Granted Exercised | Total A. (Ordan (Preference) | Movement during the month Granted Exercised Cancelled Lapsed Total A. (Ordinary shares) (Preference shares) (Other class) ring the month from exercise of | Movement during the month Granted Exercised Cancelled Lapsed Total A. (Ordinary shares) (Preference shares) (Other class) |

| Description of warrants (Date of expiry - dd/mm/yyyy) | nominal | Nominal value at close of preceding month | Exercised during the month | Nominal value at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|---|-------------------|---|----------------------------|--|--|--|
| 1. | - | | | | | |
| | <u> </u> | | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price | | | | | | |
| EGM approval date (if applicable) (dd/mm/yyyy) 2. | (/ / |) | | | | |
| | - | | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) | | | | | | |
| Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. | (/ / |) | | | | |
| | . - | | | | | |
| (/ /) Stock code (if listed) Class of shares issuable (Note 1) | | | | | | |
| Subscription price EGM approval date (if applicable) | | | | | | |
| 4. | - | | | | | |
| Class of shares | | | | | | |
| Subscription price EGM approval date (if applicable) | |) | | | | |
| | · | Total | (Prefere | nary shares) ence shares) Other class) | | _ |

| Class and description | Currency of amount outstanding | Amount at close of preceding month | Converted during the month | Amount at close of the month | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|--|--------------------------------|------------------------------------|----------------------------|------------------------------|--|--|
| 1. | outstanding | month | monui | monui | | |
| | | | | | | |
| | <u> </u> | | | | | |
| Stock code (if listed) | | | | | | |
| Class of shares | | | | | | |
| issuable (<i>Note 1</i>) Subscription price | | | | | | |
| EGM approval date | | | | | | |
| (if applicable) (dd/mm/yyyy)) | | | | | | |
| 2. | | | | | | |
| | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Class of shares | | | | | | |
| issuable (<i>Note 1</i>) Subscription price | | | | | | |
| EGM approval date | | | | | | |
| (if applicable) | | | | | | |
| (dd/mm/yyyy) 3. | (/ /) | | | | | |
| <u> </u> | | | | | | |
| | <u> </u> | | | | | |
| Stock code (if listed) | | | | | | |
| Class of shares | | | | | | |
| issuable (Note 1) | | | | | | |
| Subscription price | | | | | | |
| (if applicable) | | | | | | |
| (dd/mm/yyyy) 4. | (/ /) | | | | | |
| ·· | | | | | | |
| | | | | | | |
| Stock code (if listed) | | | | | | |
| Class of shares | | | | | | |
| issuable (Note 1) | | | | | | |
| Subscription price | | | | | | |
| (if applicable) | | | | | | |
| (dd/mm/yyyy) | (/ /) | | Fotal C. (Ordin | nary shares) | | |
| | | | (Prefere | ence shares) | | - |
| | | | (| Other class) | | _ |

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

| than under share option senemes) | | |
|---|--|---|
| Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable: | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
| 1 | | |
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| Ordinary shares (Note 1) | | |
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| 2 | | |
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| | | |
| shares (Note 1) | | |
| Silates (Note 1) | | |
| 3. | | |
| J | | |
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| shares (Note 1) | | |
| | | |
| Total D. (Ordinary shares) | | |
| (Preference shares) | | |
| (Other class) | | |
| | | |

| | | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
|----|---------------|---------------------------|---|-------|---|---|---|
| | Type of Issue | | | | | | monui |
| | | | Class of shares issuable (Note 1) | | | | |
| 1. | Rights issue | At price : State currency | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 2. | Open offer | At price : State currency | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 3. | Placing | At price : State currency | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 4. | Bonus issue | | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |

For Main Board and GEM listed issuers

| 1 - | | | | | | | issuers |
|-----|----------------------|-----------------------------|---|-------|---|---|---|
| | Type of Issue | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer which may be issued pursuant thereto as at close of the month |
| | Type of issue | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 5. | Scrip dividend | At price : State currency — | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares repurchased (<i>Note 1</i>) | | | | |
| 6. | Repurchase of shares | | Cancellation date: (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares redeemed (<i>Note 1</i>) | | | | |
| 7. | Redemption of shares | | Redemption date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |
| | | | | | | | |
| | | | Class of shares issuable (Note 1) | | | | |
| 8. | Consideration issue | At price : State currency | Issue and allotment date : (dd/mm/yyyy) | (/ / |) | | |
| | | | EGM approval date: (dd/mm/yyyy) | (/ / |) | | |

For Main Board and GEM listed issuers

| | | ГО | or ivi | ані г | oaru | and GEW listed | |
|-----|--|--|--------|-------|--------|---|---|
| | Type of Issue | | | | | No. of new shares of issuer issued during the month pursuant thereto | No. of new shares of issuer whic may be issued pursuant thereto as a close of the month |
| | | Class of shares issuable (<i>Note 1</i>) | | | | | |
| 9. | Capital reorganisation | Issue and allotment date : (dd/mm/yyyy) | () | / / |) | | |
| | | EGM approval date: (dd/mm/yyyy) | (, | / / |) | | |
| | | | | | | | |
| | | Class of shares issuable (Note 1) | | | | | |
| 10. | Other (Please specify) At price : State currency | - Issue and allotment date : (dd/mm/yyyy) | (| / / |) | | |
| | | EGM approval date: (dd/mm/yyyy) | (, | / / |) | | |
| | | Total E. (O | efere | ence | share | es) es) | - - - |
| | | | | | | | |
| Т | otal increase / (decrease) in ordinary shares during the | e month (i.e. Total of A to E) | : | | (1 | • | |
| To | otal increase / (decrease) in preference shares during t | the month (i.e. Total of A to 1 | E): | | , | | |
| To | otal increase / (decrease) in other classes of shares du | ring the month (i.e. Total of | A to | E): | | | |
| (7 | These figures should be the same as the relevant figure | es under II above ("Moveme | ents i | n Is. | sued . | Share Capital"). |) |

| Remarks (if any): |
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| |
| Submitted by: Wang Feng |
| Title: Director |
| (Director, Secretary or other duly authorised officer) |
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Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.