



*(Continued into Bermuda with limited liability)*

**(Stock Code: 8166)**

**(the “Company”)**

## **SHAREHOLDERS’ COMMUNICATION POLICY**

### **1. Purpose**

The shareholders’ communication policy (the “**Policy**”) sets out the provisions with the aim to provide the shareholders of the Company (the “**Shareholders**”) and other stakeholders (including potential investors) with ready, equal and timely access to balanced and understandable information about the Company, in order to enable the Shareholders to exercise their rights in making informed assessments of the Company, and to allow the Shareholders and the investment community to engage actively with the Company.

### **2. General Policy**

- 2.1. The board of directors of the Company (the “**Board**”) shall maintain an on-going dialogue with the Shareholders and the investment community, and will regularly review this Policy to ensure its effectiveness.
- 2.2. Information shall be communicated to the Shareholders and the investment community mainly through the Company’s financial reports (quarterly, interim and annual reports), annual general meetings and other general meetings that may be convened, as well as by making regulatory disclosures through The Stock Exchange of Hong Kong (the “**Stock Exchange**”) website at <https://www.hkexnews.hk> and corporate communications and other corporate publications on the Company’s website at <http://www.aplushk.com/clients/8166chinaeco-farming/index.html>.
- 2.3. Effective and timely dissemination of information to the Shareholders and the investment community shall be ensured at all times. Any question regarding this Policy shall be directed to the company secretary of the Company (the “**Company Secretary**”).

### 3. Communication Strategies

#### Shareholders' Enquiry

- 3.1. Shareholders should direct their questions about their shareholdings to the Company's branch share registrar in Hong Kong. The contact details are as follows:

Union Registrars Ltd.  
Suites 3301-04, 33/F  
Two Chinachem Exchange Square  
338 King's Road, North Point  
Hong Kong  
Telephone: (852) 2849 3399  
Facsimile: (852) 2849 3319

- 3.2. Shareholders and the investment community may at any time make a request for the Company's information to the extent such information is publicly available.
- 3.3. Shareholders and the investment community who have any query in respect of the Company are most welcome to contact the Company Secretary or by email to [info@chinaeco-farming.com](mailto:info@chinaeco-farming.com) or write to the Company at:

Company Secretary  
China Eco-Farming Limited  
20/F., Wanchai Central Building  
89 Lockhart Road, Wanchai, Hong Kong  
Telephone: (852) 3106 0388  
Facsimile: (852) 3106 0399

#### Corporate Communications

- 3.4. Corporate Communications have the meaning ascribed thereto in the Rules Governing the Listing of Securities on GEM of the Stock Exchange (the "**Listing Rules**"), which include but are not limited to the Company's financial reports (quarterly, interim and annual reports), annual general meetings and other general meetings that may be convened, as well as any regulatory disclosures through the Stock Exchange's website and corporate communications and other corporate publications on the Company's website.
- 3.5. Corporate Communications will be provided to the Shareholders in plain language and in both English and Chinese versions to facilitate the Shareholders' understanding. Shareholders are encouraged to access the Company's corporate communications electronically via the Company's website at <http://www.aplushk.com/clients/8166chinaeco-farming/index.html> or through the Stock Exchange's website at <https://www.hkexnews.hk> to help protect the environment. Shareholders have the right to choose the language (either English or Chinese) or means of receipt of the corporate communications.

- 3.6. As long as the Shareholders whose names are registered in the register of members of the Company, they are entitled to receive hard copies of the corporate communications which include but are not limited to (i) the directors' report, the annual accounts together with a copy of the auditor's report; (ii) the interim report; (iii) a notice of meeting; (iv) a listing document; (v) a circular; and (vi) a form of proxy. For the Shareholders whose securities are held through the Central Clearing and Settlement System established and operated by Hong Kong Securities Clearing Company Limited, they are encouraged to access the Company's corporate communications electronically via the Company's website or through the Stock Exchange's website, or they may contact the Company Secretary for hard copies.

### **Corporate Website**

- 3.7. Any information or documents released by the Company to the Stock Exchange is also posted on the Company's website immediately thereafter. Such information includes but is not limited to the Company's financial reports (quarterly, interim and annual reports), annual general meetings and other general meetings that may be convened, as well as any regulatory disclosures through the Stock Exchange's website and corporate communications and other corporate publications on the Company's website. The aforesaid shall be maintained on the Company's website for at least 5 years from the date of publication.

### **Shareholders' Meetings**

- 3.8. The Board strives to maintain an ongoing dialogue with the Shareholders and, in particular, to use annual general meetings (the "AGM"), extraordinary general meetings or any other Shareholders' meetings of the Company to communicate with the Shareholders directly. The chairman of the Board; the chairmen (or their delegates) of the audit, remuneration, nomination committees shall attend AGMs and other general meetings if applicable to answer the Shareholders' enquiry. The external auditors shall also attend AGMs to answer questions about the conduct of the audit, the preparation and content of the auditors' report, the accounting policies and audit independence.
- 3.9. General meetings provide an opportunity for constructive communication between the Company and the Shareholders. Shareholders are encouraged to participate in general meetings or to appoint proxies to attend and vote at meetings for and on their behalf if they are unable to attend the meetings.
- 3.10. Appropriate arrangements shall be in place to encourage the Shareholders' participation at the meetings.
- 3.11. The notice for AGMs together with detailed information (as contained in a circular) will be sent to the Shareholders at least 21 days before the AGM. For a general meeting other than an AGM, it shall be called by not less than 14 days' notice.

- 3.12. Poll results will be posted on the websites of the Company and the Stock Exchange as soon as practicable, normally on the same day of the general meeting.
- 3.13. The process of the general meetings of the Company will be monitored and reviewed regularly, and, if necessary, changes will be made to ensure that the Shareholders' needs are best served.

#### **4. Shareholders' Privacy**

The Company recognises the protection of personal data is important to preserving the trust of the Shareholders. The Company is committed to safeguarding and protecting the Shareholders' privacy in compliance with applicable data protection laws and will not disclose the Shareholders' information without their consent, unless otherwise required by law.

#### **5. Review of this Policy**

To ensure that this Policy continues to carry out smoothly and effectively in practice, the Company will review this Policy at least annually to ensure its effectiveness in upholding the high standards of communication with the Shareholders and reflecting current best practices.

*If there is any inconsistency or conflict between the English and Chinese versions of this Policy, the English version shall prevail.*

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