

# ORIENT SECURITIES INTERNATIONAL HOLDINGS LIMITED 東方滙財證券國際控股有限公司\*

(Incorporated in the Cayman Islands with limited liability)

(Stock Code: 8001)

## FORM OF PROXY

For the annual general meeting to be held on Thursday, 31 August 2023

I/We <sup>(Note a)</sup> \_\_\_\_\_  
of \_\_\_\_\_  
being the registered holder(s) of <sup>(Note b)</sup> \_\_\_\_\_ ordinary share(s) of HK\$0.05 each in the capital of Orient Securities International Holdings Limited (the “Company”) hereby appoint the chairman of the annual general meeting of the Company (the “Meeting”)(and at any adjournment thereof), or \_\_\_\_\_  
of \_\_\_\_\_  
to act as my/our proxy <sup>(Note c)</sup> to attend for me/us and on my/our behalf at the Meeting (and at any adjournment thereof) to be held at Unit 1203B, 1204–1205, 12/F., World-Wide House, 19 Des Voeux Road Central, Hong Kong on Thursday, 31 August 2023 at 11:00 a.m. for the purpose of considering and, if thought fit, passing the following resolutions as set out in the notice convening the said Meeting and at such Meeting (and at any adjournment thereof) to vote for me/us and in my/our name(s) in respect of the resolutions as indicated below, or if no such indication is given, as my/our proxy thinks fit.

ORDINARY RESOLUTIONS <sup>#</sup>		FOR <sup>(Note d)</sup>	AGAINST <sup>(Note d)</sup>
1.	To receive and consider the audited consolidated financial statements of the Company and its subsidiaries (the “Group”) and the reports of the directors (the “Director(s)”) of the Company and the auditor of the Company (the “Auditor”) for the fifteen months ended 31 March 2023.		
2.	2.1 Each as a separate resolution, to re-elect the following Directors: 2.1.1 Mr. Shiu Shu Ming as an executive Director 2.1.2 Ms. Suen Tin Yan as an executive Director 2.1.3 Mr. Chung Kar Ho Carol as an executive Director 2.1.4 Mr. Siu Kin Wai as an independent non-executive Director 2.2 To authorise the board of Directors (the “Board”) to fix the Directors’ remuneration		
3.	To re-appoint CL Partners CPA Limited as the Auditor and to authorise the Board to fix its remuneration.		
4.	To grant a general mandate to the Directors to allot, issue and deal with additional new shares not exceeding to 20% of the aggregate number of shares in issue of the Company.		
5.	To grant a general mandate to the Directors to repurchase the Company’s shares not exceeding 10% of the aggregate number of shares in issue of the Company.		
6.	To extend the general mandate granted to the Directors to issue new shares under Ordinary Resolution 4 by the number of shares repurchased under Ordinary Resolution 5.		
SPECIAL RESOLUTION <sup>#</sup>		FOR <sup>(Note d)</sup>	AGAINST <sup>(Note d)</sup>
7.	To approve the proposed amendments to the existing articles of association of the Company by way of the proposed adoption of the amended and restated articles of association of the Company and to authorise any one Director, registered office provider or company secretary of the Company to do all such acts and things and execute all such documents to effect the same.		

<sup>#</sup> Full text of the resolutions is set out in the notice convening the Meeting dated 30 June 2023.

Date: \_\_\_\_\_

Signature <sup>(Notes e, f, g and h)</sup>: \_\_\_\_\_

**Notes:**

- a. Full name(s) and address(es) are to be inserted in **BLOCK CAPITALS**. The names of all joint registered holders should be stated.
- b. Please insert the number of shares registered in your name(s). If no number is inserted, this form of proxy will be deemed to relate to all the shares in the capital of the Company registered in your name(s).
- c. A proxy need not be a shareholder of the Company. If you wish to appoint some person other than the chairman of the Meeting as your proxy, please delete the words “the chairman of the annual general meeting of the Company (the “Meeting”), or” and insert the name and address of the person appointed as your proxy in the space provided.
- d. Please indicate with a tick (✓) in the relevant box the way you wish your vote to be cast. If this form of proxy when returned is duly signed but without specific direction on the proposed resolution, the proxy will vote or abstain at his/her discretion in respect of the proposed resolution. A proxy will also be entitled to vote or abstain at his/her discretion on any amendment of a resolution put to the meeting.
- e. In the case of joint registered holders of any share(s), this form of proxy may be signed by any joint registered holder, but if more than one joint registered holders are present at the Meeting, whether in person or by proxy, that one of the joint registered holders whose name stands first on the register of shareholders of the Company in respect of the relevant jointly registered share shall alone be entitled to vote in respect thereof to the exclusion of the votes of the other joint registered holders.
- f. This form of proxy must be signed by a shareholder of the Company, or his/her attorney duly authorised in writing, or if the shareholder is a corporation, either under its common seal or under the hand of an officer or attorney so authorized.
- g. To be valid, this form of proxy together with any power of attorney or other authority (if any) under which it is signed or a certified copy of such power or authority must be deposited at the Company’s branch share registrar and transfer office in Hong Kong, Tricor Investor Services Limited at 17/F., Far East Finance Centre, 16 Harcourt Road, Hong Kong not less than 48 hours before the time appointed (i.e. Tuesday, 29 August 2023 at 11:00 a.m.) for holding of the Meeting or any adjournment thereof (as the case may be).
- h. Any alteration made to this form should be initialed by the person who signs the form.
- i. Completion and return of this form of proxy will not preclude you from attending and voting in person at the Meeting or any adjournment thereof if you so wish and, in such event, this form of proxy shall be deemed to be revoked.

### PERSONAL INFORMATION COLLECTION STATEMENT

Your supply of your and your proxy’s (or proxies’) name(s) and address(es) is on a voluntary basis for the purpose of processing your request for the appointment of a proxy (or proxies) and your voting instructions for the Meeting of the Company (the “Purposes”). We may transfer your and your proxy’s (or proxies’) name(s) and address(es) to our agent, contractor, or third party service provider who provides administrative, computer and other services to us for use in connection with the Purposes and to such parties who are authorized by law to request the information or are otherwise relevant for the Purposes and need to receive the information. Your and your proxy’s (or proxies’) name(s) and address(es) will be retained for such period as may be necessary to fulfil the Purposes. Request for access to and/or correction of the relevant personal data can be made in accordance with the provisions of the Personal Data (Privacy) Ordinance and any such request should be in writing by mail to the Company/Tricor Investor Services Limited at the above address.

\* For identification purpose only