HKEX 香港交易所

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

REGULATORY FORMS

FORMS RELATING TO LISTING

FORM G

GEM

COMPANY INFORMATION SHEET

Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited take no responsibility for the contents of this information sheet, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this information sheet.

Company name: **DLC Asia Limited**

Stock code (ordinary shares): **8210**

This information sheet contains certain particulars concerning the above company (the "Company") which is listed on GEM of The Stock Exchange of Hong Kong Limited (the "Exchange"). These particulars are provided for the purpose of giving information to the public with regard to the Company in compliance with the Rules Governing the Listing of Securities on GEM of The Stock Exchange of Hong Kong Limited (the "GEM Listing Rules"). They will be displayed at the Exchange's website on the internet. This information sheet does not purport to be a complete summary of information relevant to the Company and/or its securities.

The information in this sheet was updated as of 11 June 2026

A. General

Place of incorporation:	The Cayman Islands
Date of initial listing on GEM:	27 August 2018
Name of Sponsor(s):	Red Sun Capital Limited
Names of directors: *(please distinguish the status of the directors - Executive, Non-Executive or Independent Non-Executive)*	**Executive Directors:** Choi Man Ho Ng Yu Fai **Non-executive Director:** Pong Sum Yee Samanta **Independent Non-executive Directors:** Voon David Hian-fook Or Kevin Wu Ping Lam Michael David

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Name(s) of substantial shareholder(s): (as such term is defined in rule 1.01 of the GEM Listing Rules) and their respective interests in the ordinary shares and other securities of the Company

Name	Nature of interest	Number of shares of HK$0.01 each in the share capital of the Company (the "Shares")	and approximate percentage of issued Shares
Oasis Green Ventures Limited ("**Oasis Green**") *(Note 1)*	Beneficial owner	278,000,000	34.75%
Pacific Asset Limited ("**Pacific Asset**") *(Note 1)*	Interest of controlled corporation	278,000,000	34.75%
Mr. Yu Kwok Tung ("**Mr. Yu**") *(Note 1)*	Interest of controlled corporation	278,000,000	34.75%
	Interest of spouse *(Note 2)*	16,000,000	2.00%
		294,000,000	36.75%
Ms. Rowena Yip Shui Chi	Interest of spouse *(Notes 1, 3)*	278,000,000	34.75%
	Beneficial owner	16,000,000	2.00%
		294,000,000	36.75%
Jolly Ocean Global Limited ("**Jolly Ocean**") *(Note 4)*	Beneficial owner	128,000,000	16.00%
Mr. Lau Ming Yeung Lambert ("**Mr. Lau**") *(Note 4)*	Interest of controlled corporation	128,000,000	16.00%
Ms. Pong Sum Yee Samanta *(Note 4)*	Interest of spouse	128,000,000	16.00%

Notes:

1. These Shares are held by Oasis Green, a company wholly owned and controlled by Pacific Asset, which is in turn wholly owned and controlled by Mr. Yu. By virtue of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) (the "**SFO**"), Mr. Yu and Pacific Asset are deemed to be interested in these Shares held by Oasis Green.

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2. These Shares are held by Ms. Yip Shui Chi Rowena, the spouse of Mr. Yu. By virtue of the SFO, Mr. Yu is taken to be interested in the same number of Shares in which Ms. Yip Shui Chi Rowena is interested.

3. Ms. Yip Shui Chi Rowena is the spouse of Mr. Yu. By virtue of the SFO, Ms. Yip Shui Chi Rowena is taken to be interested in the same number of the Shares in which Mr. Yu is interested.

4. These Shares are held by Jolly Ocean, a company controlled by Mr. Lau. By virtue of the SFO, Mr. Lau is deemed to be interested in these Shares held by Jolly Ocean. Ms. Pong Sum Yee Samanta is the spouse of Mr. Lau. By virtue of the SFO, Ms. Pong Sum Yee Samanta is taken to be interested in the same number of Shares in which Mr. Lau is interested.

Name(s) of company(ies) listed on GEM or the Main Board of the Stock Exchange within the same group as the Company:	N/A
Financial year end date:	31 March
Registered address:	Cricket Square Hutchins Drive PO Box 2681 Grand Cayman, KY1-1111 Cayman Islands
Head office and principal place of business:	Units 2601–3, Tai Tung Building 8 Fleming Road, Wanchai Hong Kong
Web-site address (if applicable):	www.derivaasia.com
Share registrar:	***Principal share registrar in the Cayman Islands***: Conyers Trust Company (Cayman) Limited Cricket Square, Hutchins Drive PO Box 2681, Grand Cayman, KY1-1111 Cayman Islands ***Branch share registrar in Hong Kong***: Tricor Investor Services Limited 17/F, Far East Finance Centre 16 Harcourt Road, Hong Kong

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Auditors:	SHINEWING (HK) CPA Limited Certified Public Accountants 17/F, Chubb Tower Windsor House 311 Gloucester Road Causeway Bay Hong Kong

B. Business activities

(Please insert here a brief description of the business activities undertaken by the Company and its subsidiaries.)

The Company (together with its subsidiaries) is an interdealer broker in Hong Kong providing derivatives brokerage services to professional investors (as defined under Part 1 of Schedule 1 to the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong)) through its wholly-owned subsidiary, De Riva Asia Limited.

C. Ordinary shares

Number of ordinary shares in issue:	800,000,000 ordinary shares
Par value of ordinary shares in issue:	HK$0.01
Board lot size (in number of shares):	10,000
Name of other stock exchange(s) on which ordinary shares are also listed:	N/A

D. Warrants

Stock code:	N/A
Board lot size:	N/A
Expiry date:	N/A
Exercise price:	N/A
Conversion ratio: *(Not applicable if the warrant is denominated in dollar value of conversion right)*	N/A
No. of warrants outstanding:	N/A
No. of shares falling to be issued upon the exercise of outstanding warrants:	N/A

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E. Other securities

Details of any other securities in issue.
(i.e. other than the ordinary shares described in C above and warrants described in D above but including options granted to executives and/or employees).

(Please include details of stock code if listed on GEM or the Main Board or the name of any other stock exchange(s) on which such securities are listed).

N/A

If there are any debt securities in issue that are guaranteed, please indicate name of guarantor.

N/A

Responsibility statement

The directors of the Company (the "Directors") as at the date hereof hereby collectively and individually accept full responsibility for the accuracy of the information contained in this information sheet ("the Information") and confirm, having made all reasonable inquiries, that to the best of their knowledge and belief the Information is accurate and complete in all material respects and not misleading or deceptive and that there are no other matters the omission of which would make any Information inaccurate or misleading.

The Directors also collectively and individually accept full responsibility for submitting a revised information sheet, as soon as reasonably practicable after any particulars on the form previously published cease to be accurate.

The Directors acknowledge that the Stock Exchange has no responsibility whatsoever with regard to the Information and undertake to indemnify the Exchange against all liability incurred and all losses suffered by the Exchange in connection with or relating to the Information.

Submitted by: Choi Man Ho
(Name)

Title: Director
(Director, secretary or other duly authorised officer)

NOTE

Pursuant to rule 17.52 of the GEM Listing Rules, the Company must submit to the Exchange (in the electronic format specified by the Exchange from time to time) for publication on the Exchange's website a revised information sheet as soon as reasonably practicable after any particulars on the form previously published cease to be accurate.