



## DIRECTORS

### Executive Directors

**Mr. Lam Kwok Hing**, aged 40, is the Chairman of the Group. He is responsible for the implementation of the overall strategic planning and direction and steering of the overall development of the Group. Mr. Lam is the founder of the Group and is a director of the subsidiaries, Karl-Thomson Securities Company Limited, Karl-Thomson Commodities Company Limited and Karl Thomson Finance Limited. He has extensive experience in the securities, futures, options, fund management and financial advisory industry. He has been a member of the Hong Kong Securities Institute. He is also the Deputy Chairman and Managing Director of Asia Tele-Net and Technology Corporation Limited, a company whose shares are listed on the Stock Exchange.

**Mr. Nam Kwok Lun**, aged 45, is the Deputy Chairman and Managing Director of the Group. He is responsible for overall strategic planning and operations and in charge of the execution and further development of the Group's expansion plan. He is a co-founder of the Group and is also a director of the subsidiaries, Karl-Thomson Securities Company Limited, Karl-Thomson Commodities Company Limited, Karl Thomson Finance Limited, Karl Thomson Financial Advisory Limited and Karl Thomson Investment Consultants Limited. He is in charge of the stockbroking, futures and options broking, securities margin financing business, fund management and financial advisory business. Mr. Nam has extensive experience in the securities, futures and options, securities margin financing, fund management and financial advisory industry. He has been a member of the Hong Kong Securities Institute.

### Independent Non-executive Directors

**Mr. Ng Chi Kin David**, aged 43, is a professional accountant with over twenty years of professional experience and is a fellow member of the Hong Kong Society of Accountants, member of CPA Australia, Hong Kong Institute of Company Secretaries and Institute of Chartered Secretaries and Administrators in the United Kingdom.

**Mr. Chen Wei-Ming Eric**, aged 40, is a director of several general trading companies in Hong Kong. Mr. Chen holds a Bachelor of Science degree in Business Administration from the Boston University in Massachusetts, USA and is engaged in the chemical trading business.

## 董事

### 執行董事

**藍國慶先生**，40歲，本集團主席，負責實施本集團整體策略性計劃及方向之實施。藍先生亦為本集團創辦人兼附屬公司高信證券有限公司、高信商品期貨有限公司及高信財務有限公司之董事，於證券、期貨、期權、基金管理及融資顧問行業擁有廣泛經驗，為香港證券專業學會成員，亦為一間於聯交所上市之公司亞洲聯網科技有限公司副主席兼董事總經理。

**藍國倫先生**，45歲，本集團副主席兼董事總經理，負責整體策略性計劃及業務，並負責執行及進一步發展本集團之擴展計劃。藍先生亦為本集團協辦人兼附屬公司高信證券有限公司、高信商品期貨有限公司、高信財務有限公司、高信融資服務有限公司及高信投資顧問有限公司之董事，負責證券買賣、期貨及期權買賣業務、證券保證金融資業務、基金管理及融資顧問業務，於證券、期貨、期權、證券保證金融資、基金管理及融資顧問行業擁有廣泛經驗，為香港證券專業學會成員。

### 獨立非執行董事

**伍志堅先生**，43歲，為專業會計師，擁有逾二十年專業經驗、為香港會計師公會資深會員、澳洲會計師公會註冊會計師、香港公司秘書公會及英國特許秘書及行政人員公會會員。

**陳偉明先生**，40歲，為香港數家貿易公司董事，持有美國麻省波士頓大學工商管理學學士學位，並從事化學品貿易業務。



## SENIOR MANAGEMENT

**Ms. Wu Mang Ching Agnes**, aged 38, is the Deputy General Manager of the Group and the Director of Karl-Thomson Securities Company Limited. She oversees the daily operations and is responsible for supervising the research team of the Group. She holds a Bachelor of Arts degree in Economics from the University of Leicester in the United Kingdom and a Master of Science in Finance degree from the City University of Hong Kong. She possesses extensive experience in the field of equity research and investment management. She is currently a program host of Metro Finance radio and provides comments regularly on television and in financial pages of newspapers and websites as an equity market commentator. She gives seminars and tuitions on equity analysis occasionally for various organizations and academic institutions. She joined the Group in February 2000.

**Ms. Lui Choi Yiu Angela**, aged 30, is the Financial Controller and Company Secretary of the Group and the Director of Karl Thomson Financial Advisory Limited. She is responsible for overall financial planning and management of the Group. Ms. Lui graduated with a Bachelor of Science degree in Accounting from Azusa Pacific University in California, USA and a Postgraduate Diploma in Corporate Administration from Hong Kong Polytechnic University. She is currently a member of the American Institute of Certified Public Accountants and an associate member of the Hong Kong Society of Accountants. Prior to joining the Group, Ms. Lui had over four years of experience in audits in one of the leading international certified public accounting firms and accounting, finance and management in a local securities firm. She joined the Group in June 2000.

**Mr. Chan Lap Kwan**, aged 30, is the Manager of the Group and Director of Karl-Thomson Securities Company Limited. He is responsible for the administration and management of the Group. He holds a Bachelor of Business (Banking and Finance) degree from University of South Australia. He has more than seven years of experience in the securities, futures and options industry. He joined the Group in July 1997.

## 高級管理層

**胡孟青小姐**，38歲，本集團副總經理兼高信證券有限公司董事，負責監管本集團日常營運及監管本集團之研究部。胡小姐持有英國列斯特大學經濟學學士學位及香港城市大學財務學碩士學位。胡小姐擁有廣泛證券研究經驗及投資管理經驗。胡小姐現時為新城財經台擔任節目主持及經常於電視、網站及報章之財經版發表股市評論。胡小姐亦不時參與不同組織及教育機構舉辦之證券分析講座及教學活動。胡小姐於二零零零年二月加入本集團。

**雷彩姚小姐**，30歲，本集團財務總監、公司秘書兼高信融資服務有限公司董事，負責本集團整體財務策劃及管理。雷小姐持有美國洛杉磯Azusa Pacific University會計學學士學位及香港理工大學公司行政管理深造文憑，目前為美國特許會計師公會及香港會計師公會會員。加盟本集團之前，雷小姐於一間國際會計師行擔任稽核及於一間本地證券公司擔任會計、金融及管理逾四年。雷小姐於二零零零年六月加入本集團。

**陳立群先生**，30歲，本集團經理兼高信證券有限公司董事，負責本集團之行政及管理，持有南澳洲大學商業（銀行業及財經）學學士學位，在證券、期貨及期權業擁有逾七年經驗。陳先生於一九九七年七月加入本集團。



**Mr. Sung Wing Yiu**, aged 47, is the Director of Karl-Thomson Securities Company Limited. He is responsible for the management and development of internet trading services, securities, futures and other derivatives business of the Group. He holds a Bachelor of Social Science degree in Government & Public Administration from the Chinese University of Hong Kong and a Master of Business Administration degree from the University of Hong Kong. He is also an associate member of the Institute of Chartered Secretaries and Administrators in the United Kingdom. Mr. Sung has over seventeen years of experience in the securities and futures industry and held senior positions in regional financial institutions in Hong Kong. He joined the Group in February 2001.

**Mr. Chan Dao Chong**, aged 56, is the Manager of the Kwun Tong branch and is responsible for the daily operations and implementation of the internal control procedures of the Kwun Tong branch. He has more than thirteen years of experience in the securities, futures and options industry. He joined the Group in 1991.

**Mr. Chu Wai Man**, aged 48, is the Manager of the Shatin branch and is responsible for the daily operations and implementation of the internal control procedures of the Shatin branch. He has more than fourteen years of experience in the securities, futures and options industry. He joined the Group in 1990.

## INVESTMENT BANKING GROUP

**Mr. Chow Ka Wo Alex**, aged 37, is the Director of Karl Thomson Financial Advisory Limited. He is responsible for the establishment and operation of the Group's investment banking business since joining the Group in March 2002. Prior to joining the Group, Mr. Chow had worked at various international investment banks. He holds a Bachelor of Arts degree in Applied Mathematics and Economics from the University of California at Berkeley and a Master of Arts degree in Economics from the Cornell University in the USA.

**宋榮耀先生**，47歲，高信證券有限公司董事，負責管理及發展本集團之互聯網買賣服務、證券、期貨及其他相關業務。宋先生持有香港中文大學社會科學系公共及行政學學士學位及香港大學工商管理學碩士學位，亦為英國特許秘書及行政人員公會會員。宋先生在證券及期貨業擁有逾十七年經驗，並曾在香港金融機構擔任要職。宋先生於二零零一年二月加入本集團。

**陳道庄先生**，56歲，觀塘分公司經理，負責觀塘分公司之日常營運及執行內部監控程序，於證券、期貨及期權業擁有逾十三年經驗。陳先生於一九九一年加入本集團。

**朱維民先生**，48歲，沙田分公司經理，負責沙田分公司之日常營運及執行內部監控程序，於證券、期貨及期權業擁有逾十四年經驗。朱先生於一九九零年加入本集團。

## 投資銀行部

**周家和先生**，37歲，高信融資服務有限公司董事，周先生自二零零二年三月加入本集團，負責本集團之投資銀行業務之成立及營運。加盟本集團之前，周先生曾於多間國際投資銀行工作。周先生持有美國柏克萊加利福尼亞大學應用數學及經濟系文學學士學位及於美國紐約康乃爾大學取得經濟學碩士學位。



## ASSET MANAGEMENT GROUP

**Mr. Ngan Chak Keung Ricky**, aged 31, is the Director of Karl Thomson Investment Consultants Limited and the President of Global Investment Strategy of Karl-Thomson Securities Company Limited. He is responsible for conducting global economic research and individual stock research. He is also responsible for the supervision of fund management, financial advisory services and financial planning team. He graduated with a Bachelor of Business Administration degree in Finance, Investment and Banking Management from the University of Wisconsin in Madison, USA. He is also the Chartered Financial Analyst under the Association for Investment Management and Research. Prior to joining the Group, Mr. Ngan has over five years of experience in the field of foreign exchange, financial planning and asset management industry. He joined the Group in July 2001.

## TECHNOLOGIES DEVELOPMENT GROUP

**Mr. Cheng Chun Ming**, aged 28, is the Chief Technical Officer of the Group. He is responsible for the overall planning and management of the internet trading platform and network infrastructure of the Group. He graduated with a Bachelor of Cognitive Science (with Honors) Degree and a Master of Philosophy (Psychology) in the Social Science from the University of Hong Kong. Mr. Cheng has over four years of experience in software development, web server management, database management, back office operation and network administration. Prior to joining the Group, Mr. Cheng involved in the implementation of network infrastructure, internet applications development and database design in a local interior design firm. He joined the Group in February 2001.

## 資產管理部

顏澤強先生，31歲，高信投資顧問有限公司董事兼高信證券環球投資策略總裁，負責進行環球經濟研究及撰寫個別股票之研究報告。顏先生亦負責監管基金管理、融資顧問服務及財務策劃小組。顏先生持有美國威斯康辛大學麥迪遜校區之工商管理學學士學位，主修財務、投資及銀行管理，並為投資管理研究協會認可之特許金融分析師。加盟本集團之前，顏先生於外匯、財務策劃及資產管理業務方面擁有逾五年經驗。顏先生於二零零一年七月加入本集團。

## 科技發展部

鄭俊洺先生，28歲，本集團之首席工程師，負責整體策劃及管理本集團之互聯網交易平台及網絡架構。鄭先生持有香港大學社會科學之認知科學榮譽學士學位及哲學(心理學)碩士學位。鄭先生在軟件開發、網頁伺服器管理、資料庫管理、後勤運作及網絡管理方面，積逾四年經驗。加入本集團前，鄭先生在一家本地室內設計公司，參與網絡基建、互聯網應用開發及資料庫設計之執行工作。鄭先生於二零零一年二月加入本集團。