

A close-up photograph of a globe with a copper-colored frame, showing North America and the Atlantic Ocean. The globe is set against a green background with a grid pattern. At the bottom of the image, there is a faint line graph. The text "Directors and Senior Management" is written in white, and "董事及高層管理人員" is written in black below it.

Directors and
Senior Management
董事及高層管理人員

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

DIRECTORS

Mr. Wang Mingquan

Chairman

Mr. Wang Mingquan, aged 60, is also the Chairman of China Everbright Group Limited, China Everbright Holdings Company Limited and China Everbright Bank Company Limited. He is also the Chairman of Everbright Securities Company Limited and China Everbright International Limited. Prior to joining the Everbright Group, he was the Vice-chairman and Governor of Bank of Communications in China and the Chairman of China Pacific Insurance Company Limited. He had also been appointed as the Deputy Mayor of Wuhan City. Mr. Wang holds a Master's degree in Economics from Zhongnan University of Finance and Economics. He is also the instructor of the Graduate Department of the People's Bank of China and the Professor of Shanghai University of Finance and Economics. He has extensive knowledge and experience in banking, securities, insurance and business administration. He joined the Board in June 2000.

Mr. Guo You

Mr. Guo You, aged 49, is also a Deputy Chairman of China Everbright Group Limited and the President of China Everbright Bank Company Limited. He joined China Everbright Bank Company Limited as Executive Vice President in August 1998. Prior to that, Mr. Guo had been the Deputy Director of the Department of Supervision over Foreign Financial Institutions of the People's Bank of China and the General Manager of Investment Company of PRC (Singapore). Mr. Guo was the Chief Executive Officer of China Everbright Limited from February 2000 to July 2004. Mr. Guo holds a post graduate degree in USA Economy from Yellow River University. He has also obtained a Certificate of Study in Economics from London School of Economics and has been awarded a Certificate of Study in Financial Policy Programming by the IMF Institute. Mr. Guo has extensive experience and knowledge in banking and finance. He joined the Board in February 2000.

董事

王明權先生

主席

王明權先生，現年60歲，亦為中國光大（集團）總公司、中國光大集團有限公司董事長及中國光大銀行股份有限公司之董事長。此外，彼亦為光大證券股份有限公司及中國光大國際有限公司主席。彼加入光大集團前，曾任中國交通銀行副董事長兼行長及中國太平洋保險有限公司董事長。彼亦曾任武漢市副市長。王先生持有中南財經大學經濟學碩士學位。彼亦為中國人民銀行研究生部導師及上海財經大學兼職教授。彼於銀行、證券、保險及工商管理等方面擁有非常廣泛知識及豐富經驗。彼於二零零零年六月加入董事會。

郭友先生

郭友先生，現年49歲，亦為中國光大（集團）總公司副董事長及中國光大銀行股份有限公司行長。郭先生於一九九八年八月加入中國光大銀行股份有限公司，任職副行長。彼加入中國光大銀行之前，曾任職中國人民銀行外資金融機構司副司長及中國（新加坡）投資公司總經理。彼於二零零零年二月至二零零四年七月曾任中國光大控股有限公司行政總裁。郭先生是黃河大學美國經濟研究生，並曾就讀於倫敦經濟學院經濟系。彼亦曾修讀國際貨幣基金組織學院的金融政策培訓。彼於銀行及財務方面擁有廣泛經驗及知識。郭先生於二零零零年二月加入董事會。

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

DIRECTORS (continued)

Dr. Zhou Liquan

Chief Executive Officer

Dr. Zhou Liquan, aged 43, is the Chief Executive Officer of the Company. Dr. Zhou has overall responsibility for the operation of China Everbright Limited. He is also a director of China Everbright Holdings Company Limited, China Everbright Bank Company Limited and Everbright Securities Company Limited. He was the Chairman of Everbright Prumerica Fund Management Company Limited and was a director of Fubon Bank (Hong Kong) Limited. Prior to joining the Everbright Group in 2001, Dr. Zhou was the Head of the Finance Division of the World Bank's Lending Projects Office in the Autonomy Region of Xinjiang. Dr. Zhou had also been the Manager of the International Department and the Head of the Finance Division of Bank of Communications, Urumchi Branch; and also been the Deputy General Manager of the Business Promotion Department and the International Banking Department of the Bank of Communications, Head Office. Dr. Zhou holds a Doctorate's degree in Economics from Xiamen University. Dr. Zhou has extensive experience and knowledge in finance and management. He joined the Board in April 2001.

Mr. Chen Shuang

Deputy General Manager

Mr. Chen Shuang, aged 39, is the Deputy General Manager of the Company. Mr. Chen is responsible for the Company's investment banking business. He is also a director of China Everbright Holdings Company Limited and China Everbright International Limited. He is also the Deputy General Manager of the Legal Department of China Everbright Holdings Company Limited and China Everbright Group Limited. Mr. Chen holds a Master of Laws Degree from East China Politic and Law University. He is a senior economist and a qualified lawyer in the PRC. Prior to joining the China Everbright Group, Mr. Chen was the Chief of the Legal Department of Bank of Communications. He joined the Board in August 2004.

董事 (續)

周立群博士

行政總裁

周立群博士，現年43歲，為本公司行政總裁。周博士負責主持本公司的整體工作。彼亦擔任中國光大集團有限公司、中國光大銀行股份有限公司及光大證券股份有限公司董事。彼並曾擔任光大保德信基金管理有限公司董事長和富邦銀行（香港）有限公司董事。彼於二零零一年加入光大集團前，曾擔任新疆自治區世界銀行貸款專案辦公室財務處處長，亦曾任交通銀行烏魯木齊分行國際部經理、財務處處長、總行市場營銷部副總經理及國外業務部副總經理。周博士並持有廈門大學經濟學博士銜，周博士具有豐富的金融及管理方面的經驗及知識。彼於二零零一年四月加入董事會。

陳爽先生

副總經理

陳爽先生，現年39歲，為本公司副總經理。陳先生負責本公司投資銀行業務。彼亦為中國光大集團有限公司及中國光大國際有限公司董事，以及中國光大集團有限公司及中國光大（集團）總公司法律部副主任。陳先生持有華東政法學院法學碩士銜，彼為高級經濟師，並具中華人民共和國律師資格。陳先生在加入光大集團前，曾任交通銀行總行法律事務室處長。陳先生於二零零四年八月加入董事會。

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

DIRECTORS (continued)

Mr. Xu Haoming

Mr. Xu Haoming, aged 42, is a director and President of Everbright Securities Company Limited and a director of China Everbright Group Limited. Mr. Xu holds a Master Degree in Business Administration from The Hong Kong Polytechnic University. Mr. Xu had worked as the Secretary General of the Office of China Everbright Group Limited and the Secretary General of the Office of the Executive Committee of the Board of Directors of China Everbright Holdings Company Limited. Prior to joining the China Everbright Group, Mr. Xu was the head of the General Administrative Division at the Headquarter of the Bank of Communications. He has extensive knowledge and experiences in banking, securities and investment. Mr. Xu joined the Board in June 2005.

Mr. Ng Ming Wah, Charles

Independent Non-executive Director

Mr. Ng Ming Wah, Charles, aged 57, was appointed to the Board as an independent non-executive director in November 1993 and has acted as the chairman of the Audit Committee (currently known as "Audit and Risk Management Committee") of the Company since August 1999. Mr. Ng graduated from Loughborough University in England in 1972 with a B.Sc. degree in Electronic and Electrical Engineering and from London Graduate School of Business Studies in England in 1974 with a M.Sc. degree in Business Studies. He is the managing director of Equitas Capital Limited, the business of which is provision of strategic corporate financial advisory services. Mr. Ng has over 25 years of experience in corporate finance and investment banking. Mr. Ng is also a non-executive director of Goldlion (Holdings) Limited (stock code: 533) and an independent non-executive director of each of Stone Group Holdings Limited (stock code: 409), Dalian Ports (PDA) Company Limited (stock code: 2880) and Zhaojin Mining Industry Company Limited (stock code: 1818). Mr. Ng also holds independent directorships in China Everbright Bank Company, Limited and Luoyang Luanchuan Molybdenum (Group) Company Limited, which are, respectively, a licensed commercial bank and a molybdenum mining company in Mainland China. In addition, Mr. Ng holds a number of community service positions, including as a member of the Council of Lingnan University of Hong Kong and a member of the Board of Governors of Hong Kong Arts Centre.

董事 (續)

徐浩明先生

徐浩明先生，現年42歲，現為光大證券股份有限公司董事、總裁，以及中國光大（集團）總公司董事。徐先生持有香港理工大學工商管理碩士學位。在此以前，徐先生曾任中國光大（集團）總公司辦公廳主任及中國光大集團有限公司董事會執行委員會辦公室主任。彼加入光大集團前，曾擔任交通銀行總行綜合處處長。徐先生在銀行、證券及投資等方面擁有深厚閱歷及豐富經驗。彼於二零零五年六月加入董事會。

吳明華先生

獨立非執行董事

吳明華先生，現年57歲，彼於一九九三年十一月獲委任為本公司獨立非執行董事並自一九九九年八月起擔任本公司審核委員會（現稱「審核及風險管理委員會」）主席。吳先生於一九七二年畢業於英國拉夫堡大學，取得電子及電力工程學士學位。並於一九七四年畢業於英國倫敦商學院，取得商學理科碩士學位。彼為盈均財務顧問有限公司之董事總經理，該公司從事提供策略性企業融資顧問服務。吳先生於企業融資及投資銀行方面擁有逾25年經驗。吳先生亦為金利來集團有限公司（股份代號：533）非執行董事、四通控股有限公司（股份代號：409）、大連港股份有限公司（股份代號：2880）及招金礦業股份有限公司（股份代號：1818）的獨立非執行董事。吳先生現亦為中國光大銀行股份有限公司、洛陽樂川鉬業集團股份有限公司的獨立董事，該等公司分別為中國的持牌商業銀行及鉬礦開發公司。此外，吳先生亦身兼多個社會服務職位，包括為香港嶺南大學校董會成員及香港藝術中心監督團成員。

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

DIRECTORS (continued)

Mr. Seto Gin Chung, John

Independent Non-executive Director

Mr. Seto Gin Chung, John, aged 58, is an independent non-executive director of the Company. Mr. Seto is a director of Pacific Eagle Asset Management Limited. He is also an independent non-executive director of Kowloon Development Company Limited (Stock Code: 34) and Hop Hing Holding Limited (Stock Code: 47) as well as an independent director of Everbright Securities Company Limited in mainland China. He was the Chief Executive Officer of HSBC Broking Services (Asia) Limited from 1982 to 2001, was the chairman and a non-executive director of International Financial Network Holdings Limited (formerly known as "Stockmartnet Holdings Limited"), (Stock Code: 8123) from 2001 to 2005. He was a non-executive director of Hong Kong Exchanges and Clearing Limited, (Stock Code: 388), from 2000 to 2003, a council member of the Stock Exchange of Hong Kong from 1994 to 2000 and was the first vice chairman of the Stock Exchange of Hong Kong from 1997 to 2000. He holds a Master of Business Administration degree from New York University, USA and has over 30 years of experience in the securities and futures industry. Mr. Seto joined the Board in April 2003.

Dr. Lin Zhijun

Independent Non-executive Director

Dr. Lin Zhijun, aged 52, is an independent non-executive director of the Company. Dr. Lin is a Professor and Head of the Department of Accountancy and Law in Hong Kong Baptist University. He is also an independent director of Shenzhen Chiwan Petroleum Supply Base Company Limited (a listed company in Shenzhen). Mr. Lin holds a Master's degree in Science in Accounting from University of Saskatchewan in Canada and a Doctorate's degree in Economics (Accounting) from Xiamen University. Dr. Lin worked as a Visiting Professor in The University of Hong Kong and Tenured Professor in the Faculty of Management of Lethbridge University in Canada. Dr. Lin had also been a professional consultant to an international law firm. Dr. Lin is also a member of American Institute of Certified Public Accountants, Chinese Institute of Certified Public Accountants and Australian Institute of Certified Management Accountants. He is a member of various educational accounting associations including American Accounting Association, International Association for Accounting Education and Research and Hong Kong Association for Accounting Education. Dr. Lin is also an author of a series of professional articles and books. Dr. Lin joined the Board in September 2005.

董事 (續)

司徒振中先生

獨立非執行董事

司徒振中先生，現年58歲，為本公司獨立非執行董事。司徒先生為翔鷹資產管理有限公司之董事。彼亦為九龍建業有限公司（股份代號：34）、合興集團有限公司（股份代號：47）之獨立非執行董事以及為中國內地光大證券股份有限公司之獨立董事。司徒先生於一九八二年至二零零一年為匯豐金融服務（亞洲）有限公司之行政總裁，於二零零一年至二零零五年，為國際金融社控股有限公司（前稱「金融社控股有限公司」）（股份代號：8123）之主席兼非執行董事。彼於二零零零年至二零零三年出任香港交易及結算有限公司（股份代號：388）之非執行董事，並於一九九四年至二零零零年擔任香港聯交所理事會理事及於一九九七年至二零零零年出任香港聯交所第一副主席。彼持有美國紐約大學工商管理碩士學位，在證券及期貨業累積逾30年經驗。彼於二零零三年四月加入董事會。

林志軍博士

獨立非執行董事

林志軍博士，現年52歲，為本公司獨立非執行董事。現為香港浸會大學會計及法律系教授及系主任。彼亦為深圳赤灣石油基地股份有限公司（一家於深圳證券交易所上市公司）獨立董事。林博士持有加拿大Saskatchewan大學會計學理學碩士學位及廈門大學經濟學（會計學）博士學位。林博士亦曾於香港大學擔任客席教授，及於加拿大Lethbridge大學管理學院擔任教授。彼亦曾為一家國際律師事務所擔任顧問工作。林博士亦為美國註冊會計師協會、中國註冊會計師協會及澳大利亞註冊管理會計師協會之會員。彼亦為美國會計學會、國際會計教學及研究學會、香港會計教授會及多個會計學術團體之會員。林博士亦為多部關於會計學專業著作的作者。林博士於二零零五年九月加入董事會。

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

DIRECTORS (continued)

Madam Tung Iring

Independent Non-executive Director

Madam Tung Iring, aged 46, is an independent non-executive director of the Company. Madam Tung is the operator of My Gym Children's Fitness Center. Ms. Tung holds a Bachelor Degree in Economics and Mathematics from The University of Western Ontario, Canada. Ms. Tung was employed by The Hong Kong Exchanges & Clearing Limited from August 1992 to March 2004 with her last position being Vice President of the Listing Division. From 1992, she worked as person-in-charge of one of the corporate finance teams of the Main Board; from January 2000 to March 2004, she was the Head of Growth Enterprise Market Board. Prior to that, she was financial or credit executive of several U.S. based financial institutions including Merrill Lynch (Asia) Limited. Ms. Tung joined the Board in July 2006.

SENIOR MANAGEMENT

Madam He Ling

Deputy General Manager

Madam He Ling, aged 44, is the Deputy General Manager of the Company. Madam He is responsible for the direct investment business of the Company. She holds a Bachelor's degree in Finance from Hunan Finance and Economics University and has obtained a Master's degree in Economics from Shaanxi Finance and Economics University. She joined Everbright Securities Company Limited in 1993. In 1998, she was appointed as an Assistant to Chief Executive Officer of Everbright Securities Company Limited. Madam He has over 18 years of experience and knowledge in securities investment and fund management. She joined the Group in August 2000 as an executive director. She resigned as director on 16 April 2007.

Mr. Tang Chi Chun, Richard

Mr. Tang Chi Chun, Richard, aged 45, is the Chief Financial Officer of the Group. Mr. Tang is a Certified Public Accountant and is a graduate of the Accountancy Department, Hong Kong Polytechnic. He is a member of the Association of Chartered Certified Accountants and the Hong Kong Institute of Certified Public Accountants and has over 16 years of experience in audit, investment, accounting and finance. Mr. Tang joined the Group in September 2005.

董事(續)

董愛菱女士

獨立非執行董事

董愛菱女士，現年46歲，為本公司獨立非執行董事。董女士現為小兒健體中心的經營者。彼持有加拿大西安大略省大學經濟及數學學士學位。董女士於一九九二年八月起直至二零零四年三月期間任職於香港聯合交易所及結算有限公司，離職時的職銜為上市科總監。自一九九二年起，其為上市科其中一個主板小組的負責人；而自二零零零年一月至二零零四年三月，董女士為上市科創業板主管。在此之前，彼曾擔任包括美林（亞太）有限公司在內的數家跨國美資金融機構的財務或信貸顧問。董女士擁有豐富的金融市場及上市業務經驗。董女士於二零零六年七月加入董事會。

高層管理人員

賀玲女士

副總經理

賀玲女士，現年44歲，為本公司副總經理。賀女士負責本公司直接投資業務。彼持有湖南財經學院金融系學士學位及陝西財經學院經濟系碩士學位。彼於一九九三年加入光大證券股份有限公司，並於一九九八年獲委任為該公司總裁助理。賀女士一直從事金融事業並於證券投資和資金管理方面擁有逾十八年的廣泛經驗及知識。彼於二零零零年八月加入本集團，並獲委任為執行董事。彼於二零零七年四月十六日辭任公司董事。

鄧子俊先生

鄧子俊先生，現年45歲，為本集團財務總監。鄧先生為註冊會計師，畢業於香港理工學院會計系。彼為特許公認會計師公會及香港會計師公會會員，並在審計、投資、會計及財務方面擁有逾16年經驗。彼於二零零五年九月加入本集團。

DIRECTORS AND SENIOR MANAGEMENT

董事及高層管理人員

SENIOR MANAGEMENT (continued)

Mr. Tsang Sui Cheong, Frederick

Mr. Tsang Sui Cheong, Frederick, aged 47, is an Assistant General Manager of the Group. He is also a Director of China Everbright Assets Management Limited, China Everbright Research Limited and the chairperson of the Group's Risk Management Committee. Mr. Tsang is a Chartered Financial Analyst and has been a director of The Hong Kong Society of Financial Analysts Limited since 2001. He is a holder of Bachelor's degree in Arts from Wilfrid Laurier University and Master's degree in Arts from University of Alberta. Before joining the Group in February 2000, he served as Head of Research for several international brokerage firms for a total of 7 years.

Mr. Chan Kam Hop

Mr. Chan Kam Hop, aged 57, is an Assistant General Manager of the Group. He joined the Group in May 2001 and was appointed as the Managing Director of China Everbright Securities (HK) Limited and China Everbright Forex & Futures (HK) Limited which are engaged in securities brokerage, futures brokerage and leveraged foreign exchange trading respectively. Mr. Chan has over 30 years experience in the financial and brokerage business and has extensive experience in operation, sales and management. Prior to joining the Group, Mr. Chan had worked in another reputable local brokerage firm for over 25 years.

Madam Chan Mei Sheung, Paulina

Madam Chan Mei Sheung, Paulina, aged 41, is the Group Legal Counsel and Company Secretary of the Group. Ms. Chan is a qualified solicitor in Hong Kong, England & Wales and ACT, Australia. She is also a China Appointed Attesting Officer and a member of the Mainland Legal Affairs Committee of the Law Society of Hong Kong. Ms. Chan has over 16 years experience in corporate finance, mergers and acquisitions, banking, China direct investment and finance related areas. Ms. Chan joined the Group in July 2006 and has been appointed Company Secretary of the Group since October 2006.

高層管理人員 (續)

曾瑞昌先生

曾瑞昌先生，現年47歲，為本集團助理總經理。彼亦為中國光大資產管理有限公司、中國光大資料研究有限公司董事以及本集團風險管理委員會主席。曾先生為特許金融分析師，並於二零零一年起為香港財經分析師學會董事。彼持有加拿大偉佛羅利大學文學學士學位。彼亦持有加拿大阿伯特大學碩士銜。彼於二零零零年二月加入本集團前，曾在多間國際證券公司的研究部出任主管共達七年。

陳錦合先生

陳錦合先生，現年57歲，為本集團助理總經理。彼於二零零一年五月加入本集團，並出任中國光大證券(香港)有限公司及中國光大外匯、期貨(香港)有限公司董事總經理。該等公司分別從事證券經紀業務、期貨經紀業務及槓桿式外匯買賣業務。陳先生從事金融經紀業務逾三十年，彼在推動金融投資業務多元化的工作上擁有廣泛營運、銷售及管理經驗。陳先生在加入本集團前曾在另一家本地著名證券商工作逾二十五年。

陳美嫦女士

陳美嫦女士，現年41歲，為本集團法律顧問及公司秘書。陳女士執有香港、英國及澳洲律師資格，彼為中國委托公證人及香港律師公會內地法律事務委員會委員。陳女士在企業融資、兼併收購、中國投資、銀行及金融相關業務擁有超過16年經驗。彼於二零零六年七月加入本集團並於二零零六年十月開始擔任公司秘書。