### HONG KONG PUBLIC OFFERING – WHITE FORM eIPO SERVICE PROVIDER APPLICATION FORM 香港公開發售 – 白表eIPO服務供應商申請表格

Please use this Application Form if you are a White Form eIPO Service Provider and are applying for Hong Kong Offer Shares on behalf of underlying applicants. 倘 閣下為白表eIPO服務供應商,並代表相關申請人申請香港發售股份,請使用本申請表格。



# Hanhua Financial Holding Co., Ltd.\*

# 瀚 華 金 控 股 份 有 限 公 司

(A joint stock limited liability company incorporated in the People's Republic of China) (於中華人民共和國註冊成立的股份有限公司)

#### **GLOBAL OFFERING**

Number of Offer Shares : 1,150,000,000 H Shares (subject to the Over-allotment Option)

Number of International Offer Shares : 1,035,000,000 H Shares (subject to adjustment and the Over-allotment Option)

Number of Hong Kong Offer Shares : 115,000,000 H Shares (subject to adjustment)

HK\$2.05 per H Share, plus brokerage fee of 1%, SFC transaction levy of 0.003% and Hong Maximum Offer Price : Kong Stock Exchange trading fee of 0.005% (payable in full on application in Hong Kong dollars and subject to refund on final pricing)

Nominal Value : RMB1.00 per H Share

Stock Code : 3903

## 全球發售

發售股份數目 : 1,150,000,000股H股(視乎超過發售股份數目 : 1,035,000,000股H股(可予調整

國際發售股份數目 香港發售股份數日

115,000,000股H股( 最高發售價 每股H股2.05港元

易徵費及0.005%香港聯交所 交易費 (須於 繳足,可接

每股H股人

股份代號: 3903

Please read carefully the prospectus of Hanhua Financial Holding Co., Ltd. (the "Company") dated 3 June, 2014 (the "Prospectus") (in particular, the section on "How to Apply for the Hong Kong Offer Shares" in the Prospectus) and the guidelines on the back of this Application Form before completing this Application Form. Terms used in this Application Form shall have the same meanings as those defined in the Prospectus unless defined herein.

Hong Kong Exchanges and Clearing Limited, The Stock Exchange of Hong Kong Limited (the "Stock Exchange") and Hong Kong Securities Clearing Company Limited ("HKSCC") take no responsibility for the contents of this Application Form, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of this Application Form.

A copy of this Application Form, together with a copy of each of the WHITE and YELLOW Application Forms, the Prospectus and the other documents specified in the section headed "Documents Delivered to the Registrar of Companies in Hong Kong and Available for Inspection" in Appendix VII to the Prospectus have been registere by the Registrar of Companies as required by Section 342C of the Companies (Winding Up and Mixeellaneous Provisions) Ordinance of Hong Kong. The Securities and Futures Commission (the "SFC") and the Registrate Companies in Hong Kong take no responsibility as to the contents of any of these documents.

Your attention is drawn to the paragraph headed "Personal Information Collection Statement policies and practices of the Company and its H Share Registrar in relation to personal data as the Personal Data (Privacy) Ordinance.

Nothing in this Application Form or the Prospectus constitutes an offer to sell or the solicitation of an oye, mor shall there be any sale of Hong Kong Offer Shares in any jurisdiction in which such offer, solicitation of sales would be unlawful. This Application Form and the Prospectus are not for distribution, directly or indirectly, in or into the United States, nor is this application an offer of Shares for sale in the United States. The Hong Kong Offer Shares have not been and will not be registered under the U.S. Securities Act or any state securities law in the United States and may not be offered, sold, pledged or transferred within the United States, except pursuant on exemption from, or in a transaction not subject to, the registration requirements of the U.S. Securities As and applicable U.S. state securities laws. The Hong Kong Offer Shares are being offered and sold outside the United States in offshore transactions in reliance on Regulation S under the U.S. Securities are and the applicable laws of each jurisdiction where those offers and sales occur. No public offering of the Hong Kong Offer Shares will be

This Application Form and the Prospectus may not be forwarded or distributed or reproduced (in whole or in part) in any manner whatsoever in any jurisdiction where such forwarding, distribution or reproduction is not permitted under the law of that jurisdiction. This Application Form and the Prospectus are addressed to you personally. Any forwarding or distribution or reproduction of this Application Form or the Prospectus in whole or in part is unauthorized. Failure to comply with this directive may result in a violation of the U.S. Securities Act or the applicable laws of other jurisdict

Hanhua Financial Holding Co., Ltd. The Joint Global Coordinators The Joint Bookrunners The Hong Kong Underwriters

是公司《本公司』)日期為2014年6月3日的招股書(「招股書」),尤其是 職表格肯面的指引。除非另有界定,否則本申請表格所用詞語與招股

香港交易及結算所有 所有限公司 (「**聯交所**」) 及香港中央結算有限公司 (「**香港結算**」) 對本申 性量,完整性亦不發表任何聲明,並明確表示概不就因本申請表格全那或任何部分 致的任何損失承擔任何責任。

##表格達同白色及黃色申請表格、招股書及招股書附錄七「送呈香港公司註冊處處長及傷查文件」一節所列的其 文件: 過經無香港公司(清盤及維項條文)條例第342C條之規定; 送呈香港公司註冊處處長登記。證券及期貸事務 探委員義([繼載會])及香港公司註冊處應長對終安之仲的任何內容廣不負責。

關下諧請留意「個人資料收集聲明」一段,當中載有本公司及本公司H股證券登記處有關個人資料及遵守《個人資料》(名聽)條例)的政策及常規。

本申請表格或招股書所載者概不構成出售要約或要約購買的游說,而在任何作出有關要約、游説或出售即屬違法的 平平兩玄的处拍放對內戰有機不傳以出晉契約或契約轉買的節說,而在任何作出有關要約,將說或出售即關鍵法的 司法權腦內,概不得出售任何香港發售股份。本申請素格及招股事不得在美國投內直接被開發藥,而此項申請亦 不是在美國出售股份的要約。香港發售股份未曾亦不會根據美國證券法或美國任何州證券法登記,且不得在美國境 內發售、出售、抵押或轉讓,惟根據美國證券法及適用美國州證券法捷額免登記規定或並未受該等登記規定規限的 交易除外。香港發售股份依據美國證券法玄規剛以及雖行發售及出售的各司法權區適用法例於離岸交易中在美國境外 提呈發售及出售。將不會於美國進行香港發售股份的公開發售。

在任何根據有關司法權區法律不得發送、派發或複製本申請表格及招股書之司法權區內,本申請表格及招股書概不 得以任何方式發送或派發或複製(全部或部分)。本申請表格及招股書僅致予 關下本人。概不得發送或派發或複製 本申請表格或招股書的全部或部分。如未能遵守此項指令,可能違反美國證券法或其他司法權區的適用法律。

致: 瀚華金控股份有限公司 聯席全球協調人 聯席賬簿管理人 香港承銷商

We confirm that we have (i) complied with the Guidelines for Electronic Public Offerings and the Operational Procedures for White Form eIPO Applications submitted via banks/ stockbrokers and all applicable laws and regulations (whether statutory or otherwise) in relation to the provision of our White Form eIPO services in connection with the Hong Kong Public Offering; and (ii) read the terms and conditions and application procedures set out in the Prospectus and this Application Form and agree to be bound by them. Applying on behalf of each of the underlying applicants to whom this application relates, we:

• apply for the number of H Shares set out below, on the terms and conditions of the Prospectus and this Application Form, and subject to the Memorandum and the Articles of Association;

- of Association;
- nenclose payment in full for the H Shares applied for, including brokerage of 1%, SFC transaction levy of 0.003% and Stock Exchange trading fee of 0.005%; confirm that the underlying applicants have undertaken and agreed to accept the H Shares applied for, or any lesser number allocated to such underlying applicants on this
- application; understand that these declarations and representations will be relied upon by the Company, the Directors, the Joint Sponsors, the Joint Global Coordinators and the Hong Kong Underwriters in deciding whether or not to make any allotment of Hong Kong Offer Shares in response to this application; unthorize the Company to place the name(s) of the underlying applicants(s) on the register of members of the Company as the holder(s) of any H Shares to be allotted to them, and (subject to the terms and conditions set out in this Application Form) to send any share certificate(s) and/or any refund cheque(s) (where applicable) by ordinary post at that underlying applicant's own risk to the address stated on this Application Form in accordance with the procedures prescribed in this Application Form and in the Prospectus;
- Prospectus; request that any e-Refund payment instructions be dispatched to the application payment bank account where the applicants had paid the application monies from a single bank account;

吾等確認,吾等已(i)遵守電子公開發售指引及透過銀行/ 股票經紀遞交白表eIPO申請的運作程序以及與吾等就香港公開發售提供白表eIPO服務有關的所有適用法律法規(法定或其他);及(ii)細閱招股書及本申請表格所載的條款及條件及申請手續,並同意受其約束。為代表與本申請有關的每一相關申請人作出申請,吾等:

- 按照招股書及本申請表格的條款及條件,並在組織章程大綱及公司章程的規限下,申請以下數目的H股;
- 隨附申請H股所需的全數付款(包括1%經紀佣金、0.003%證監會交易徵費及0.005%聯交所
- 父勿哀」, 確認相關申請人已承諾及同意接納彼等根據本申請所申請的H股,或彼等根據本申請獲分 配的任何較少數目H股;
- 明白 貴公司、董事、聯席保薦人、聯席全球協調人及香港承銷商將依賴此等聲明及陳述,以決定是否就本申請配發任何香港發售股份;
- 授權 貴公司將相關申請人的姓名/名稱列入 貴公司股東名冊內,作為任何將配發予相關申請人的H股的持有人,並(在符合本申請表格所載的條款及條件的情況下)根據本申請表格及招股書所載程序按本申請表格上所示地址以平郵方式寄發任何股票及/或任何退款支票(如適用),郵誤風險概由該相關申請人承擔;
- 要求將任何電子退款指示發送到申請人以單一銀行賬戶繳交申請股款的申請付款銀行賬戶

- request that any refund cheque(s) be made payable to the underlying applicant(s) who had used multiple bank accounts to pay the application monies and to send any such refund cheque(s) by ordinary post at that underlying applicant's own risk to the address stated on the application in accordance with the procedures prescribed in this Application Form and in the Prospectus; represent, warrant and undertake that the underlying applicant, and any persons for whose benefit the underlying applicant is applying understand that the Hong Kong Offer Shares have not been and will not be registered under the U.S. Securities Act and the underlying applicant, and any persons for whose benefit the underlying applicant is applying are non-U.S. person(s) outside the U.S. (as defined in Regulation S) when completing and submitting this Application Form or is a person described in paragraph(h) (3) of Puls 2002 of Papulatins S or the all transfer for expolarities for the Hort Kong (3) of Rule 902 of Regulation S or the allotment of or application for the Hong Kong Offer Shares to or by whom or for whose benefit this application is made would not require the Company to comply with any requirements under any law or regulation (whether or not having the force of law) of any territory outside Hong Kong;
  - confirm that each underlying applicant has read the terms and conditions and application procedures set out in this Application Form and in the Prospectus and in the designated website for the White Form eIPO Service (www.eipo.com.hk) and agrees to be bound by them;
  - represent, warrant and undertake that the allotment of or application for the H Shares to or by each underlying applicant for whose benefit this application is made would not require the Company to comply with any requirements under any law or regulation (whether or not having the force of law) of any territory outside Hong Kong; and agree that this application, any acceptance of it and the resulting contract, will be governed by and construed in accordance with the laws of Hong Kong.

Signature 簽名	
Name of applicant 申請、姓名	

- 要求任何以多個銀行賬戶繳交申請股款的申請人的退款支票以相關申請人為抬頭人,並根 據本申請表格或招股書所述程序將任何有關退款支票以平郵方式寄發到申請所列的地址, 郵誤風險概由相關申請人承擔;
- 聲明、保證及承諾相關申請人及相關申請人為其利益而提出申請的人士在提出申請時明白 香港發售股份並無且將不會根據美國證券法註冊,及相關申請人及任何為其利益而提出申 請的人士在填寫及遞交本申請表格時為於美國境外的非美籍人士(定義見S規例)或是S規 例902條(h)(3)段所描述的人士,或相關申請人或申請人為其利益而提出申請的人士獲配發 或申請香港發售股份不會引致貴公司須遵從香港以外任何地區之任何法例或規例的任何規 定(不論是否具法律效力);
- 確認各相關申請人已細閱並同意遵守本申請表格及招股書及白表eIPO服務指定網站 www.eipo.com.hk所載的條款、條件及申請手續;
- 聲明、保證及承諾向各相關申請人或由各相關申請人或為其利益而提出本申請的人士配 發或申請H股,不會引致 貴公司須遵從香港以外任何地區的任何法律或規例的任何規定 (不論是否具法律效力);及
- 同意本申請、對本申請的任何接納及據此訂立的合約,將受香港法例規管及按其詮釋。

Date 日期	
Capacity 身份	

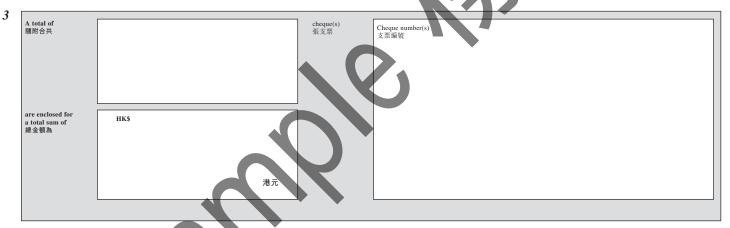
2

4

We, on behalf of the we, on behalf of the underlying applicants, offer to purchase 吾等 (代表相關 申請人) 提出認購

Total number of Shares 股份總數

H Shares on behalf of the under -only CD-ROM submitted with this Applicatio 代表相關申請人提出認購的H (遞交的唯讀光碟)。



Please use BLOCK letters 請用正楷填寫						
Name of White Form cIPO Service Provider 白表eIPO服務供應商名稱						
Chinese Name 中文名編	White Form eIPO Service Provider ID 白表eIPO服務供應商編號					
Name of contact person 聯絡人士姓名	Contact number 聯絡電話號碼		Fax number 傳真號碼			
Address 地社:	For Broker use 此欄供經紀填寫 Lodged by 申請由以下經紀遞交					
	Broker No. 經紀號碼					
	Broker's Chop 經紀印鑒	•				

#### HONG KONG PUBLIC OFFERING - WHITE FORM eIPO SERVICE PROVIDER APPLICATION FORM 香港公開發售 - 白表eIPO服務供應商申請表格

Please use this Application Form if you are a White Form eIPO Service Provider and are applying for Hong Kong Offer Shares on behalf of underlying applicants.

倘 閣下為白表eIPO服務供應商,並代表相關申請人申請香港發售股份,請使用本申請表格。

#### GUIDELINES TO COMPLETING THIS APPLICATION FORM

References to boxes below are to the numbered boxes on this Application Form.

#### Sign and date the Application Form in Box 1. Only a written signature will be accepted.

The name and the representative capacity of the signatory should also be stated

To apply for H Shares using this Application Form, you must be named in the list of White Form eIPO Service Providers who may provide White Form eIPO services in relation to the Hong Kong Public Offering, which was released by the SFC.

#### Put in Box 2 (in figures) the total number of H Shares for which you wish to apply on behalf of the underlying applicants.

Application details of the underlying applicants on whose behalf you are applying must be contained in one data file in read-only CD-ROM format submitted together with this Application Form.

#### Complete your payment details in Box 3.

You must state in this box the number of cheques you are enclosing together with this Application Form; and you must state on the reverse of each of those cheques (i) your White Form eIPO Service Provider ID; and (ii) the file number of the data file containing application details of the underlying applicant(s).

The dollar amount(s) stated in this box must be equal to the amount payable for the total number of H Shares applied for in Box 2. All cheque(s) and this Application Form together with a sealed envelope containing the CD-ROM, if any, must be placed in the envelope bearing your company chop.

For payments by cheque, the cheque must:

• be in Hong Kong dollars;

- not be post dated;
- be drawn on a Hong Kong dollar bank account in Hong Kong;
- show your (or your nominee's) account name; be made payable to "Bank of China (Hong Kong) Nominees Limited Hanhua Financial Public Offer":
- be crossed "Account Payee Only"; and

• be signed by the authorized signatories of the **White Form eIPO** Services Provider. Your application may be rejected if any of these requirements is not met or if the cheque is dishonored on its first presentation.

It is your responsibility to ensure that details on the cheque(s) submitted correspond with

the application details contained in the CD-ROM or data file submitted in respect of this application. The Company and the Joint Global Coordinators have full discretion to reject any applications in the case of discrepancies.

No receipt will be issued for sums paid on application.

#### Insert your details in Box 4 (using BLOCK letters).

You should write the name, White Form eIPO Service Provider ID and address of the White Form eIPO Service Provider in this box. You should also include the name and telephon number of the contact person at your place of business and where applicable, the Broker No. and Broker's Chop.

#### Personal Information Collection Statement

The main provisions of the Personal Data (Privacy) Ordinance (the "Ordinance") came into effect in Hong Kong on December 20, 1996. This Personal Information Collection Statement informs the applicant for and holder of the Shares of the policies and practices of the Company and Registrar in relation to personal data and the Ordinance.

Reasons for the collection of your personal data

From time to time it is necessary for applicants for securities or registered holders of securities to supply their latest correct personal data to the Company and/or the H Share Registrar when applying for securities or transferring securities into or out of their names or in procuring the

applying for securities of the H Share Registrar.

Failure to supply the requested data may result in your application for securities being rejected or in delay or inability of the Company and/or the H Share Registrar to effect transfers or otherwise render their services. It may also prevent or delay registration or transfer of the H Shares which you have successfully applied for and/or the despatch of share certificate(s), and/or the despatch of e-Refund payment instructions, and/or the despatch of refund cheque(s) to which you are entitled. to which you are entitled.

to which you are entitled. It is important that the applicants and the holders of securities inform the Co Share Registrar immediately of any inaccuracies in the personal data supplied. inform the Company and the H

### Purposes

- Purposes
  The personal data of the applicants and holders of securities may be used, held and/or stored (by whatever means) for the following purposes:

   processing of your application and refund cheque, where applicable, and verification of compliance with the terms and application procedures set out in this Application Form and the Prospectus and announcing results of allocations of the H Shares;

   enabling compliance with all applicable laws and regulations in Hong Kong and
- registering new issues or transfers into or out of the names of holders of securities
- including, where applicable, in the name of HKSCC Nominees; maintaining or updating the registers of holders of securities of the Company;
- conducting or assisting to conduct signature verifications, any other verification or exchange of information:
- establishing benefit entitlements of holders of securities of the Company, such as dividends, rights issues and bonus issues, etc:
- distributing communications from the Company and its subsidiaries;
- compiling statistical information and Shareholder profiles;
- making disclosures as required by laws, rules or regulations
- disclosing identities of successful applicants by way of press announcement(s) or
- disclosing relevant information to facilitate claims on entitlements; and
- any other incidental or associated purposes relating to the above and/or to enable the Company and the H Share Registrar to discharge their obligations to holders of securities and/or regulators and any other purpose to which the holders of securities may from time to time agree.

#### 填寫本申請表格的指引

下文各欄提述的號碼乃本申請表格中各欄的編號。

#### 在申請表格欄1簽署及填上日期。只接受親筆簽名。

亦必須註明簽署人的姓名/名稱及代表身份

如欲使用本申請表格申請H股, 閣下必須為名列於證監會公佈的白表eIPO服務供應商名單 內可以就香港公開發售提供白表eIPO服務的供應商

#### 在欄2填上 閣下欲代表相關申請人申請的H股總數(以數字填寫)。

閣下代表其作出申請的相關申請人的申請資料,必須包含於連同本申請表格遞交的唯讀光碟 格式的一個資料檔案內

#### 在欄3填上 閣下付款的詳細資料。

閣下必須在本欄註明 閣下連同本申請表格隨附的支票數目;及 閣下必須在每張支票的背面註明(i) 閣下的白表e $\mathbf{IPO}$ 服務供應商身份證明號碼;及(ii)載有相關申請人的申請詳細資料的資料檔案的檔案編號。

本欄所註明的金額必須與欄2所申請的上股 總數應付的金額相同。所有支票及本申請表格連 進蓋上 閣下公司印鑒的信封內。 同裝有唯讀光碟的密封信封 (如有) 必 放進蓋上

如以支票繳付股款,該支票必須 • 為港元支票;

- 不得為期票
- 不得為期票; 由在香港開設的港元銀行賬
- 顯示 閣下(或 閣下代名人註明抬頭人為 中國銀行(香 的販
- 華金控公開發售 :

 以「只准入抬頭人帳戶」劃線方式開出;及
 由自表elPO服务健康商的授權簽署人簽署。 倘未能符合任何此等規定或倘支票首次過戶本獲兑現, 閣下的申請可能會遭拒絕受理。

上的詳細資料與就本申請遞交的唯讀光碟或資料檔案所載的申 本公司及聯席全球協調人有絕對酌情權拒絕接受任何申請。 料相同 聯席全球協調人有絕對酌情權拒絕接受任何申請。

額將不會獲發收擔

#### 閣下的詳細資料(用正楷填寫)。 在欄4填上

閣下必須在本欄填上**日表eIPO**服務供應商的名稱、白表eIPO服務供應商編號及地址。 閣 下亦必須填寫 閣下營業地點的聯絡人士的姓名及電話號碼及(如嫡用) 經紀號碼及經紀印

# 《個人資料收集聲明》

個人資料(私隱)條例》(「《條例》」)中的主要條文於1996年12月20日任香港生效。此切四八具行 集書明是向股份申請人及持有人說明本公司及H股證券登記處有關個人資料及條例的政策及慣

#### 1.

收集 閣下個人資料之原因 證券申請人或證券登記持有人申請證券或將證券轉往其名下,或將名下證券轉讓予他人,或 要求H股證券登記處提供服務時,須不時向本公司及/或H股證券登記處提供其最新的正確

若未能提供所需資料,可能會導致 閣下的證券申請遭拒絕受理或本公司及/或H股證券登記處延遲或不能使證券過戶生效或提供其他服務,亦可能妨礙或延誤 閣下成功申請的H股的登記或過戶及/或妨礙或延誤寄發股票,及/或發送電子退款指示,及/或奇發 閣下 應得的退款支票。

證券申請人及持有人提供的個人資料如有任何不確,必須即時知會本公司及H股證券登記 處

#### 資料用途

證券申請人及持有人的個人資料可以任何方式被採用、持有及/或保存,以作下列用途:

- 處理 閣下的申請及退款支票(如適用)、核實是否遵守本申請表格及招股書所載條款及申請手續以及公佈H股的分配結果;
- 確保遵守香港及其他地方的一切適用法律法規;
- 登記新發行證券或為證券持有人轉往其名下或由其名下轉讓予他人的證券,包括(如適
- 用) 以香港結算代理人的名義登記 保存或更新本公司證券持有人名冊
- 進行或協助進行簽名核對、任何其他核對或交換資料;
- 確定本公司證券持有人可獲取的利益,例如股息、供股及紅股等利益的資格;
- 寄發本公司及其子公司的通訊資料;
- 編製統計資料及股東資料;

- 披露有關資料以便作出權益索償;及 與上述有關的任何其他附帶或相關目的及/或致使本公司及H股證券登記處能夠履行 彼等對證券持有人及/或監管機構的義務及證券持有人不時同意的任何其他目的。

#### Transfer of personal data

Personal data held by the Company and the H Share Registrar relating to the applicants and the holders of securities will be kept confidential but the Company and its H Share Registrar may, to the extent necessary for achieving the above purposes or any of them, make such enquiries as they consider necessary to confirm the accuracy of the personal data and in particular, they may disclose, obtain, transfer (whether within or outside Hong Kong) the personal data of the applicants and the holders of securities to, from or with any and all of the following persons and entities:

- the Company or its appointed agents such as financial advisers, the receiving bank and
- overseas principal registrars; where applicants for securities request deposit into CCASS, to HKSCC and HKSCC Nominees, who will use the personal data for the purposes of operating CCASS; any agents, contractors or third-party service providers who offer administrative, telecommunications, computer, payment or other services to the Company and/or the H Share Registrar in connection with the operation of their respective businesses;
- any regulatory or governmental bodies (including the Hong Kong Stock Exchange and the
- any other persons or institutions with which the holders of securities have or propose to have dealings, such as their bankers, solicitors, accountants or stockbrokers, etc

#### Access and correction of personal data

The Ordinance provides the applicants and the holders of securities with rights to ascertain whether the Company and/or the H Share Registrar hold their personal data, to obtain a copy of that data, and to correct any data that is inaccurate. In accordance with the Ordinance, the Company and the H Share Registrar have the right to charge a reasonable fee for the processing of any data access request. All requests for access to data or correction of data or for information regarding policies and practices and the kinds of data held should be addressed to the Company for the attention of the Company secretary or (as the case may be) the H Share Registrar for the attention of the Privacy Compliance Officer for the purposes of the Ordinance.

轉交個人資料 本公司及H股證券登記處會將其持有證券申請人及持有人的個人資料保密,但本公司及其H 股證券登記處可能會就上述目的或上述任何目的作出彼等認為必要的查詢以確認個人資料的準確性,尤其可能會向下列任何及所有人士及實體披露、素取或轉交證券申請人及持有人的 個人資料 (不論在香港或外地)

- 本公司或其委任的代理,例如財務顧問、收款銀行及海外證券登記總處;
- 倘證券申請人要求將證券存入中央結算系統,向香港結算及香港結算代理人披露,而 彼等將為運作中央結算系統而使用個人資料;
- 版等所為選目: 下次兩异系規劃使用國人負替。 任何向本公司及一或日股證券營記處提供與其各自業務運作有關的行政、電訊、電 腦、付款或其他服務的代理、承包商或第三方服務供應商;
- 任何監管或政府機關(包括香港聯交所及證監會);及
- 與證券持有人有或擬有業務往來的任何其他人士或機構,例如銀行、律師、會計師或

查閱及更正個人資料 《條例》賦予證券申請人及持有人權利以確定本公司及/或H股證券登記處是否持有其個人資料、索取有關資料及更正任何不正確的資料。根據《條例》規定,本公司及H股證券登記處有權就處理任何查閱資料的要求收取合理費用。根據《條例》,所有關於查閱資料或更正資料或索取關於政策及慣例及所持資料類別的資料的要求,應向本公司的公司秘書或《視情 況而定)向H股證券登記處的個人資料私隱事務主任提出。



#### DELIVERY OF THIS APPLICATION FORM

This completed Application Form, together with the appropriate cheque(s) together with a sealed envelope containing the CD-ROM, must be submitted to the following receiving bank by 4 p.m. on Tuesday, 10 June, 2014:

### Bank of China (Hong Kong) Limited

1/F, BOC Cheung Sha Wan Building, 194–200 Cheung Sha Wan Road,

#### Bank of Communications Co., Ltd. Hong Kong Branch

25/F., Bank of Communications Tower, 231-235 Gloucester Road, Wanchai. Hong Kong

### 搋交本由請表格

經填妥的本申請表格,連同適用支票及裝有相關唯讀光碟的密封信封,必須於2014年6月10日 (星期二)下午四時正之前,送達下列收款銀行

### 中國銀行(香港)有限公司

九龍 長沙灣道194-200號 中銀長沙灣大樓1樓

#### 交通銀行股份有限公司香港分行

香港 灣仔 告士打道231-235號 交通銀行大廈25樓