



# Asia Pacific Silk Road Investment Company Limited

(Incorporated in Bermuda with limited liability)  
Stock Code : 767



## 2018

Environmental,  
Social and  
Governance Report







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# ABOUT THIS REPORT

Asia Pacific Silk Road Investment Company Limited (hereinafter referred to as the “Asia Pacific Investment”, “Company” or “us”) and its subsidiaries (collectively, the “Group”) are pleased to publish this environmental, social and governance (ESG) report (hereinafter referred to as this “Report”), which describes the principles the Group uphold to satisfy the corporate social responsibilities and the sustainable development philosophy, and sums up the relationship between the Group and major stakeholders and share the vision and commitments upon the social responsibilities.

## PREPARATION BASIS

This Report has been prepared in accordance with the Environmental, Social and Governance Reporting Guide (hereinafter referred to as the “Guide”) set out in Appendix 27 to the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited. The contents in this Report comply with the disclosure principles required by the Guide. This Report has complied with all “comply or explain” provisions as set out in the Guide required by The Stock Exchange of Hong Kong Limited (“HKEX”). Please refer to “Appendix: Content Index of Hong Kong Stock Exchange ESG Reporting Guide” for detailed compliance condition.

## REPORTING PERIOD AND SCOPE

This Report mainly focuses on the overall performances of the Group’s core business of peer-to-peer (P2P) financing platform and other loan facilitation services business, money lending and securities and other investments as well as corporate social responsibilities from 1 January 2018 to 31 December 2018 (hereinafter referred to as the “Year” or “Reporting Period”). Unless otherwise stated, businesses directly controlled by the Group have been included in this Report.

## REPORTING LANGUAGE

The Report has been published in both Traditional Chinese and English. In the event of inconsistency, the Traditional Chinese version prevails. Soft copy of the Report for reading and downloading is available on official websites of HKEX.

## CONTACT

Your opinions on this Report are highly valuable to us. We welcome you to leave any enquiries or suggestions through E-mail (pphlhk@pphl.com.hk).



# SUSTAINABLE DEVELOPMENT STRATEGY

The Group has always been committed to the integration between its sustainable development philosophy and the Company's general strategies, policies and business plans. We hope to reduce the environmental impact of our business operations while contributing to society.

The board of directors (the "Board") of the Company takes full responsibility for the Group's ESG strategies and reporting. It leads the management in its evaluation of the Group's risk management and internal control systems with respect to ESG matters to ensure that the Group complies with the relevant laws and regulations.



## ESG POLICIES

The Group has formulated the "ESG Policies" (《環境、社會及治理政策》) to vividly describe our ESG mission and management principles. We strictly comply with the Environmental Protection Law of the People's Republic of China and the respective environmental protection laws of each regions in which the Company operates its business. We strive to reduce the consumption of energy, papers, water and other resources in our business operations. Meanwhile, we cut our operational cost by continuously assessing our business operation and enhance efficiency as well as lowering the environmental impacts by the implementation of green environmental-friendly philosophy, to ensure the satisfaction of corporate responsibilities.



## SUSTAINABLE DEVELOPMENT STRATEGY

### STAKEHOLDER ENGAGEMENT

The Group puts great priority to listen to all stakeholders. To closely engage the stakeholders from times, we have established respective communication channels for stakeholders in 6 categories, such as government and regulatory institution, shareholders and investors, customers, employees, suppliers, community and the public. In the preparation of this Report, we listen to our stakeholders and determine the expectations and appeals they proposed, and further focus on the disclosures as set out in the following table:

Stakeholders	Expectations and appeals	Major communication channels
Government and regulatory institution	<ul style="list-style-type: none"> <li>• Operate in compliance</li> <li>• Comply with business moral</li> <li>• Foster economic development</li> </ul>	<ul style="list-style-type: none"> <li>• Compliance report</li> <li>• Policies and regulations</li> <li>• Daily communication</li> </ul>
Shareholders and investors	<ul style="list-style-type: none"> <li>• Stable business development</li> <li>• Considerable investment return</li> <li>• Timely information disclosures</li> </ul>	<ul style="list-style-type: none"> <li>• Annul reports and announcements</li> <li>• Investors' meetings</li> <li>• Daily information disclosure</li> </ul>
Customers	<ul style="list-style-type: none"> <li>• Customer service quality</li> <li>• Customer information security</li> <li>• Customer rights guarantee</li> </ul>	<ul style="list-style-type: none"> <li>• Daily operation and communication</li> <li>• Service hotline and E-mails</li> </ul>
Employees	<ul style="list-style-type: none"> <li>• Provision of steady employment</li> <li>• Reasonable benefits packages</li> <li>• Good working environment</li> <li>• Occupational health and safety</li> </ul>	<ul style="list-style-type: none"> <li>• Employee handbook</li> <li>• Work performance appraisal</li> <li>• Seminars/workshops</li> </ul>
Suppliers	<ul style="list-style-type: none"> <li>• Integrity cooperation</li> <li>• Standard procurement</li> <li>• Business moral compliance</li> </ul>	<ul style="list-style-type: none"> <li>• Suppliers management Apps</li> <li>• Suppliers evaluation system</li> </ul>
Community and the public	<ul style="list-style-type: none"> <li>• Encourage social harmony</li> <li>• Support charity activities</li> <li>• Perform green operation</li> </ul>	<ul style="list-style-type: none"> <li>• Charity activities engagements</li> <li>• Voluntary services</li> </ul>

We have distinguished the most influential issues among the mass valuable feedbacks from stakeholders and blend them into the Groups' business decisions and sustainable strategies in 4 major aspects including "Environmental Concerns", "Employment and Labour Practices", "Compliance Operation" and "Society Give-back".





## SUSTAINABLE DEVELOPMENT STRATEGY



# ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS

The Group spares no efforts in providing a harmonious working environment and has established the “ESG Policies” (《環境、社會及治理政策》). We strive for the avoidance of producing pollutions or harming the eco-environment and business’s performance improvements in the environmental scope, to ease the negative impact on environment caused by the leverage of natural resources. During the Reporting Period, no violation related to environmental protection law or major incident that impacts the environment and use of natural resources has occurred within the Group, and no penalty or litigation associated with the environment was imposed on or instigated against the Group. Meanwhile, we collect the key performance indicators of new headquarters in Hong Kong this Year.

## LOW-CARBON OPERATION

Global climate change has become the topic of the international society. Under the Outline of the Thirteen Five-Year Plan for the National Economic and Social Development of the People’s Republic of China (2016–2022), the PRC stressed the urgency of energy reform and mentioned the implementation of double control upon total energy consumption and its intensity. To ease the influence global climate change posed and work align with the overall purpose and related strategies of China energy reform, the Group is implementing low-carbon operation.

We have conducted the carbon audit for Hong Kong headquarters office of the Group in accordance with the Greenhouse Gas Protocol composed by the World Resources Institute and the World Business Council for Sustainable Development, and ISO14064–1 devised by the International Organization for Standardization. Our GHG emissions performance during the Reporting Period is stated as below. Since the environmental key performance indicators of Beijing office of the Group were collected last year and that due to difference of geographical area, the data of last year cannot be compared.

The GHG emissions of the Group can be categorized as direct GHG emissions (scope 1) and indirect GHG emissions (scope 2 & 3). The GHG emissions of each scope are derived from the fuel consumption of stationary and mobile combustion sources of the Group (scope 1), the electricity consumed during business operation (scope 2) and water consumption, waste treatment and paper use (scope 3), respectively. The total GHG emissions, intensity of GHG emissions per square metre and per employee of headquarters office of the Group in Hong Kong this year account for 29.2 tonnes of CO<sub>2</sub> equivalent (CO<sub>2</sub>e), 0.1 CO<sub>2</sub> tonnes of CO<sub>2</sub>e/square meter and 2.1 tonnes of CO<sub>2</sub>e/employee. We will continue to monitor the GHG emissions within each aspects and foster the management against GHG emissions.

	Unit	2018
<b>GHG Emissions</b>		
Direct GHG emissions (Scope 1)	tonnes of CO <sub>2</sub> e	10.2
Indirect GHG emissions (Scope 2)	tonnes of CO <sub>2</sub> e	18.2
Other indirect GHG emissions (Scope 3)	tonnes of CO <sub>2</sub> e	0.8
Total GHG emissions (Scope 1, 2 & 3)	tonnes of CO <sub>2</sub> e	29.2
Per square meter (Scope 1, 2 & 3)	tonnes of CO <sub>2</sub> e/square meter	0.1
Per employee (Scope 1, 2 & 3)	tonnes of CO <sub>2</sub> e/employee	2.1





## ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS

### GREEN MEASURE

The Group advocates green office and proactively embodies the concept of environmental conservation to our business operation. We carry out various green conservation measures to in-depth manage resources application and consolidate pollution prevention.

#### Save Electricity

The Group has installed in its office the high-efficiency lighting system which collaborates with reflecting mirrors to collect light rays and further radiate to specific position for lighting, and thus to optimize the use of daylight. The offices are divided into different zones with separate lighting system so that users can utilize the lights flexibly. We will, from time to time, remind our staff to switch off unnecessary electricity equipment and assess the lightness of different areas in the office on regular basis so as to reduce exceeding quantity of lights and cut down energy consumption.

Nevertheless, the Group's air conditioning system contains a variable speed drives, which allow staff to adjust the temperature of the air-conditioners according to their actual needs. We will also plant green plants to surround the office area to lower the indoor temperature and allow staff to wear casual outfits while not affecting the corporate image to lessen the needs to the air conditioning system. The Group will proceed regular check and renew pressure gage, pressure hose and the connector of air compressor as well as washing the devices and filter net of the air conditioning system. This enhances the energy efficiency. We also have regular statistics on the energy consumption volume.

During the Reporting Period, the Group's headquarters office in Hong Kong had a total electricity consumption of 23,076.0 kWh during operation, while the intensities were 75.0 kWh/ square meter and 1,648.3 kWh/ employee. In the future, we will continue to monitor the electricity consumption of the Group to improve energy conservation measures in a timely manner.

	Unit	2018
<b>Electricity Consumption</b>		
Total electricity consumption	kWh	23,076.0
Electricity Consumption per square meter	kWh/square meter	75.0
Electricity Consumption per employee	kWh/employee	1,648.3



## ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS

### Value Energy

Save for the energy consumed during operation, we also control and manage the fuel consumption of our vehicles. The Group advocates green travel to optimize the route planning of transportation and goods delivery and encourage the staff the use of public transportation. We also arrange regular examination and maintenance for our vehicles to confirm the engines and wheels function in normal condition, which enhance energy efficiency. This Year, the motor vehicles under the Hong Kong headquarters office of the Group had consumed aggregately 4,500.0 liters of gasoline.

	Unit	2018
<b>Fuel Consumption</b>		
Gasoline consumption of vehicles	Liter	4,500.0

### Save Water

The Group is concerned about the global water shortage crisis and therefore strives to nurture the staff to value water. This Year, the total drinking water consumption from the headquarters office of the Group in Hong Kong accounts for 3,006.0 liters while its intensity of drinking water consumption accounts for 214.7 liters/employee. In the coming year, we will continue to monitor the drinking water consumption of the staff in the Group, and wish to collect data about water consumption within business operation to help consolidate the management of water utilization.

	Unit	2018
<b>Water Consumption</b>		
Total water consumption	Liter	3,006.0
Water consumption per employee	Liter/employee	214.7

### Value Paper

The Group optimizes the information technology and uses electronic Office Administration System ("OA System") to replace a traditional paper-based OA System. Staff members are urged to use electronic means to communicate. We limit the printing quota of our staff and remind them to use double sided printing and reuse paper, and to collect and recycle single-sided paper to reduce waste paper in the office. The consumption performance is regularly controlled by our Group, and adjustment will be arranged when found necessary. This Year, the total paper consumption and the intensity of paper consumption from the headquarters office of the Group in Hong Kong had accounted for 475.0 kg and 33.9 kg/employee. In the upcoming year, the Group will continue to monitor the paper consumption and progressively realise a green paperless office.

	Unit	2018
<b>Paper Consumption</b>		
Total paper consumption	kg	475.0
Paper consumption per employee	kg/employee	33.9



## ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS

**Waste Management**

The Group encourages employees to reuse envelopes and folders, etc., and minimize the use of disposable and non-recyclable products to achieve the reduction of waste source. We also reinforce pollution prevention by recycling and reusing. The volume of waste produced is assessed from time to time. During the Reporting Period, the total waste produced, and the intensity of the headquarters office of the Group in Hong Kong account for 1,825.0 kg and 130.4 kg/employee, and total hazardous waste produced consist of 50.0 batteries and 20.0 ink cartridges/toner. In the upcoming year, we will continue to monitor the non-hazardous waste and hazardous waste produced by the Group and further improve our pollution preventive measures.

	Unit	2018
<b>Waste Production</b>		
Total non-Hazardous Waste Production	kg	1,825.0
Non-hazardous waste production per employee	kg/employee	130.4
Hazardous Waste Production (Batteries)	Pieces	50.0
Hazardous Waste Production (waste ink cartridges and toner)	Pieces	20.0



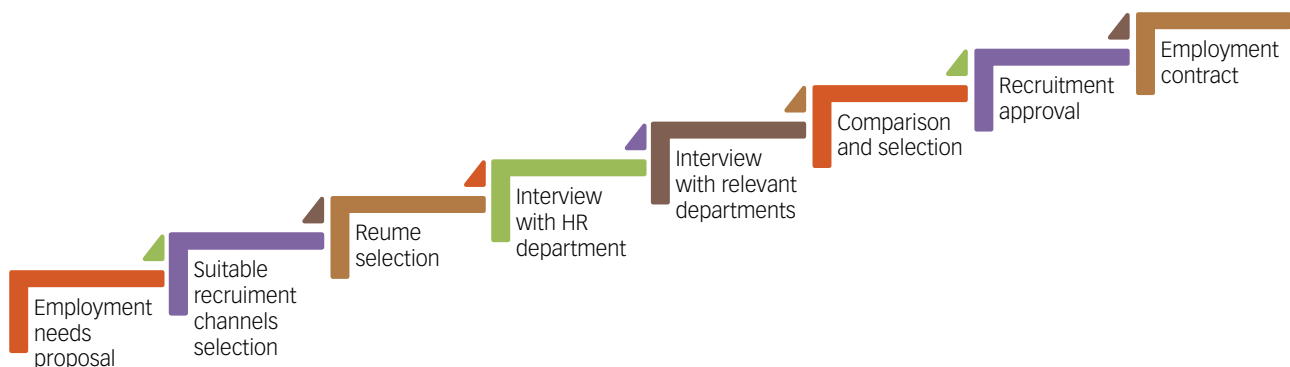


# SOCIAL RESPONSIBILITIES — EMPLOYMENT AND LABOUR PRACTICES

The Group puts unremitting effort into “Human Orienting” and places great emphasizes on good work ambience to realize care and value towards staff and enhance their sense of belonging, and thus achieve the long-term purposes of professional team building and enhance cohesion. We also collect the social key performance indicators of the Group.

## EMPLOYMENT STANDARD

The Group persists equal employment and prohibits discrimination and force labors so to strive for provide all employees a harmonious, respectful and equal work place. We strictly comply with related laws and regulations such as Labour Law, the Labour Contract Law, the Law on the Protection of Minors and the Provisions on the Prohibition of Using Child Labour of the PRC and Employment Ordinance of HKSA. Our human resources department will open recruitment each year based on the staff requirement proposed by each departments and post recruitment information in suitable channels. We conduct evaluation, written tests, interviews, approval and other procedures to assess the candidates’ educational background, work experience, skills and other job requirements. We at the same time ensure the recruitment results will be regardless of gender, marital status, family conditions or disabilities, so as to make sure employment procedures are fair. We will brief the candidates in details about the employment condition, duties and working hours, sign labour contract before employment to the office to protect the rights of both parties and avoid force labours. Preventing any recruitment of child labour, we also collect the identical documents of the candidates to ensure their employments are under legal employment age. We did not employ child labour or forced labour during the Reporting Period. Below sets out the general recruitment procedures of the Company:



## SOCIAL RESPONSIBILITIES — EMPLOYMENT AND LABOUR PRACTICES

	Unit	2018
<b>Total Employee</b>		
Total employee	no. of people	14
Total employee (male)	no. of people	5
Total employee (female)	no. of people	9
<b>Total Employee (by positions)</b>		
Senior management <sup>1</sup>	no. of people	2
Middle management <sup>2</sup>	no. of people	6
Junior management <sup>3</sup>	no. of people	6
<b>Total Employee (by geographical region)</b>		
Mainland China Staff	no. of people	4
Hong Kong Staff	no. of people	10
<b>Employee Turnover Rate</b>		
Annual employee average turnover rate of the Year	%	17

## SALARY AND BENEFITS

The Group endeavours to offer competitive and fair remuneration and benefits packages and devise Human Resources Management Rules (《人力資源管理制度彙編》) to state the working hours, holidays policies and other benefits for all employees. To construct a corporate benefits system with greater attractiveness and competitiveness, we formulated the Remuneration Policies (《薪酬政策》) to raise up the loyalty of staff on the Company, lift up corporate bonding and motivate the potentials of employees. Save for the salaries, the Group also conduct regular review on discretionary bonus and other employee benefit including retirement plan and medical insurance. We review salary and awards scheme on regular basis, to attract, motivate, develop and retain key talents in the Company effectively. Going beyond paid annual leave, statutory sick leave and work injury leave and maternity leave, employees can also enjoy marriage leave, compassionate leave, public holiday and paternity leave.

<sup>1</sup> Senior management personnel represent corporate leadership

<sup>2</sup> Middle management personnel represent the persons in charge of each department (i.e. persons who are responsible of managing departments, which include departmental general manager and deputy general manager)

<sup>3</sup> Personnel neither belong to the above scope are categorized as general staff



## SOCIAL RESPONSIBILITIES — EMPLOYMENT AND LABOUR PRACTICES

### HEALTH AND SAFETY

The Group concerns the health and safety of employees, it strictly abides by relevant laws and regulations such as the Law on the Prevention and Control of Occupational Diseases and the Regulations on Work-Related Injury Insurance of the People's Republic of China as well as the Occupational Safety and Health Ordinance of the Hong Kong Special Administrative Region. We offer our employees with pension insurance, essential medical insurance, work injury insurance, maternity insurance, unemployment insurance, housing funds and other statutory benefits insurance required by relevant national laws and regulations or local government. In addition, we provide our staff with supplementary medical benefit items which include clinical, inpatient and dental securities. To help employees find any latent diseases, the Company provides its employees annual body check, so as to monitor health risks. During Reporting Period, no work-related injury or fatality or violation of health and safety laws and regulations was recorded across the Group.

### DEVELOPMENT AND TRAINING

The Group highlights intelligence development and arrange annual training according to the needs of office. This year, we conduct 10 training classes with 75% attendance for each class. Training contents include corporate policies and system, internal control and corporate management, compliance operation, regulatory highlights, information disclosure management approach and business review management. Besides, in response to the emphasizes placed on staff benefits, the Group brings along block chain techniques and investment sharing, Hong Kong MPF Scheme, MPF investment market analysis training in 2018 and company medical insurance seminar to human resources department and other departments. This equips the staff with the acknowledgement of investment and employee benefits. Meanwhile, we encourage the staff to engage in the seminars and sharing sessions held by external organization to enrich their professional knowledge, in which education subsidy and tuition reimbursement will be provided depending on condition.

	Unit	2018
<b>Employee Training</b>		
Training class held	no. of class	10
Attendance rate	%	75





# SOCIAL RESPONSIBILITIES — COMPLIANCE OPERATION

The Group strictly compliances with the national and regulatory institutions' laws and regulations. It has always been persistent to operate in accordance to the law and committed to maintain good corporate image and corporate governance level. We continue to optimize our corporate governance and risk prevention and monitoring mechanism to bring the Group stable and healthy development basis.

## SUPPLY CHAIN MANAGEMENT

Supply chain management is crucial to the stability of our business development. The Group has maintained an effective communication with the suppliers and entered into long-term partnership jointly to work towards the sustainability of supply chain. We formulated the Procurement Regime (《採購管理制度》) to stipulate the application approval procedures of procurement to tighten the procurement management. For new procured items, the Group needs to post quote requests or conducts site visit to no less than 3 suppliers. For regular bulk purchase, we compare quotes by tender. Referencing the market condition, the Group annually holds no less than 2 tendering events to determine procurement price and suppliers' qualities.

In the selection of supplier, the Group evaluates the product and service quality, reputation and costs offered by the suppliers. In addition, to further prevent respective risks that might arise in the purchasing process, we take the corporate governance, labour conditions, ethics, environmental standards and other factors into account. We also commence social responsibility assessment of our suppliers and thus raise their social responsibility awareness and enhance the quality and efficiency of the procurement function.

## INFORMATION SECURITY MANAGEMENT

Being a provider of P2P financing platform and other loan facilitation services, the Group attaches great importance to information security and strives to control illegal spread of information. We have developed the Information Security Management System (《資訊安全管理制度》) to state computer and server room management systems and thus safeguard the completeness and security of the corporate information and the personal information of customers in accordance with the Requirements for Information System Security Management and the Personal Data (Privacy) Ordinance. We have also tightened surveillance of information in order to prohibit the staff from copying business and customer information without authorization or disseminating information disclosing customers' information without their approvals. To ensure the rightful use, safe storage and secured protection of the Group's information, any equipment that needs access to the Group's intranet requires verification and authorization by the Computer Department before doing so.

For information incidents prevention and response, we conduct emergency drills on a regular basis and task forces are set up to lead the coordination work as well as investigations into the causes of the incidents. The Group has also constructed the System for Handling Emergencies on the Platform (《平台應急事件處理制度》) to optimise the Group's information protection system and contingency mechanism.



## SOCIAL RESPONSIBILITIES — COMPLIANCE OPERATION

### BRAND MANAGEMENT

Opinions from customers provides great reference to foster the long-term and healthy development of the Group's business. We have set up different channels to collect customers' opinion and concrete handling procedures upon their enquiries and complaints, to response the collected feedbacks timely and maintain the reputation and image of the Group. For brand promoting, the Group strictly complies with related laws and regulations of Hong Kong Special Administrative Region such as the Trade Descriptions Ordinance, handles all relevant information with cautions so as to ensure information delivered in advertisements is complete, true and accurate and prohibits all behaviours that defraud customers with false and misleading product descriptions. We installed safe and licensed software in our staff computer systems to safeguard the intellectual properties, patents, trademarks, copyrights and other legal rights of the Group and its contractors.

### ANTI-CORRUPTION

The Group has always upheld honesty, integrity and fairness as the core values of its business. We perform strict compliance in relevant laws and regulations such as the Anti-Money Laundering Law of the People's Republic of China as well as the Anti-Money Laundering and Counter-Terrorist Financing Ordinance, the Drug Trafficking (Recovery of Proceeds) Ordinance, the Organized and Serious Crimes Ordinance and the United Nations (Anti-Terrorism Measures) Ordinance, Corporate Finance Advisers Code of Conduct and the Prevention of Bribery Ordinance of the Hong Kong Special Administrative Region, so as to bat, by all means, financial criminal activities and paint a good and integrate corporate image.

We have established the Provisional Measures Against Insider Trading and a List of Securities under Surveillance (《禁止內幕交易及證券觀察名單暫行辦法》), to restrict the transmission of insider information and strengthen the compliance standard of our business. Staff members are required to complete a Staff Securities Account Reporting Form (《員工相關證券帳戶申報表》) and report the details of their securities or futures accounts in order to reduce the risk of the Group and its staff members breaching regulations against insider trading in the our business procedures. The Group has also established the Regulations on Clean Conduct for the Staff (《員工廉潔從業規定》) and Ten Principles of Work Style Construction of Caijia Group (《財加集團作風建設十條》) to expressly prohibit all staff members from taking advantages of their authorities or positions to seek inappropriate benefits for their spouses, children or relatives by illegal conducts such as soliciting or accepting any bribery or rebate, corruption, embezzling, appropriating or stealing the Group's assets or offering bribery.

To enhance the awareness of our staff on compliance, the Group arranges anti-money laundering training and other educational activities for the staff to regularly advocate the civic duty to curb money laundering, anti-money laundering laws and regulations and the importance of anti-money laundering initiatives so as to familiarise the staff with basic characteristics of money laundering activities, anti-money laundering laws, regulations and rules as well as the relevant liabilities. This also help the staff better execute these anti-money laundering measures.

The Group has set up an effective monitoring mechanism to encourage internal staff of the company and social public to report the violations of laws and regulations occurred within the Group through safe and confidential channels. We established internal mail boxes and set up hotline at the Integrated Management Centre to receive complaints and reports with respect to violations and frauds committed by our staff. The hotline and fax numbers to report and complain are shown in the staff manual in order to strengthen internal control and prevent fraud. During the Reporting Period, no bribery, extortion, fraud and money laundering related to the staff was instigated against the Group.



## SOCIAL RESPONSIBILITY — SOCIETY GIVE-BACK

Amidst of business development, we upheld the community charity to perform our corporate social responsibilities actively. The Group has always been placing concerns on the vulnerable community, particularly the necessities of the elderlies. On 19 December 2018, we held an elderlies' home visiting engagement not only sending them the resources prepared, but also enjoying the recreational activities, such as singing and stretching with them, so as to promote the culture of health and community harmony with our acts.





# APPENDIX: CONTENT INDEX OF HONG KONG STOCK EXCHANGE ESG REPORTING GUIDE

Recommended contents			Relevant sections
<b>A. Environmental Aspects</b>			
A1: Emissions	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to air and greenhouse gas emissions, discharges into water and land, and generation of hazardous and non-hazardous waste.	ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS
	A1.1	The types of emissions and respective emissions data.	LOW-CARBON OPERATION
	A1.2	Greenhouse gas emissions in total and intensity.	LOW-CARBON OPERATION
	A1.3	Total hazardous waste produced and intensity.	GREEN MEASURES
	A1.4	Total non-hazardous waste produced and intensity.	GREEN MEASURES
	A1.5	Description of measures to mitigate emissions and results achieved.	LOW-CARBON OPERATION GREEN MEASURES
	A1.6	Description of how hazardous and non-hazardous wastes are handled, reduction initiatives and results achieved.	GREEN MEASURES
A2: Use of Resources	General Disclosure	Policies on the efficient use of resources, including energy, water and other raw materials.	GREEN MEASURES
	A2.1	Direct and/or indirect energy consumption by type (e.g. electricity, gas or oil) in total and intensity.	GREEN MEASURES
	A2.2	Water consumption in total and intensity.	GREEN MEASURES
	A2.3	Description of energy use efficiency initiatives and results achieved.	GREEN MEASURES
	A2.4	Description of whether there is any issue in sourcing water that is fit for purpose, water efficiency initiatives and results achieved.	GREEN MEASURES
	A2.5	Total packaging material used for finished products and with reference to per unit produced.	N/A, that the business of the Group does not include packaging materials.



## APPENDIX: CONTENT INDEX OF HONG KONG STOCK EXCHANGE ESG REPORTING GUIDE

Recommended contents			Relevant sections
A3: The Environment and Natural Resources	General Disclosure	Policies on minimising the issuer's significant impact on the environment and natural resources.	ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS
	A3.1	Description of the significant impacts of activities on the environment and natural resources and the actions taken to manage them.	ENVIRONMENTAL RESPONSIBILITY — ENVIRONMENTAL CONCERNS
<b>B. Social Aspects</b>			
B1: Employment	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to compensation and dismissal, recruitment and promotion, working hours, rest periods, equal opportunity, diversity, anti-discrimination, and other benefits and welfare.	SOCIAL RESPONSIBILITY — EMPLOYMENT AND LABOUR PRACTICES
	B1.1	Total workforce by gender, employment type, age group and geographical region.	EMPLOYEE STANDARD
	B1.2	Employee turnover rate by gender, age group and geographical region.	EMPLOYEE STANDARD The Group is considering to disclose other categories in the future.
B2: Health and Safety	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to providing a safe working environment and protecting employees from occupational hazards.	HEALTH AND SAFETY
	B2.1	Number and rate of work-related fatalities.	HEALTH AND SAFETY
	B2.2	Lost days due to work injury.	HEALTH AND SAFETY
	B2.3	Description of occupational health and safety measures adopted, how they are implemented and monitored.	HEALTH AND SAFETY
B3: Development and Training	General Disclosure	Policies on improving employees' knowledge and skills for discharging duties at work. Description of training activities.	DEVELOPMENT AND TRAINING
	B3.1	The percentage of employees trained by gender and employee category (e.g. senior management, middle management).	DEVELOPMENT AND TRAINING
	B3.2	The average training hours completed per employee by gender and employee category.	The Group is considering to disclose in the future.



## APPENDIX: CONTENT INDEX OF HONG KONG STOCK EXCHANGE ESG REPORTING GUIDE

Recommended contents			Relevant sections
B4: Labour Standards	B4	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to preventing child and forced labour.	EMPLOYMENT STANDARD
	B4.1	Description of measures to review employment practices to avoid child and forced labour.	EMPLOYMENT STANDARD
	B4.2	Description of steps taken to eliminate such practices when discovered.	EMPLOYMENT STANDARD
B5: Supply Chain Management	General Disclosure	Policies on managing environmental and social risks of the supply chain.	SUPPLIER MANAGEMENT
	B5.1	Number of suppliers by geographical region.	The Group is considering to disclose in the future.
	B5.2	Description of practices relating to engaging suppliers, number of suppliers where the practices are being implemented, how they are implemented and monitored.	SUPPLIER MANAGEMENT
B6: Product Responsibility	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to health and safety, advertising, labelling and privacy matters relating to products and services provided and methods of redress.	BRAND MANAGEMENT
	B6.1	Percentage of total products sold or shipped subject to recalls for safety and health reasons.	BRAND MANAGEMENT
	B6.2	Number of products and service related complaints received and how they are dealt with.	BRAND MANAGEMENT
	B6.3	Description of practices relating to observing and protecting intellectual property rights.	BRAND MANAGEMENT
	B6.4	Description of quality assurance process and recall procedures.	BRAND MANAGEMENT
	B6.5	Description of consumer data protection and privacy policies, how they are implemented and monitored.	INFORMATION SECURITY MANAGEMENT





## APPENDIX: CONTENT INDEX OF HONG KONG STOCK EXCHANGE ESG REPORTING GUIDE

Recommended contents			Relevant sections
B7: Anti-corruption	General Disclosure	Information on: (a) the policies; and (b) compliance with relevant laws and regulations that have a significant impact on the issuer relating to bribery, extortion, fraud and money laundering.	ANTI-CORRUPTION
	B7.1	Number of concluded legal cases regarding corrupt practices brought against the issuer or its employees during the reporting period and the outcomes of the cases.	ANTI-CORRUPTION
	B7.2	Description of preventive measures and whistle-blowing procedures, how they are implemented and monitored.	ANTI-CORRUPTION
B8: Community Investment	General Disclosure	Policies on community engagement to understand the needs of the communities where the issuer operates and to ensure its activities take into consideration the communities' interests.	SOCIAL RESPONSIBILITY — SOCIAL GIVE-BACK
	B8.1	Focus areas of contribution (e.g. education, environmental concerns, labour needs, health, culture, sport).	SOCIAL RESPONSIBILITY — SOCIAL GIVE-BACK
	B8.2	Resources contributed to the focus area.	The Group is considering to disclose other categories in the future.





# **Asia Pacific Silk Road Investment Company Limited**

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