

昊天國際建設投資集團有限公司

Hao Tian International Construction Investment Group Limited

(Incorporated in the Cayman Islands with limited liability) (於開曼群島註冊成立的有限公司)

(Stock Code 股份代號：1341)

2018/19

Environmental, Social and Governance Report

環境、社會及管治報告



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ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

SCOPE OF THE REPORT

This year's report covers the construction machinery business and financial services business of Hao Tian International Construction Investment Group Limited (hereinafter the "Company"). The businesses mainly include leasing and trading of construction machinery, as well as providing goods, futures, securities brokerage and financial services. Financial services are the newly added report scope in this year's annual report, and reflects the business expansion brought about by the completion of the Company's acquisition of Hao Tian International Financial Holdings.

The report covers the 2018–2019 financial year (from 1 April 2018 to 31 March 2019), which is in line with the time period of the annual report.

This report is prepared in accordance with Appendix 27 of the "Rules for the Main Board of the Hong Kong Stock Exchange: Environmental, Social and Governance Reporting Guide", and makes disclosures in accordance with the "comply or explain" provision and certain "recommended disclosures" of the guide.

COMMUNICATION WITH STAKEHOLDERS

The Company understands that the solid support of stakeholders is crucial for the Company's long-term development. To improve communications with stakeholders, the Company has taken great efforts to establish effective communication channels. The Company has published the Environmental, Social and Governance report on the website for the convenience of all stakeholders. Meanwhile, the Company has also communicated with stakeholders regarding its vision and initiatives on the environmental, social and governance aspect through channels such as meetings, e-mails and service hotlines.

The Company understands the customer's expectations on product responsibility, and the government's supervision on construction machinery emissions and financial services. At the same time, through understanding employees' concerns on occupational health and safety, training and development, and through communication with suppliers to understand their concerns with the Company's supply chain management policy, the Company has adopted a series of policies and measures in response to the demands of all parties.

報告範圍

本年度報告涵蓋昊天國際建設投資集團有限公司(下稱「公司」)的建築機械業務及金融服務業務，主要包括建築機械租賃及銷售，以及提供商品、期貨、證券經紀及金融服務。其中金融服務為本年度報告新增的報告範圍，以反映公司完成從昊天國際金融控股收購事項帶來的業務擴展。

報告所涵蓋的時期為二零一八至一九年財政年度(二零一八年四月一日至二零一九年三月三十一日)，與年報的時間一致。

本報告依循香港交易所主板規則附錄二十七《環境、社會及管治報告指引》編撰，並按指引中「不遵守就解釋」的要求及部份「建議披露」的指引作出披露。

與持份者溝通

公司深明持份者的堅實支持對公司的長遠發展十分重要。為著不斷加強與持份者溝通，公司十分注重建立有效的溝通渠道，公司以電子形式在網站發佈環境、社會及管治報告以便各界持份者取閱。與此同時，公司又透過會議、電郵、服務熱線等渠道，與持份者交流公司的環境、社會及管治方面的願景及舉措。

公司深明顧客對公司產品責任的期望以及政府當局對建築機械的排放物以及金融服務的監管，與此同時通過了解員工對職業健康安全以及培訓發展及重視，亦透過與供應商溝通明瞭他們關注公司的供應鏈管理政策，公司故此採取一系列政策及措施以回應各方的訴求。

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

A ENVIRONMENT

Aspect A1. Emissions

The Company is aware that emission reduction has increasingly become an important element for the global economy, hence has integrated environmental management into the operational decisions and various processes of the Company.

The Company's construction machinery sector primarily engages in the leasing and sales of construction machinery. Despite not being the end user of the construction machinery, the Company has still acted in accordance with government policies and has taken measures to help end users achieve better emissions standards. The Company has procured machinery that complies with the Environmental Protection Department's regulatory control on emissions of non-road mobile machinery. Older machinery would be progressively phased out. Most of the machineries comply with the standards of the EU Stage IIIA or the Ministry of the Environment of Japan. Where technically feasible and where market conditions permitted, the Company has endeavoured to introduce construction machinery with better emission performance. This year the Company has procured ten new crawler cranes that comply with the newest regulatory control on emissions of non-road mobile machinery to help customers improve on environmental protection performance and reduce the impact on the environment. Meanwhile, the Company has also regularly serviced and maintained rental machinery to ensure that the operation and emission of machinery are in excellent condition. The Company recognizes that the Environmental Protection Department has tightened the emissions standards to Euro VI for non-road vehicles that are newly approved for use in Hong Kong in 2019. Newest legal requirements have been closely adhered to. The Company has also followed the policy requirements of the Environmental Protection Department to phase out pre-Euro IV diesel vehicles to improve emission performance.

A 環境

方面A1. 排放物

公司意識到排減降耗日漸成為環球經濟的重要元素，因此將環境管理納入公司的營運決策以及各個流程當中。

公司的建築機械板塊主要從事租賃及銷售建築機械，縱然公司並非建築機械的最終使用者，但仍配合政府政策，並致力採取一系列措施協助最終使用者達到最佳的排放表現。公司採購符合環境保護署管制非道路移動機械廢氣排放規例的機械，並繼續逐步淘汰較舊的機器，大部分機械均已符合歐盟IIIA期或日本環境省標準，公司更在技術上可行以及市場情況許可的情況下優先引入具有更佳排放表現的建築機械。公司年內採購十台符合最新的非道路移動機械廢氣排放規例的新型履帶吊機，協助客戶提升環保表現，減低環境影響。公司與此同時亦定期維修保養供出租的建築機械，確保機械的運作及排放表現優良。公司亦知悉環境保護署已於二零一九年收緊新核准於香港使用的非道路車輛的排放標準至歐盟六期，已密切跟進其最新法例要求。公司又遵循環境保護署的政策要求，淘汰歐盟四期以前的柴油車輛以持續改善排放表現。

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

A ENVIRONMENT (continued)

Aspect A1. Emissions (continued)

With respect to waste management, the Company has registered with the Environmental Protection Department as a producer of chemical waste given that the Company produces waste oil when performing mechanical repairs. In strict compliance with relevant requirements and guidelines, the Company has entrusted licensed chemical waste collectors to collect and dispose of all waste oil to ensure that the waste oil would be treated in an environmentally approved manner to prevent any impact on the environment.

Meanwhile, the Company's financial services sector has also focused on environmental opportunities. In the current year, the Company has acquired partial equity of the "Yan An Industry Fund". The fund has primarily invested in Yan An's industry support projects, which include specialized industries such as clean energy industry and renewable energy. Through funding, the fund has pushed industries to improve emission levels and reduce carbon emissions. Improving environmental quality would bring great benefits in the long run.

The Company has strictly complied with the relevant environmental laws and regulations. During the reporting period, there were no cases of prosecution for violating environmental laws and regulations.

Types of emissions and respective emissions data

Automobile NO _x emission	1,813.67 kg
Automobile SO ₂ emission	1.81 kg
Automobile particle emission	143.56 kg

A 環境 (續)

方面A.1 排放物 (續)

而在廢物管理方面，鑑於公司進行機械維修時會產生廢機油，公司已向環境保護署登記成為化學廢物產生者，並且恪守相關要求及指引，委託持牌的化學廢物收集商收集及處理所有廢機油，以確保廢機油得以環保合規的方式處理，避免對環境構成影響。

另一方面，公司的金融服務板塊亦關注環保機遇，並於本年度收購「延安產業基金」的部份權益，基金主要投向延安的產業支援項目，其中包括清潔能源產業、綠色載能產業等專項。基金通過資金投放，促進產業改進排放水平、減少碳排放，長遠為改善環境質素帶來莫大裨益。

公司嚴格遵行相關的環保法例，報告期內並無因為違反與環保相關的法例而被檢控的個案。

排放物種類及相關排放數據

汽車氮氧化物排放	1,813.67 千克
汽車二氧化硫排放	1.81 千克
汽車顆粒排放	143.56 千克

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A ENVIRONMENT (continued)

Aspect A1. Emissions (continued)

Greenhouse gas emissions in total and intensity

Total greenhouse gas emissions

溫室氣體排放總量

The calculation scope includes the consumption of electric oil and diesel oil by mobile sources, the consumption of diesel oil and liquefied petroleum gas by stationary sources, electricity power consumption, and acetylene combustion

計算範圍包括流動源的電油及柴油消耗、固定源的柴油及液化石油氣消耗、電力消耗、以及乙炔燃燒

Include

其中

Scope 1 Direct emission

範圍一 直接排放

Scope 2 Indirect energy emission

範圍二 能源間接排放

Average greenhouse gas emissions per revenue of unit

平均每單位營業額所產生的溫室氣體排放量

Total hazardous waste produced and the intensity

Total hazardous waste produced

有害廢棄物總量

Average hazardous waste emissions per revenue of unit

平均每單位營業額所產生的有害廢棄物

Aspect A2. Use of Resources

The Group understands that Earth's resources are limited, hence judicious use of resources must go hand in hand with emission reduction. Where feasible, the Company's construction machinery sector has prioritized purchasing construction machinery with better fuel efficiency, and choosing appliances labelled with grade 1 energy efficiency label. With effective use of resources as the key principle, the Company's office has adopted natural ventilation and reduced the use of air conditioner as much as possible to help reduce energy consumption. The Company used recycled water for cleaning equipment and machinery, and encouraged employees to save and cherish water by advocating the concept of good use of water resources.

A 環境 (續)

方面A.1 排放物 (續)

溫室氣體總排放量及密度

851 tonnes of carbon dioxide equivalent

851公噸二氧化碳當量

754 tonnes of carbon dioxide equivalent

754公噸二氧化碳當量

97 tonnes of carbon dioxide equivalent

97公噸二氧化碳當量

2.66 kg of carbon dioxide equivalent/'000 HKD

2.66克二氧化碳當量/千港元

有害廢棄物總量及密度

2.64 tonnes

2.64公噸

8.26 g/'000 HKD

8.26克/千港元

方面A.2 資源使用

公司明瞭地球的資源有限，慎用資源與減少排放必須相輔相成，公司的建築機械板塊在可行的情況下優先引入具有更佳燃油效益的建築機械，又優先選用具有一級能源效益標籤的電器產品。公司以有效使用資源為大原則，在辦公室盡量引入自然通風，減少使用空調，以期降低能源消耗。公司又會以回收水清潔設備及機械，並鼓勵員工節約及珍惜用水，宣揚善用水源的理念。

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A ENVIRONMENT (continued)

Aspect A2. Use of Resources (continued)

An asset management fund acquired by the Company's financial services sector during the current year has also invested in special areas such as the clean energy industry and renewable energy industry. This has pushed industries to improve on the efficiency of resource use in order to bring about long-term contributions from the use of sustainable resources.

As paper usage in the office would impose burden on forestry resources, the Company has actively promoted the use of digital imaging and electronic file archives. The Group has implemented policies that promote paper conservation and encourage electronic medium, such as advocating double-sided printing and reusing paper, thereby achieving the goal of reducing the amount of paper used and ensuring substantive action for the protection of forestry resources. The Company has also set up recycling containers to collect and recycle paper, aluminium cans and plastic waste, in order to make full use of available resources.

Direct and indirect energy consumption in total and intensity

Energy type	Energy consumption
Diesel (including automobile diesel and industrial diesel)	2,500,227 kWh
Petrol	239,284 kWh
Electricity	168,931 kWh
Liquefied petroleum gas	1,784 kWh
Total	2,910,226 kWh
Average energy consumption per revenue of unit	9.11 kWh/'000 HKD

Water consumption in total and intensity

Water consumption in total	5,019 cubic meters
Average water consumption per revenue of unit	15.71 litre/'000 HKD

A 環境 (續)

方面A.2 資源使用(續)

公司的金融服務板塊於本年度收購的一項資產管理基金亦有投向清潔能源產業、綠色載能產業等專項，依此促進產業改進資源使用效率，為可持續的資源運用帶來長遠貢獻。

有鑑於辦公室用紙會為林木資源帶來負荷，公司致力推動使用數碼方式作為圖像及文件存檔，落實節約用紙及電子化，又例如提倡雙面列印、紙張再用，從而達到減少紙張用量的目的，為保護林木資源採取實質行動。公司又設置回收箱分別回收廢紙、鋁罐及塑膠廢棄物，務求充份善用資源。

直接及間接能源總耗量及密度

能源類型	能源耗用量
柴油(包括車用柴油及工業用柴油)	2,500,227 千瓦時
電油	239,284 千瓦時
電力	168,931 千瓦時
液化石油氣	1,784 千瓦時
總計	2,910,226 千瓦時
平均單位營業額所耗用的能源	9.11 千瓦時/千港元

總耗水量及密度

總耗水量	5,019 立方米
平均單位營業額所耗用的水量	15.71 公升/千港元

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A ENVIRONMENT (continued)

Aspect A3. Environmental and Natural Resources

The Company's construction machinery sector has regularly arranged its employees to attend seminars held by the Environmental Protection Department, followed up closely on policy and regulatory changes of relevant regulatory authorities, and updated its environmental management policies in a timely manner to comply with the latest legislative requirements. The Company has strictly complied with the policy requirements for the control of non-road mobile machinery emissions, non-road vehicle emissions and the phase-out of pre-Euro IV diesel vehicles, to ensure that all client users can achieve good emission level and minimize the potential environmental impact on the air quality.

As for the Company's financial sector, the Company is aware that the future international capital market must invest large sums of money to push the transition of a traditional economy to a green economy. The market's awareness of green finance has also deepened day by day, therefore assets invested in green financial products and projects have rapidly increased. Governments have taken action to encourage the development of green finance. China would need to invest more than US\$1.5 trillion in green projects in their 13th Five Year Plan period. The Hong Kong government would also strengthen its promotion on the advantages of the Hong Kong capital market and highlight the conditions for Hong Kong to develop green financial products. The Company would also actively explore development opportunities in this trend and context.

By implementing environmental management policies, the Company did not have any significant environmental accidents during the period.

A 環境 (續)

方面A.3 環境及天然資源

公司的建築機械板塊定期安排員工出席環境保護署舉行的講座，密切跟進相關監管當局的政策及法規變動，適時更新公司的環境管理政策，以符合最新的法例要求。公司嚴格遵守有關管制非道路移動機械廢氣排放、非道路車輛的廢氣排放及淘汰歐盟四期以前的柴油車輛的政策要求，確保各使用客戶都能達到良好的排放水平，將對空氣質素的潛在環境影響減至最低。

而公司金融板塊方面，公司知悉未來國際資本市場須投入大量資金推動傳統經濟過渡到綠色經濟。市場對綠色金融的認知亦日益加深，投資於綠色金融產品及項目的資產也因而迅速增加，各國政府紛紛採取行動鼓勵發展綠色金融，中國在第十三個五年規劃期間須要投資逾一萬五千億美元在綠色項目上，而香港政府亦會加強宣傳香港資本市場的優勢，突顯香港發展綠色金融產品的條件。公司在此趨勢及背景下亦會積極探求發展機遇。

公司透過施行環境管理制度，期內未有重大的環境事故發生。

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B SOCIAL

Aspect B1. Employment

Equal rights and interests of employees are one of the core values on which the Company places great importance. The Company is opposed to any form of discrimination, and respects the difference in gender, age, race, religion, disability, and other aspects of each person, thereby ensuring that each employee shall receive full respect and protection. The Company would provide competitive remuneration to its employees according to market standards, as well as medical insurance. In the event of unfortunate work injury, the Company would provide fair and reasonable subsidies and aid to its employees and their families.

Through evaluating employees' contribution to the Company, the Company would make salary adjustments and job promotions according to objective based evaluation results, staff experience and ability. In addition, the Company would provide employees that have served the Company for over five years with long-term incentives upon their retirement or departure as a recognition of their long-term contribution to the Company.

The Company respects the established work hours and would refrain from forcing employees to work overtime, protecting employees' rest and leave benefits. The Company would also organize recreational activities for employees to help achieve work-life balance and improve work efficiency.

B 社會

方面B.1 僱傭

員工的平等權益為公司重視的核心價值之一，公司反對任何性質的歧視，並尊重每個人的性別、年齡、種族、宗教及殘疾等各方面的異同，從而確保每位員工都能得到充分的尊重及保障。公司參考市場水平向員工提供具競爭力的薪酬待遇，並且為員工提供醫療保險。而若果不幸發生工傷事故，公司亦會為員工及其家人提供公平合理的補助及支援。

公司通過評核員工對公司的貢獻，每年按照評核結果以及員工的經驗和能力等客觀依據對薪酬作出調整及安排職位晉升的。公司又向服務超過五年的員工在退休或離職時頒發長期服務獎金，以表揚他們對公司的長期貢獻。

公司尊重既定的工作時間，絕不強迫員工超時工作，維護員工休息和休假的權益，又為員工籌辦康樂活動，幫助員工達致工作生活平衡、提高工作效率。

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

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B SOCIAL (continued)

Aspect B1. Employment (continued)

The Company has strictly complied with the relevant employment laws and regulations. During the reporting period, there were no cases of prosecution for violating employment laws and regulations.

Total number of employees at the end of the period by gender

Male	119
Female	13

Total number of employees at the end of the period by employment type

Fulltime	132
Contract	0

Total number of employees at the end of the period by age group

25-34	19
35-44	35
45-54	38
55-64	32
65 or above	8

B 社會 (續)

方面B.1 僱傭 (續)

公司嚴格遵行相關的僱傭法例，報告期內並無因為違反與僱傭相關的法例而被檢控的個案。

期末按性別劃分的僱員總數

男性	119
女性	13

期末按僱傭類型劃分的僱員總數

全職	132
合約	0

期末按年齡組別劃分的僱員總數

25-34歲	19
35-44歲	35
45-54歲	38
55-64歲	32
65歲或以上	8

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

B SOCIAL (continued)

Aspect B2. Health and Safety

The Company places great importance on occupational safety and has sought to continuously improve the level of occupational safety of the Company through education, verification and other such aspects. Before assigning an employee to a new workplace to perform their duties, the Company's construction machinery sector would provide safety training to ensure that they understand the type, environment and circumstances of the site and are fully aware of the safety matters needing attention when operating relevant construction machinery at the site. The Company has also arranged safety seminars and training every month to enhance the safety awareness of employees, refreshing and learning safety issues, so that employees can identify high-risk areas and take appropriate measures to minimize risks.

As the final line of defence of the safety system, the Company has provided protective equipment for each employee who operates machinery, such as safety helmets and dust masks. The Company has also arranged designated staff to manage and record protective equipment, in order to ensure that the equipment is effective and in good condition, and to enhance the protection of employees' occupational safety.

The Company has strictly complied with the relevant safety laws and regulations. During the reporting period, there were no cases of prosecution for violating safety laws and regulations.

Number and rate of work-related fatalities and lost days due to work injury

Number of work-related fatalities	Nil
Rate of work-related fatalities	0%
Number of lost days due to work injury	0 man-day

B 社會 (續)

方面 B.2 健康與安全

公司非常重視職業安全，從教育及核查等各個方面入手，務求不斷提高公司的職業安全水平。公司的建築機械板塊在派遣員工到新工作場所履行職務前都會提供安全培訓，確保他們具體了解該工地的類型、環境及情況，並充份認識在該工地操作相關建築機械時需要注意的安全事項。公司又每月安排安全講座及培訓，加強員工的安全意識，溫故知新，令員工能有效辨識高風險的區域並採取適當措施減低風險。

公司為每位操作機械的員工提供安全防護器材，例如安全帽、防塵口罩等防護裝備作為安全體系的最後一道防線，並且安排專責人員管理及記錄安全防護器材，確保器材處於有效期及狀況良好，以加強保護員工的職業安全。

公司嚴格遵守相關的安全法例，報告期內並無因為違反與職業安全相關的法例而被檢控的個案。

因工死亡人數和比率及因工傷損失工作日數

因工死亡人數	無
因工死亡比率	0%
因工傷損失工作日數	0人力日數

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

B SOCIAL (continued)

Aspect B3. Development and Training

Talent is a valuable asset to the corporation and also the impetus for the Company's long-term development. The Company has actively provided employees with all aspects of vocational skills training and would sponsor appropriate employees to participate in further education so as to enhance the overall quality of employees. The Company is committed to nurturing talents for the long-term development of the Company.

The Company has provided in-service training to new employees to ensure that they have the necessary skills and knowledge to perform their duties. The construction machinery sector has also provided technical courses to existing employees who operate complex construction machinery. In order to obtain the latest skills and knowledge of machinery that are being leased and sold, the Company would send employees to participate in training courses organised by construction machinery manufacturers. All operators and engineering personnel of the Company must hold relevant certificates and licenses. The financial services sector would also provide compliance training related to securities and futures trading to existing employees to ensure that relevant employees are aware of the relevant internal control requirements and regulatory rules of relevant authorities.

The Company has also arranged for senior staff to guide junior staff so that employees can complement each other and pass on valuable experience, establishing a talent hierarchy for the future development of the Company.

Percentage of employees trained, and annual average training hours completed per employee

		Annual percentage of employees trained per year 年度受訓僱員百分比	Annual average training hours completed per employee 每名僱員年度平均完成的受訓時數
Total employees	整體僱員	85%	5

B 社會 (續)

方面 B.3 發展及培訓

人才是企業寶貴的資產，亦是公司長遠發展的原動力。公司主動為員工提供各方面的職業技能培訓，亦會資助合適的員工參與進修，從而提升員工的整體質素，為公司的長遠發展致力培育人才。

公司為新入職員工提供入職培訓，以確保他們具備履行職務所需的必要技能及知識。建築機械板塊又為其所屬員工提供操作複雜建築機械的技術課程，派遣員工參與由建築機械製造商舉辦的訓練課程，以獲取有關租賃及銷售機械的最新技能及知識。公司所有的操作員及工程人員都必須持有相關的證書及執照。而金融服務板塊亦會為其所屬員工提供有關證券及期貨交易等的合規培訓，以確保相關員工充份認識相關的內部監控的要求以及有關當局的監管守則。

公司又安排資深員工指導資歷較淺的員工，讓員工互相交流補足，令寶貴的經驗得以傳承，建立穩定的人材梯隊扶助公司未來發展。

受訓僱員百分比，及每名僱員年度平均完成的受訓時數

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

B SOCIAL (continued)

Aspect B4. Labour Standards

The Company strictly prohibit child labour and forced labour. During staff recruitment, the identity of potential employees would be strictly reviewed to ensure that they are at least eighteen years of age. The Company prohibit any form of forced labour or servitude and ensured that all employees are working on a voluntary basis.

The Company did not have any situations of child labour or forced labour during the reporting period.

Aspect B5. Supply Chain Management

The Company understands that to achieve sustainable development, one cannot rely on its own strength. It requires concerted efforts from various parties. Therefore, the Company has conducted strict background investigations on its major suppliers to evaluate their corporate social responsibility performance and has kept close communication to enhance both parties' awareness on sustainable development. The Company would also review and evaluate existing suppliers annually and update the approved supplier list according to the evaluation results on a regular basis. Before selecting a new supplier, the Company would evaluate factors such as the supplier's background information, reputation, quality of product or service, market price, delivery date and level of customer service.

The Company seeks to achieve cooperation and communication as their principle and to encourage continuous improvement of its suppliers through comprehensive evaluation and review.

B 社會 (續)

方面 B.4 勞工準則

公司嚴禁童工及強迫勞工等情況，並於招聘員工時對其身份資料進行嚴格審查，以確保其年齡足滿十八歲。公司禁止任何形式的強迫勞工或勞役，並確保所有員工均於自願情況下勞動或工作。

公司於報告期內並無出現任何童工或強迫勞工的情況。

方面 B.5 供應鏈管理

公司深明可持續發展不能單靠一己之力，必須多方攜手合作，因此對主要供應商作出嚴格的背景調查，從而評估它們的企業社會責任表現，並與其密切溝通以增進雙方對可持續發展的共識。公司又會每年檢討評估現有的供應商，並依據結果定期更新核准供應商列表。而在選用新供應商前，公司會評估供應商的背景資訊、聲譽、產品或服務的質素、市場價格、交貨期、客戶服務的水平等因素。

公司務求以協作交流為原則，通過充份的審查及檢討鼓勵各供應商作出持續改善。

ENVIRONMENTAL, SOCIAL AND GOVERNANCE REPORT

環境、社會及管治報告

B SOCIAL (continued)

Aspect B6. Product Responsibility

Product and service quality are the cornerstones for the long-term development of the Company. Products distributed through the construction machinery sector would be subjected to a series of testing and verification procedures before leaving the factory to ensure that the quality, performance, emission and safety levels are in compliance with the requirements of non-road mobile machinery. The Company has also provided comprehensive after-sales technical support, regular inspection, and repair and maintenance services for such distributed products. The manufacturer would generally provide a 12-month warranty for new machinery, and would be responsible for all liability and expenses in the event of any defects or malfunctions with regard to design, manufacturing or material during the warranty period. The Company has made clear requirements to all sales staff members to provide accurate and genuine information to customers during sales, reflecting the Company's reputation, and its emphasis on long-term cooperation with customers.

The Company's financial sector has referred to the Codes and Guidelines issued by the Securities and Futures Commission, and has formulated and implemented corresponding policies, procedures and control measures according to the rules and standards for services such as financial products, securities and futures trading. Relevant regulations such as Securities and Futures Ordinance have been met. When naming products or services, the Company would avoid any names that are inappropriate or misleading. Sales documents would also clearly contain information that investors need to allow them to make an informed decision.

B 社會(續)

方面B.6 產品責任

產品及服務質素是公司長遠發展的基石、建築機械板塊代理的產品於出廠前均接受一系列的檢測及驗證程序，確保產品的質量、表現、排放及安全水平均符合非道路移動機械的要求。公司又為代理的產品提供全面的售後技術支援、定期檢查及維修保養服務。製造商一般會為全新機械提供十二個月保質期，在保質期內如發生任何因設計、製造或材料導致的缺陷和故障，製造商均會承擔全部責任和費用。公司又明確要求所有銷售部員工在銷售時均須向客戶提供準確及真實資訊，體現公司的信譽，重視與客戶長期合作的機會。

公司的金融板塊參照證監會所發出的守則及指引，依據對金融產品、證券期貨交易等服務的相關規定及標準並制訂及執行相應的政策、程序及管控措施，以符合《證券及期貨條例》等相關條例。公司為產品或服務命名時會避免任何不恰當或具誤導成份的名稱，銷售文件亦清晰明確地載有投資者所需的資料，讓他們作出有根據的判斷。

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環境、社會及管治報告

B SOCIAL (continued)

Aspect B6. Product Responsibility (continued)

The Company undertakes not to purchase any pirated software and hardware, and has provided employees with clear guidelines on how to implement control rights on the computer system, and to protect the stability of the system to minimize the risk of viruses. The Company attaches great importance to the protection of consumer information and privacy, and has managed customers' personal information in accordance with the requirements of Personal Data (Privacy) Ordinance. Without the consent of customers, the collected customer data would not be used for promotional purposes. The Company has regularly reviewed the security of the information storage system, protecting the security and stability of the information system through firewalls and management of Internet access to reduce the risk of personal information disclosure.

The Company has strictly complied with the relevant product responsibility laws and regulations. During the reporting period, there were no cases of prosecution for violating product responsibility or product description laws and regulations.

Percentage of total products sold or shipped subject to recalls for safety and health reasons

Percentage of products subject to recalls for safety and health reasons	Nil
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Aspect B7. Anti-corruption

The Company understands that fairness and integrity is important to the sustainable development of the Company. The Board of Directors of the Company has developed a code of conduct that is applicable to directors and employees, and established an audit committee to conduct internal audits, to review its corporate governance structure and performance on a regular basis. All transactions of the Company would be supported by appropriate documentation. Apart from internal audit, the Company has entrusted an external auditor to audit financial statements.

B 社會 (續)

方面 B.6 產品責任 (續)

公司承諾不會購買任何盜版軟硬件，並為員工提供清晰指引，執行電腦系統的監控權限，維護系統的穩定及免於惡意程式的威脅。公司高度重視消費者資料及私隱保障，並按照《個人資料(私隱)條例》的要求管理涉及的客戶個人資料。除非徵得客戶同意，收集到的客戶資料不會用作宣傳之用。公司定期檢視資料儲存系統的安全性，通過防火牆、管理上網行為等渠道監察管理，維護資料系統的安全及免於個人資料洩露的風險。

公司嚴格遵行相關的產品責任法例，報告期內並無因為違反與產品責任或產品說明相關的法例而被檢控的個案。

已售或已運送產品總數中因安全與健康理由而須回收的百分比

因安全與健康理由而須回收的產品百分比	無
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方面 B.7 反貪污

公司明瞭公平誠信對公司的可持續發展極為重要。公司的董事局通過制定適用於董事及僱員的紀律守則，並且設立審核委員會進行內部審核，定期檢討企業管治架構及其表現。公司的所有交易均備有適當文件作為依據，除內部審核外，並委託外部審計師審計財務報表。

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環境、社會及管治報告

B SOCIAL (continued)

Aspect B7. Anti-corruption (continued)

The Company's code of conduct has expressly required all employees not to receive commission, rebate, gratuity, loan, gift or preference from any person, company or organisation that has business dealings with the Company, and not to ask for any benefits from any such person, company or organisation. Employees engaging in such activities without the consent of the Board of Directors would be subject to disciplinary actions. The Company has also set up reporting channels for employees to report such cases for effective supervision. The Company has also required employees not to directly or indirectly engage in any activities or transactions with customers, principals, suppliers or third parties who are in conflict with the work commitment and the interests of the Group, and any conflicts of interest shall be reported in advance.

The Company's financial sector has referred to the Anti-Money Laundering and Counter-Financing of Terrorism Guidelines issued by the Securities and Futures Commission, and has formulated and implemented corresponding policies, procedures and control measures according to the rules and standards for anti-money laundering and counter-financing of terrorism. Ordinances such as the Anti-Money Laundering and Counter-Financing of Terrorism Ordinance, United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance have been abided by, eliminating illegal activities such as money-laundering. The Company has fought against money-laundering with methods such as assessing country risk, customer risk, service and product risk, customer due diligence, and establishing effective notification mechanisms.

The Company has strictly complied with the relevant laws and regulations for anti-corruption and anti-bribery, extortion and fraud prevention, and fighting against money-laundering. During the reporting period, there were no cases of prosecution for violating the related laws and regulations.

B 社會 (續)

方面B.7 反貪污 (續)

公司的紀律守則明確要求所有僱員都不可從與公司有業務往來的任何人、公司或組織收取佣金、回扣、酬金、貸款、禮品或優待，亦不能向此等人士、公司或組織索取任何利益。若未得董事局同意而進行此類行為的員工都會受到紀律處分。公司亦已設立申訴渠道讓員工舉報個案，進行有效監管。公司同時要求員工不得直接或間接從事與客戶、委託人、供應商或第三方任何與對工作承諾和集團利益有衝突的活動或交易，凡有利益衝突的情況必須提前上報。

公司的金融板塊參照證監會所發出的《打擊洗錢及恐怖分子資金籌集指引》，依據打擊洗錢及恐怖分子資金籌集的相關規定及標準以制訂及執行相應的政策、程序及管控措施，以符合《打擊洗錢及恐怖分子資金籌集條例》、《聯合國(反恐怖主義措施)條例》、《聯合國制裁條例》等條例，杜絕洗黑錢等違法活動。公司通過評估國家風險、客戶風險、服務或產品的風險、客戶盡職審查以及建立有效的通報機制等方法打擊清洗黑錢。

公司嚴格遵行相關的反貪防賄、防止勒索欺詐、打擊洗黑錢等法例，報告期內並無因為違反相關法例而被檢控的個案。

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環境、社會及管治報告

B SOCIAL (continued)

Aspect B8. Community Investment

The Company attaches great importance to the expectations and opinions of community groups, and respects the diverse cultures and traditions of the local district. The Company is committed to engaging communities in a timely manner and, wherever feasible, would support projects and programs that benefit the needs of the community. The Company would also continue working with community groups that share common values to win and maintain the mutual trust between the relevant people and the Company, bringing sustained benefits to the community.

THE WAY FORWARD

In the future, the Company will continue to take more initiatives that will be beneficial to the environment, society and governance, including responding to relevant government policies and participating in activities organized by relevant organisations, in order to reflect the Company's commitment to the environment and society.

B 社會(續)

方面B.8 社區投資

公司十分重視社區團體的期望及意見，並且尊重地區的多元文化及既有傳統。公司承諾對社區人士的反饋適時作出回應，並在可行的情況下適時支持惠及社區需要的項目及計劃，與抱持共同價值觀的社區團體合作，藉此贏取及維繫與相關人士的互信，為社區長遠發展帶來持續的效益。

展望

公司在未來會繼續在營運上採取更多有利於環境、社會以及管治的舉措，包括響應相關的政府政策，以及參與相關團體舉辦的活動等，以反映公司對環境及社會的承擔。

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指標 A.1.3	所產生有害廢棄物總量及密度	頁 5
KPI A.1.4	Total non-hazardous waste produced and intensity	The Company does not produce significant non-hazardous waste and thus this indicator does not apply
指標 A.1.4	所產生無害廢棄物總量及密度	公司並無產生重大無害廢棄物，故此指標並不適用
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KPI A.3.1 指標 A.3.1	Description of the significant impacts of activities on the environment and natural resources and the actions taken to manage them 描述業務活動對環境及天然資源的重大影響及已採取管理有關影響的行動	The Company did not have any accident that had significant impacts on the environment and natural resources during the period 公司在期內未有發生對環境及天然資源有重大影響的事件

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指標 B.1.1	按性別、僱傭類型、年齡組別及地區劃分的僱員總數	頁9(只按性別、僱傭類型及年齡組別劃分)
KPI B.1.2	Employee turnover rate by gender, age group and geographical region	Not disclosed
指標 B.1.2	按性別、年齡組別及地區劃分的僱員流失比率	未有披露
Aspect B.2	Health and Safety	page 10
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KPI B.2.1	Number and rate of work-related fatalities	There was no accident leading to work-related fatalities during the period
指標 B.2.1	因工作關係而死亡的人數及比率	期內未有因工作關係而死亡的事故
KPI B.2.2	Number of lost days due to work injury	There were no lost days due to work injury during the period
指標 B.2.2	因工傷損失工作日數	期內未有因工傷損失工作日數
KPI B.2.3	Description of occupational health and safety measures adopted, how they are implemented and monitored	page 10
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Aspect B.4 層面 B.4	Labour Standards 勞工準則	page 12 頁 12
KPI B.4.1 指標 B.4.1	Description of measures to review employment practices to avoid child and forced labour 描述檢討招聘慣例的措施以避免童工及強制勞工	page 12 頁 12
KPI B.4.2 指標 B.4.2	Description of steps taken to eliminate such practices when discovered 描述在發現違規情況時消除有關情況所採取的步驟	There was no violation 未有違規情況
Aspect B.5 層面 B.5	Supply Chain Management 供應鏈管理	page 12 頁 12
KPI B.5.1 指標 B.5.1	Number of suppliers by geographical region 按地區劃分的供應商數目	Not disclosed 未有披露
KPI B.5.2 指標 B.5.2	Description of practices relating to engaging suppliers, number of suppliers where the practices are being implemented, how they are implemented and monitored 描述有關聘用供應商的慣例，向其執行有關慣例的供應商數目、以及有關慣例的執行及監察方法	page 12 頁 12
Aspect B.6 層面 B.6	Product Responsibility 產品責任	page 13 頁 13
KPI B.6.1 指標 B.6.1	Percentage of total products sold or shipped subject to recalls for safety and health reasons 已售或已運送產品總數中因安全與健康理由而須回收的百分比	There were no products subject to recalls for safety and health reasons during the period 期內未有因安全與健康理由而須回收的產品
KPI B.6.2 指標 B.6.2	Number of complaints received about products and services and the handling method 接獲關於產品及服務的投訴數目以及應對方法	Not disclosed 未有披露
KPI B.6.3 指標 B.6.3	Description of practices relating to observing and protecting intellectual property rights 描述與維護及保障知識產權有關的慣例	page 14 頁 14

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KPI B.6.5	Description of consumer data protection and privacy policies, how they are implemented and monitored	page 14
指標 B.6.5	描述消費者資料保障及私隱政策，以及相關執行及監察方法	頁 14
Aspect B.7 層面 B.7	Anti-corruption 反貪污	page 14 頁 14
KPI B.7.1	Number of concluded legal cases regarding corrupt practices brought against the issuer or its employees during the reporting period and the outcomes of the cases	There were no litigation relating to corruption during the period
指標 B.7.1	於匯報期內對發行人或其僱員提出並已審結的貪污訴訟案件的數目及訴訟結果	期內未有相關的貪污訴訟案件
KPI B.7.2	Description of preventive measures and whistle-blowing procedures, how they are implemented and monitored	page 14
指標 B.7.2	描述防範措施及舉報程序，以及相關執行及監察方法	頁 14
Aspect B.8 層面 B.8	Community Investment 社區投資	page 16 頁 16
KPI B.8.1 指標 B.8.1	Focus areas of contribution 專注貢獻範疇	Not disclosed 未有披露
KPI B.8.2 指標 B.8.2	Resources contributed to the focus area 在專注範疇所動用資源	Not disclosed 未有披露



昊天國際建設投資集團有限公司

Hao Tian International Construction Investment Group Limited