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**Ganfeng Lithium Co., Ltd.**

**江西赣锋锂业股份有限公司**

*(A joint stock company incorporated in the People's Republic of China with limited liability)*

**(Stock Code: 1772)**

## **OVERSEAS REGULATORY ANNOUNCEMENT**

This announcement is made pursuant to the disclosure requirements under Rule 13.10B of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

According to the relevant regulations of the People's Republic of China, GANFENG LITHIUM CO., LTD. (the "**Company**") had published the following announcement on the website of the Shenzhen Stock Exchange (<http://www.szse.cn/>). The following is a translation of the official announcement solely for the purpose of providing information.

By order of the Board  
**GANFENG LITHIUM CO., LTD.**  
**LI Liangbin**  
*Chairman*

Jiangxi, PRC  
May 18, 2021

*As at the date of this announcement, the Board comprises Mr. LI Liangbin, Mr. WANG Xiaoshen, Ms. DENG Zhaonan and Mr. SHEN Haibo as executive directors of the Company; Mr. YU Jianguo and Ms. YANG Juan as non-executive directors of the Company; and Mr. LIU Jun, Ms. WONG Sze Wing, Ms. XU Yixin and Mr. XU Guanghua as independent non-executive directors of the Company.*

**GANFENG LITHIUM CO., LTD.**  
**ANNOUNCEMENT IN RELATION TO PLEDGE AND RELEASE**  
**OF**  
**PLEDGE OF CERTAIN SHARES BY THE SHAREHOLDER**

The Company and all members of its board of directors warrant that the information contained in this announcement is true, accurate and complete and that there are no false representations or misleading statements contained in or material omissions from this announcement.

Ganfeng Lithium Co., Ltd. (hereinafter referred to as the “Company”) recently received notices from Mr. WANG Xiaoshen, the shareholder of the Company, in relation to his pledge and release of pledge of certain shares he held in the Company, the details of which are as follows:

**I. BASIC INFORMATION ABOUT THE PLEDGE AND THE RELEASE OF PLEDGE OF SHARES BY THE SHAREHOLDER**

**1. Basic information about the pledge of shares by the shareholder**

Name of shareholder	Whether he is the largest shareholder and persons acting in concert	Number of shares pledged (0'000 shares)	Percentage in the shares held by him	Percentage in the total share capital of the Company	Whether the shares are subject to trading moratorium	Whether it is supplemental pledge	Commencement date of the pledge	Release date of the pledge	Pledgee	Purpose
WANG Xiaoshen	No	400	3.96%	0.29%	Locked shares held by the senior management	No	10 May 2021	The date on which procedures in respect of the release of the pledge will be effected	Haitong Securities Co. LTD	Personal financial demand

**2. Basic information about the release of pledge of shares by the shareholder**

Name of shareholder	Whether he is the largest shareholder and persons acting in concert	Number of shares released from pledge (0'000 shares)	Percentage in the shares held by him	Percentage in the total share capital of the Company	Commencement date of the pledge	Release date of the pledge	Pledgee
WANG Xiaoshen	No	1250	12.39%	0.90%	16 May 2019	14 May 2021	CSC Financial Co., Ltd.

## **II. INFORMATION ABOUT TOTAL SHARES PLEDGED BY THE SHAREHOLDER**

1. As at the disclosure date of this announcement, the total number of shares held by Mr. Wang Xiaoshen are 100,898,904, accounting for 7.27% of the total share capital of the Company. The total number of shares pledged by Mr. Wang Xiaoshen are 41,840,000, accounting for 41.47% of the shares held by him and 3.01% of the total share capital of the Company.
2. There is no violation of the interests of the company such as non-operating fund occupation and illegal guarantee among the abovementioned shareholder.
3. The pledged and release of pledged shares will not affect the company's production operation and corporate governance. The pledged and release of pledged shares do not involve performance compensation obligations.
4. As at the disclosure date of this announcement, the abovementioned shares pledged by the abovementioned shareholder is not exposed to close-out risk or subject to forced close-out and the pledge risk is controllable. In case of subsequent pledge risk, the abovementioned shareholder will take measures including but not limited to supplemental pledge and increase in additional margin to cope with the abovementioned risk.

The Company will pay continuous attention to the pledge and make relevant information disclosure as required in a timely manner. Investors are advised to make investment in a rational way and pay attention to investment risk.

## **III. DOCUMENTS AVAILABLE FOR INSPECTION**

1. Certificate of registration for share pledge.
2. List of frozen shares provided by China Securities Depository and Clearing Corporation Limited.

The announcement is hereby given.

The board of directors  
**Ganfeng Lithium Co., Ltd.**  
May 18, 2021