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中信证券股份有限公司
CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)

(Stock Code: 6030)

SUPPLEMENTAL ANNOUNCEMENT IN RELATION TO THE ANNUAL REPORT OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2020

Reference is made to the annual report of CITIC Securities Company Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) for the year ended 31 December 2020 published on the website of Hong Kong Exchanges and Clearing Limited on 21 April 2021 (the “**Annual Report**”). Unless otherwise specified, capitalized terms used in this supplemental announcement shall have the same meaning as those defined in the Annual Report.

In addition to the information provided in Note 10(i) of the Notes to the Consolidated Financial Statements as set out in the Annual Report, the Company hereby provides further explanations and supplemental information in respect of the Group’s defined contribution schemes pursuant to Paragraph 26(2) of Appendix 16 to the Hong Kong Listing Rules as follows:

The Group provides its full-time employees in Mainland China and certain countries or jurisdictions outside Mainland China with relevant pension plans as required by the government authorities or by local labour laws, including the basic pension plan in Mainland China, the Mandatory Provident Funds in Hong Kong and other statutory pension plans in certain countries outside Mainland China. There were no forfeited contributions under these pension plans.

The Group also provides certain employees in and outside Mainland China with certain other defined contribution schemes, such as the Enterprise Annuity Plan in Mainland China, the Occupational Retirement Schemes Ordinance Plan in Hong Kong and the Master Pooled Fund in Thailand, etc. For the year ended 31 December 2020, under the Enterprise Annuity Plan in Mainland China, the total returned contributions (which were the amount contributed by the Group under the Enterprise Annuity Plan for the employees who left such Plan prior to vesting fully in such Plan) received by the Group from the account of the Enterprise Annuity Plan amounted to RMB272,225.09 (2019: RMB327,897.68). Such returned contributions had no impact on the level of contributions for existing employees participating in the Enterprise Annuity Plan. For the year ended 31 December 2020, under the Group’s other defined contribution schemes outside Mainland China, total forfeited contributions amounted to RMB3,989,976.87 (2019: RMB5,604,235.53), and the Group did not utilise any of such forfeited contributions to reduce the existing level of contributions under relevant schemes.

The above supplemental information does not affect any other information contained in the Annual Report. Save as disclosed in this supplemental announcement, all other information contained in the Annual Report remains unchanged.

By order of the Board
CITIC Securities Company Limited
ZHANG Youjun
Chairman

Beijing, the PRC
15 September 2021

As at the date of this announcement, the executive directors of the Company are Mr. ZHANG Youjun and Mr. YANG Minghui; the non-executive director is Mr. WANG Shuhui; and the independent non-executive directors are Mr. LIU Ke, Mr. ZHOU Zhonghui and Mr. LI Qing.