Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited (the "Hong Kong Stock Exchange") take no responsibility for the contents of this announcement, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this announcement.

We have one class of shares, and each holder of our shares is entitled to one vote per share. As the Alibaba Partnership's director nomination rights are categorized as a weighted voting rights structure (the "WVR structure") under the Rules Governing the Listing of Securities on the Hong Kong Stock Exchange (the "Hong Kong Listing Rules"), we are deemed as a company with a WVR structure. Shareholders and prospective investors should be aware of the potential risks of investing in a company with a WVR structure. Our American depositary shares, each representing eight of our shares, are listed on the New York Stock Exchange in the United States under the symbol BABA.



# Alibaba Group Holding Limited 阿里巴巴集團控股有限公司

(Incorporated in the Cayman Islands with limited liability)
(Stock Code: 9988)

#### OVERSEAS REGULATORY ANNOUNCEMENT

We are making this announcement pursuant to Rule 13.10B of the Hong Kong Listing Rules.

We filed a Form 13F with the Securities and Exchange Commission of the United States ("SEC") in relation to our holdings report, in the capacity as an institutional investment manager, on our interests in publicly traded companies for the quarter ended September 30, 2021. Form 13F requires filing by any company that exercises investment discretion over US\$100 million or more in equity securities set out in the official list of Section 13(f) securities published by the SEC (primarily includes U.S. exchange-traded stocks, shares of closed-end investment companies, and shares of exchange-traded funds) even if the company's main business is not investment management. We typically make investments in both listed and unlisted equities as part of our long-term strategy to strengthen our digital economy. For details, please refer to the attached Form 13F.

By order of the Board
Alibaba Group Holding Limited
Kevin Jinwei ZHANG
Secretary

Hong Kong, November 16, 2021

As at the date of this announcement, our board of directors is comprised of Mr. Daniel Yong ZHANG as the chairman, Mr. Joseph C. TSAI, Ms. Maggie Wei WU, Mr. J. Michael EVANS and Mr. Kabir MISRA as directors, and Mr. Chee Hwa TUNG, Mr. Walter Teh Ming KWAUK, Mr. Jerry YANG, Mr. E. Börje EKHOLM and Ms. Wan Ling MARTELLO as independent directors.

## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### **FORM 13F**

#### **FORM 13F COVER PAGE**

Repor	rt for the Calendar Year or Quarter End	ded: 09-30-2021								
Check	k here if Amendment: $\square$ Amendment	Number:								
This Amendment (Check only one):		☐ is a restatement. ☐ adds new holdings entries.								
Instit	tutional Investment Manager Filing t	this Report:								
Name Addre	Jame: Alibaba Group Holding Ltd Address: 26/F TOWER ONE TIMES SQUARE, 1 MATHESON STREET CAUSEWAY BAY, K3 00000									
signin	ng the report is authorized to submit it.	that all information conta	by whom it is signed hereby represent that the person ined herein is true, correct and complete, and that it is les, are considered integral parts of this form.							
Perso	on Signing this Report on Behalf of R	Reporting Manager:								
Name Title: Phone	Company Secretary									
Signa	ature, Place, and Date of Signing:									
	/s/ Jinwei Zhang C [Signature]	Causeway Bay, K3 [City, State]	11-15-2021 [Date]							
Repor	ort Type (Check only one.):									
X	13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)									
	13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)									
	13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in the report and a portion are reported by other reporting manager(s).)									

List of Other Managers Reporting for this Manager:
Provide a list of the name(s), Form 13F file number(s) and CIK numbers of all institutional investment managers who are reporting for this manager.
NONE
Do you wish to provide information pursuant to Special Instruction 5? $\square$
Additional Information

#### **FORM 13F SUMMARY PAGE**

#### **Report Summary:**

0 Number of Other Included Managers: Form 13F Information Table Entry Total: Form 13F Information Table Value Total:

\$1,415,802 (thousands)

☐ Confidential information has been omitted from the public Form 13F report and filed separately with the Commission.

#### **List of Other Included Managers:**

Provide a numbered list of the name(s) and Form 13F number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

NONE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5			COLUMN 6	COLUMN 7	COLUMN 8		
1			VALUE	SHRS OR	SH/	PUT/	T/ INVESTMENT	OTHER	VOTING AUTHORITY		
NAME OF ISSUER	TITLE OF CLASS	CUSIP	(X\$1000)	PRN AMT		CALL		MANAGER	SOLE	SHARED	NONE
BEST INC	SPONSORED ADS	08653C106	16,700	10,000,000	SH		SOLE		10,000,000	0	0
BILIBILI INC	SPONS ADS REP Z	090040106	661,700	10,000,000	SH		SOLE		10,000,000	0	0
COOTEK CAYMAN INC	SPONSORED ADS	21718L102	2,589	2,122,328	SH		SOLE		2,122,328	0	0
MOMO INC	ADR	60879B107	52,900	5,000,000	SH		SOLE		5,000,000	0	0
WEIBO CORP	SPONSORED ADR	948596101	427,410	9,000,000	SH		SOLE		9,000,000	0	0
XPENG INC	ADS	98422D105	236,341	6,650,000	SH		SOLE		6,650,000	0	0
1STDIBS COM INC	COM	320551104	18,162	1,464,697	SH		SOLE		1,464,697	0	0