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# 中信证券股份有限公司 CITIC Securities Company Limited

*(A joint stock limited company incorporated in the People's Republic of China with limited liability)*  
(Stock Code: 6030)

## ANNOUNCEMENT PRELIMINARY FINANCIAL DATA OF CHINA AMC FOR THE YEAR 2021

This announcement is made pursuant to the Inside Information Provisions (as defined under the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Listing Rules**”)) under Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and Rule 13.09 of the Listing Rules.

The board of directors (the “**Board**”) of CITIC Securities Company Limited (the “**Company**”) hereby announces that, IGM Financial Inc., the parent company of Mackenzie Financial Corporation, a shareholder holding 13.9% equity interest of China Asset Management Co., Ltd. (“**China AMC**”) (a non wholly-owned subsidiary of the Company), will publish its 2021 annual results which will contain the principal financial data of China AMC. In order to ensure that all the shareholders of the Company have equal and timely access to the information of the Company, the Company hereby discloses the principal financial data of China AMC for the year 2021 prepared in accordance with the China Accounting Standards for Business Enterprises as follows:

As of 31 December 2021, China AMC’s total assets amounted to RMB16,295 million and its total liabilities amounted to RMB5,007 million. In 2021, China AMC realized an operating revenue of RMB8,015 million and a net profit of RMB2,312 million, with a total comprehensive income of RMB2,287 million. As of 31 December 2021, the assets under management of China AMC (at parent level) amounted to RMB1,661,607 million.

The principal financial data and indicators of China AMC for the year 2021 as set out in this announcement are preliminary data and have not been audited by the reporting accountants. The final figures will be disclosed in the 2021 annual report of the Company. Investors are advised to pay attention to investment risks.

By order of the Board  
CITIC Securities Company Limited  
ZHANG Youjun  
Chairman

Beijing, the PRC  
7 February 2022

*As at the date of this announcement, the executive directors of the Company are Mr. ZHANG Youjun and Mr. YANG Minghui; the non-executive director is Mr. WANG Shuhui; and the independent non-executive directors are Mr. ZHOU Zhonghui and Mr. LI Qing.*