



# 中信证券股份有限公司 CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)  
(Stock Code: 6030)

## PROXY FORM

### For 2022 First Extraordinary General Meeting of CITIC Securities Company Limited to be held on Wednesday, 13 April 2022 and any adjourned meeting(s) thereof

I/We <sup>(Note 1)</sup> \_\_\_\_\_  
of <sup>(Note 2)</sup> \_\_\_\_\_  
being the registered holder(s) of \_\_\_\_\_ H shares <sup>(Note 3)</sup> with nominal value of RMB1.00 each in the share capital of CITIC Securities Company Limited (the "Company"), hereby appoint the Chairman of the meeting <sup>(Note 4 and Note 5)</sup> or \_\_\_\_\_  
of \_\_\_\_\_  
as my/our proxy to attend and vote for me/us and on my/our behalf at the 2022 First Extraordinary General Meeting of the Company to be held at 9:30 a.m. on Wednesday, 13 April 2022 at Qing Room, 5th Floor, Beijing Ruicheng Four Seasons Hotel, No. 48 Liangmaqiao Road, Chaoyang District, Beijing, the People's Republic of China and any adjourned meeting(s) thereof as hereunder indicated in respect of the resolutions set out in the Notice of the 2022 First Extraordinary General Meeting of the Company dated 25 February 2022, and if no such indication is given, as my/our proxy thinks fit.

Please indicate how you wish your vote(s) to be cast by ticking the appropriate boxes next to the resolutions.

SPECIAL RESOLUTION		For <sup>(Note 6)</sup>	Against <sup>(Note 6)</sup>	Abstain <sup>(Note 6)</sup>
1.	To consider and approve the resolution in relation to the amendments to the articles of association of the Company.			
ORDINARY RESOLUTION		For <sup>(Note 6)</sup>	Against <sup>(Note 6)</sup>	Abstain <sup>(Note 6)</sup>
2.	To consider and approve the resolution in relation to the election of Mr. SHI Qingchun as an independent non-executive director of the Company.			

\* Further details of the above resolutions are set out in the circular of the Company dated 25 February 2022.

Date: \_\_\_\_\_ 2022 Signature(s) <sup>(Note 7)</sup>: \_\_\_\_\_

#### Notes:

- Please insert the full name(s) of shareholder(s) as registered in the register of members of the Company in **BLOCK LETTERS**.
- Please insert the address(es) of shareholder(s) as registered in the register of members of the Company in **BLOCK LETTERS**.
- Please insert the number of shares registered in your name(s); if no number is inserted, this Proxy Form will be deemed to relate to all shares of the Company registered in your name(s).
- Any shareholder entitled to attend and vote at the meeting is entitled to appoint one or more proxies to attend and vote on his/her behalf. A proxy needs not be a shareholder of the Company, but must attend the meeting in person in order to represent you.
- If a proxy other than the Chairman of the meeting is preferred, cross out the words "the Chairman of the meeting" and insert the name(s) and address(es) of the proxy (or proxies) desired in the space provided. If no name is inserted, the Chairman of the meeting will act as your proxy. **Any changes should be initiated by the person who signs this form.**
- IMPORTANT: IF YOU WISH TO VOTE FOR THE RESOLUTION, TICK THE APPROPRIATE BOX MARKED "FOR". IF YOU WISH TO VOTE AGAINST THE RESOLUTION, TICK THE APPROPRIATE BOX MARKED "AGAINST". IF YOU WISH TO ABSTAIN FROM VOTING ON THE RESOLUTION, TICK THE BOX MARKED "ABSTAIN", AND YOUR VOTES WILL BE COUNTED FOR THE PURPOSE OF CALCULATING THE RESULTS OF THE RESOLUTION.**
- If you return this proxy form without indicating as to how your proxy is to vote on any particular matter, the person appointed as your proxy will exercise his/her discretion as to whether he/she votes and, if so, how and, unless instructed otherwise, he/she may also vote or abstain from voting as he/she thinks fit on any other business (including amendments to resolution) which may properly come before the meeting.
- This Proxy Form must be signed and dated by you or your attorney duly authorized in writing. If the shareholder is a company, it should execute this proxy form under its common seal or by the signature(s) of (a) person(s) authorized to sign on its behalf. **In case of joint shareholdings, any one joint shareholder may sign this proxy form. Only when there are more than one joint shareholders who will attend the meeting in person or by proxy, the vote of the senior joint shareholder who tenders a vote, whether in person or by proxy, will be accepted to the exclusion of the votes of the other joint shareholder(s) and for this purpose, seniority will be determined by the order in which the names of the joint shareholders stand in the register of members of the Company in respect of the relevant shares.**
- To be valid, this proxy form, together with the power of attorney or other authority, if any, under which it is signed, or a notarially certified copy of such power of attorney or authority, must be completed and delivered to the H Share registrar of the Company, Computershare Hong Kong Investor Services Limited, by no later than 24 hours before the time appointed for convening the meeting or the adjourned meeting(s) thereof. Computershare Hong Kong Investor Services Limited is located at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong. The effective period of appointment of your proxy appointed under this proxy form shall cease upon conclusion of the 2022 First Extraordinary General Meeting or any adjourned meeting(s) thereof.
- Completion and return of this proxy form will not preclude you from attending and voting at the meeting if you so wish.