

## Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in Securities

30 April 2022							Status	New Submis	ssion
es and Clearing Limited									
LUZHENG FUTURES C	ompany Limi	ted							
05 May 2022									
orised / Registered Sha	re Capital								
Ordinary shares		Class of shares	Class H List		Listed on SEHK (N	ote 1)	Yes		
01461		Description	H shares	6					
	Number o	f authorised/registere	d shares		Par valu	ie	Auth	orised/register	ed share capital
Balance at close of preceding month		277,090,000		RMB	1		RMB		277,090,000
Increase / decrease (-)		0					RMB		(
Balance at close of the month		277,090,000		RMB	1		RMB		277,090,000
Ordinary shares		Class of shares	Other cla	ass (snec	ify in description)	Listed on SEHK (N	ote 1)	No	
	<u> </u>					Listed on SErick (14			
	Number o				Par valu	Je	Auth	norised/register	ed share capital
Balance at close of preceding month				RMB					724,810,000
Increase / decrease (-)		0		)		RMB	(		
Balance at close of the month		724,810,000		RMB	]		RMB		724,810,000
	LUZHENG FUTURES CO  05 May 2022  orised / Registered Sha  Ordinary shares  01461  ding month  Ordinary shares  N/A  ding month	DESCRIPTION OF STATE OF THE PROPERTY OF STATE OF	Dorised / Registered Share Capital  Ordinary shares  O1461  Description  Number of authorised/registered Shares  Ordinary shares  Ordinary shares  Ordinary shares  Ordinary shares  Ordinary shares  N/A  Ordinary shares  N/A  Description  Number of authorised/registered shares  N/A  Description  Number of authorised/registered shares  N/A  Description  Number of authorised/registered shares  N/A  Number of authorised/registered shares	LUZHENG FUTURES Company Limited  05 May 2022  Orised / Registered Share Capital  Ordinary shares  O1461  Description  Number of authorised/registered shares  ding month  277,090,000  Ordinary shares  Class H  277,090,000  Ordinary shares  Class of shares  Other cla  N/A  Description  Domesti  Number of authorised/registered shares  Other cla  N/A  Description  Domesti  Number of authorised/registered shares  ding month  724,810,000  0	LUZHENG FUTURES Company Limited    O5 May 2022	LUZHENG FUTURES Company Limited  05 May 2022  Orised / Registered Share Capital  Ordinary shares  Class of shares Class H  01461  Description  H shares  Par valuating month  277,090,000  RMB  Ordinary shares  Class of shares  Par valuating month  Class of shares  Other class (specify in description)  N/A  Description  Domestic shares  Par valuating month  724,810,000  RMB	LUZHENG FUTURES Company Limited  05 May 2022  Orised / Registered Share Capital  Ordinary shares  Class of shares  Class H  Listed on SEHK (N  01461  Description  H shares  Par value  ding month  277,090,000  RMB  1  Ordinary shares  Class of shares  Other class (specify in description)  Listed on SEHK (N  N/A  Description  Domestic shares  Par value  1  Ordinary shares  Number of authorised/registered shares  Number of authorised/registered shares  Par value  1  Ordinary shares  Number of authorised/registered shares  Par value	LUZHENG FUTURES Company Limited  05 May 2022  Ordinary shares  Ordinary shares  Class of shares  Class H  Listed on SEHK (Note 1)  Description  H shares  Number of authorised/registered shares  Par value  Authorised  Try,090,000  RMB  Try,090,000  RMB  Ordinary shares  Class of shares  Ordinary shares  Class of shares  Ordinary shares  Class of shares  Other class (specify in description)  Listed on SEHK (Note 1)  N/A  Description  Domestic shares  Number of authorised/registered shares  Par value  Authorised  Authorised  Authorised  RMB  Ordinary shares  Number of authorised/registered shares  Par value  Authorised  Authorised  RMB  RMB	LUZHENG FUTURES Company Limited  05 May 2022  Orrised / Registered Share Capital  Ordinary shares  Class of shares  Class H  Listed on SEHK (Note 1)  Yes  01461  Description  H shares  Number of authorised/registered shares  Par value  Authorised/registered shares  Ordinary shares  Class of shares  Other class (specify in description)  N/A  Description  Domestic shares  Number of authorised/registered shares  Number of authorised/registered shares  Par value  Authorised/registered shares  Par value  Authorised/registered shares  Number of authorised/registered shares  Number of authorised/registered shares  Ordinary shares  Number of authorised/registered shares

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## **II. Movements in Issued Shares**

1. Type of shares	Type of shares Ordinary shares		Class of shares	Class H	Listed on SEHK (Note 1)	Yes	
Stock code	01461		Description	H shares			
Balance at close of preceding month		277,090,000					
Increase / decrease (-)		0					
Balance at close of the month		277,090,000					

2. Type of shares	Ordinary shares	5	Class of shares	Other class (specify in description)	Listed on SEHK (Note 1)	No	
Stock code	N/A		Description	Domestic shares			
Balance at close of preceding	ance at close of preceding month 724,810,000						
Increase / decrease (-)		0					
Balance at close of the month		724,810,000					

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Ш	Details	of I	Movemei	nte in	harreel	Shares
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- (A). Share Options (under Share Option Schemes of the Issuer) Not applicable
- (B). Warrants to Issue Shares of the Issuer which are to be Listed Not applicable
- (C). Convertibles (i.e. Convertible into Issue Shares of the Issuer which are to be Listed) Not applicable
- (D). Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be listed, including Options (other than Share Options Schemes) Not applicable
- **(E). Other Movements in Issued Share** Not applicable

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IV. Information about Hong Kong Depositary Receipt (HDR) Not applicable

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## V. Confirmations

Not	app	licab	le
	MPP		,,,

Submitted by: Zhong Jinlong

Title: Chairman of the Board of Directors

(Director, Secretary or other Duly Authorised Officer)

## Notes

- 1. SEHK refers to Stock Exchange of Hong Kong.
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. "Identical" means in this context:
  - the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - . they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please submit additional document.
- 5. In the context of repurchase of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares repurchased listed on SEHK"; and
  - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares repurchased (if listed on SEHK)"; and
  - . "type of shares issuable" should be construed as "type of shares repurchased"; and
  - . "issue and allotment date" should be construed as "cancellation date"
- 6. In the context of redemption of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares redeemed listed on SEHK"; and

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- . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares redeemed (if listed on SEHK)"; and
- . "type of shares issuable" should be construed as "type of shares redeemed"; and
- . "issue and allotment date" should be construed as "redemption date"

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