Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited (the "Hong Kong Stock Exchange") take no responsibility for the contents of this announcement, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this announcement.

We have one class of shares, and each holder of our shares is entitled to one vote per share. As the Alibaba Partnership's director nomination rights are categorized as a weighted voting rights structure (the "WVR structure") under the Rules Governing the Listing of Securities on the Hong Kong Stock Exchange (the "Hong Kong Listing Rules"), we are deemed as a company with a WVR structure. Shareholders and prospective investors should be aware of the potential risks of investing in a company with a WVR structure. Our American depositary shares, each representing eight of our shares, are listed on the New York Stock Exchange in the United States under the symbol BABA.



## Alibaba Group Holding Limited 阿里巴巴集團控股有限公司

(Incorporated in the Cayman Islands with limited liability)
(Stock Code: 9988)

## OVERSEAS REGULATORY ANNOUNCEMENT

We are making this announcement pursuant to Rule 13.10B of the Hong Kong Listing Rules.

We filed a Form SD for the period from January 1, 2021 to December 31, 2021 with the U.S. Securities and Exchange Commission ("SEC"). Pursuant to Section 13(p) of the U.S. Securities Exchange Act of 1934, as amended (the "Exchange Act") and Rule 13p-1 under the Exchange Act, U.S., public companies are required to file a Form SD with the SEC on an annual basis if they manufactured or contracted to manufacture products that include certain "conflict minerals" necessary to the functionality or production of such products. Conflict minerals include gold, tantalum, tin and tungsten. The Form SD includes information regarding the use and origin of conflict minerals. For details, please refer to the attached Form SD.

By order of the Board
Alibaba Group Holding Limited
Kevin Jinwei ZHANG
Secretary

Hong Kong, June 1, 2022

As at the date of this announcement, our board of directors is comprised of Mr. Daniel Yong ZHANG as the chairman, Mr. Joseph C. TSAI, Ms. Maggie Wei WU, Mr. J. Michael EVANS and Mr. Kabir MISRA as directors, and Mr. Chee Hwa TUNG, Mr. Walter Teh Ming KWAUK, Mr. Jerry YANG, Ms. Wan Ling MARTELLO and Mr. Weijian SHAN as independent directors.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **FORM SD**

## SPECIALIZED DISCLOSURE REPORT

## Alibaba Group Holding Limited

(Exact name of the registrant as specified in its charter)

Cayman Islands

(State or other jurisdiction of incorporation or organization)

001-36614

(Commission File Number)

Not Applicable

(IRS Employer Identification No.)

26/F Tower One, Times Square
1 Matheson Street
Causeway Bay
Hong Kong
(Address of principal executive offices)

Not Applicable (Zip Code)

Toby Hong Xu Chief Financial Officer Telephone: +852-2215-5100

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1, 2021 to December 31, 2021

#### **Section 1 Conflict Minerals Disclosure**

### **Item 1.01 Conflict Minerals Disclosure and Report**

#### Conflict Minerals Disclosure

This Form SD disclosure of Alibaba Group Holding Limited (the "Company") is for the period from January 1, 2021 to December 31, 2021. Unless the context indicates otherwise, "the Company," "we," and "our" refer to Alibaba Group Holding Limited and its consolidated subsidiaries and its affiliated consolidated entities, including our variable interest entities and their subsidiaries.

This disclosure is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934, as amended ("Rule 13p-1"). Pursuant to Rule 13p-1, the Company undertook to determine whether the products it manufactured or contracted to manufacture during the year ended December 31, 2021 contained conflict minerals necessary to the functionality or production of such products. "Conflict minerals" are defined as cassiterite, columbite-tantalite, gold, wolframite and their derivatives, which are limited to tin, tantalum and tungsten ("3TG").

The Company evaluated its products to determine which of its products may contain 3TG. Based on this review, the Company determined that 3TG are necessary to the functionality or production of certain components in certain of its products, including but not limited to:

- (i) Cainiao's logistics and warehouse equipment, taken as a whole, contain tin, tantalum, tungsten and gold;
- (ii) Alibaba Cloud's servers, networking equipment, including routers and network switches, and other hardware, taken as a whole, contain tin, tantalum, tungsten and gold;
- (iii) Tmall Genie smart speakers, and remote controls, taken as a whole, contain tin, tantalum, tungsten and gold;
- (iv) DingTalk's smart attendance machines contain tin, tantalum, tungsten and gold;
- (v) Amap's smart road cones contain tin, tantalum, tungsten and gold;
- (vi) certain of Taobao Select's private label home and personal electronics and appliances contain tin; and
- (vii) certain of the Company's digital price tags contain tin, tantalum, tungsten and gold.

We refer to the foregoing products as the "Covered Products."

The Company conducted a reasonable country of origin inquiry to determine whether any of the 3TG contained in the Covered Products originated in the Democratic Republic of the Congo, Angola, Burundi, Central African Republic, the Republic of the Congo, Rwanda, South Sudan, Tanzania, Uganda, and Zambia (together, the "Covered Countries") or from recycled or scrap sources. The Company's primary means of determining the country of origin of the 3TG contained in the Covered Products was conducting (i) an internal supply-chain inquiry to identify products containing 3TG, and (ii) inquiring of the Company's suppliers eliciting, as applicable, information regarding (a) the country of origin of the 3TG contained in the Covered Products, (b) whether the 3TG came from scrap or recycled sources, and (c) other relevant information regarding the suppliers' (or its suppliers') practices concerning 3TG.

Based on the reasonable country of origin inquiry described above, the Company has in good faith concluded that some of the Company's products may contain 3TG originated in the Covered Countries, but there is nothing in the responses received to date by the Company to suggest that the Company's products contain any 3TG that directly or indirectly finance or benefit armed groups in the Covered Countries. Among the few suppliers who indicated that their products contain 3TG from the Covered Countries, all confirmed that the smelters from which they sourced minerals comply with certain responsible mineral sourcing validation programs, such as the Responsible Minerals Initiative, or the 3TG came from scrap or recycled sources.

In accordance with the requirements under Rule 13p-1, the above disclosure is also available on the Company's website at http://www.alibabagroup.com/en/ir/secfilings.

Item	1.02	<b>Exhibits</b>
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None.

**Section 2 Exhibits** 

**Item 2.01 Exhibits** 

None.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALIBABA GROUP HOLDING LIMITED

Date: May 31, 2022 By: /s/ Kevin Jinwei ZHANG

Kevin Jinwei ZHANG Company Secretary

4