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Kunming Dianchi Water Treatment Co., Ltd.

昆明滇池水务股份有限公司

(a joint stock company incorporated in the People's Republic of China with limited liability)

(Stock Code: 3768)

ANNOUNCEMENT MADE PURSUANT TO LISTING RULES 13.51(2)(u) AND 13.51B(2)

This announcement is made by Kunming Dianchi Water Treatment Co., Ltd. (the “**Company**”, together with its subsidiaries, the “**Group**”) pursuant to Rules 13.51(2)(u) and 13.51B(2) of the Rules Governing the Listing of Securities (the “**Listing Rules**”) on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”).

Reference is made to the Company’s announcement dated 17 May 2022 (the “**Announcement**”) in relation to the fact that Mr. Ong King Keung (“**Mr. Ong**”), an independent non-executive Director of the Company, was named as one of the respondents in the Petition filed with the Court of First Instance of the High Court of Hong Kong by the SFC in the matter of China Candy Holdings Limited (“**China Candy**”), the shares of which were delisted from GEM of the Stock Exchange in December 2019. Unless otherwise defined, capitalized terms used herein shall have the same meanings as those defined in the Announcement.

The board of directors of the Company (the “**Board**”) hereby refers to the press release made by the SFC on 20 April 2023 (the “**Press Release**”), which stated that the SFC has commenced legal proceedings in the Court of First Instance to seek disqualification orders against seven former members of the board of directors of China Candy, including Mr. Ong, an independent non-executive Director of the Company and a former independent non-executive director of China Candy, under section 214 of the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong) (the “**Legal Proceedings**”). For details of the Legal Proceedings, please refer to the Press Release published on the website of the SFC.

The Board has been informed by Mr. Ong that he disagrees with the claims made by the SFC in the Petition and he will use his best endeavours to defend against the claims.

The Board confirms that the Legal Proceedings against Mr. Ong are not related to the business of the Group and will not adversely affect the business and operations of the Group.

The Company will continue to monitor such matter and make further announcement(s) as and when appropriate in accordance with the applicable requirements of the Listing Rules.

By order of the Board
Kunming Dianchi Water Treatment Co., Ltd.
Zeng Feng
Chairperson and executive Director

Kunming, the PRC, 21 April 2023

As of the date of this announcement, the Board comprises Mr. Zeng Feng and Mr. Chen Changyong, as executive Directors; Ms. Song Hong, Mr. Zhou Jianbo and Mr. Zhang Yang, as non-executive Directors; and Ms. Wang Dongfang, Ms. Zheng Dongyu and Mr. Ong King Keung, as independent non-executive Directors.