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## **Southwest Securities International Securities Limited**

**西證國際證券股份有限公司\***

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

### **PROPOSED AMENDMENTS TO THE BYE-LAWS AND ADOPTION OF THE AMENDED AND RESTATED BYE-LAWS**

This announcement is made by Southwest Securities International Securities Limited (the “**Company**”, together with its subsidiaries, the “**Group**”) pursuant to Rule 13.51(1) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Listing Rules**”) in relation to the proposed amendments to the Company’s existing Bye-laws (the “**Bye-laws**”).

The Listing Rules were amended by, among others, adopting a uniform set of 14 core shareholder protection standards for issuers regardless of their places of incorporation as set out in Appendix 3 to the Listing Rules which became effective on 1 January 2022. The board of directors of the Company (the “**Board**”) proposes to make certain amendments to the Bye-laws to (i) bring the Bye-laws of the Company in alignment with the Core Shareholder Protection Standards as set out in Appendix 3 to the Listing Rules; (ii) reflect certain updates in relation to the applicable laws of Bermuda and the Listing Rules; and (iii) make other house-keeping amendments (collectively, the “**Proposed Amendments**”). The Board proposes to make the Proposed Amendments by way of adopting a new set of amended and restated Bye-laws (the “**Amended and Restated Bye-laws**”) in substitution for, and to the exclusion of, the existing Bye-laws.

The Proposed Amendments and the proposed adoption of the Amended and Restated Bye-laws are subject to the approval by the shareholders of the Company by way of a special resolution at the forthcoming annual general meeting of the Company to be held at Meeting Room Hennessy, 31F, Hysan Place, 500 Hennessy Rd, Causeway Bay Hong Kong, on Wednesday, 21 June 2023 at 9:30 a.m. (the “**AGM**”). A circular containing, among others,

\* *For identification purposes only*

details of the Proposed Amendments brought about by the adoption of the Amended and Restated Bye-laws, together with a notice convening the AGM, will be despatched to the shareholders of the Company in due course.

By order of the Board  
**Southwest Securities International Securities Limited**  
**Zhang Hongwei**  
*Chairman*

Hong Kong, 29 May 2023

*As at the date of this notice, the executive directors of the Company are Mr. Zhang Hongwei (Chairman) and Mr. Huang Changsheng; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.*