

# Southwest Securities International Securities Limited

西證國際證券股份有限公司\*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

**Form of proxy for the Annual General Meeting (the “AGM”) of Southwest Securities International Securities Limited (the “Company”) to be held at Meeting Room Hennessy, 31F, Hysan Place, 500 Hennessy Rd, Causeway Bay, Hong Kong on 21 June 2023 at 09:30 a.m. and any adjournment thereof.**

I/We <sup>1</sup> \_\_\_\_\_  
of \_\_\_\_\_  
being the registered holder(s) of <sup>2</sup> \_\_\_\_\_ Share(s) of HK\$0.10 each in the capital of the Company  
hereby appoint the Chairman of the AGM, or <sup>3</sup> \_\_\_\_\_  
of \_\_\_\_\_  
as my/our proxy to attend and vote on my/our behalf at the AGM and any adjournment thereof in respect of the resolutions to be put to the AGM as set out in the notice convening the AGM. The proxy will vote as indicated below in respect of the resolutions set out in the notice convening the AGM:

ORDINARY RESOLUTIONS		FOR <sup>5</sup>	AGAINST <sup>5</sup>
1.	To receive and consider the report of the directors, the audited consolidated financial statements and the independent auditor's report for the year ended 31 December 2022		
2.	(a) To re-elect Mr. MENG Gaoyuan, a retiring director, as an independent non-executive director of the Company		
	(b) To re-elect Mr. LIANG Jilin, a retiring director, as an independent non-executive director of the Company		
	(c) To re-elect Mr. CAO Ping, a retiring director, as an independent non-executive director of the Company		
3.	To authorise the board of directors of the Company to fix the remuneration of the directors of the Company		
4.	To re-appoint SHINewing (HK) CPA Limited as auditors of the Company and to authorise the board of directors of the Company to fix their remuneration		
5.	To grant a general mandate to the directors of the Company to allot, issue and deal with new shares in the Company		
6.	To grant a general mandate to the directors of the Company to repurchase shares in the Company		
7.	To extend the general mandate granted by resolution no. 5 by adding the shares purchased pursuant to the general mandate granted by resolution no. 6		
SPECIAL RESOLUTION		FOR <sup>5</sup>	AGAINST <sup>5</sup>
8.	To approve the amended and restated Bye-laws of the Company be adopted as the new Bye-laws of the Company in substitution for and to the exclusion of the existing amended and restated Bye-laws of the Company, with immediate effect after the conclusion of the AGM and to authorize any one director or the company secretary of the Company to do all acts and things and execute any further documents and take all steps which, in his/her opinion, may be necessary to implement and give effect to the adoption of the amended and restated Bye-laws of the Company, including without limitation, attending to the necessary filings with the Registrar of Companies in Bermuda and Hong Kong		

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 2023

Signature \_\_\_\_\_

#### Notes:

- Full name(s) and address(es) to be inserted in **BLOCK CAPITALS**. The names of all joint registered holders should be stated.
- Please insert the number of ordinary shares of HK\$0.10 each in the capital of the Company registered in your name(s). If no number is inserted, this form of proxy will be deemed to relate to all such shares of the Company registered in your name(s).
- If any proxy other than the Chairman of the AGM is appointed, strike out “the Chairman of the AGM or” and insert the name and address of the proxy desired in the space provided. A proxy needs not be a member of the Company.
- Completion and return of this form of proxy will not preclude you from attending and voting at the AGM should you wish.
- IMPORTANT:** If you wish to vote for a resolution, place a “X” in the relevant box marked “FOR”. If you wish to vote against a resolution, place a “X” in the relevant box marked “AGAINST”. Failure to complete a box will entitle your proxy to cast your vote(s) or abstain at his discretion. Your proxy will also be entitled to vote or abstain at his discretion on any resolution properly put to the AGM other than those referred to in the notice convening the AGM.
- This form of proxy must be signed by you or your attorney duly authorised in writing or, in the case of a corporation, must be either under its seal or under the hand of an officer or attorney or other person duly authorised to sign the same.
- In order to be valid, this form of proxy together with the power of attorney or other authority (if any) under which it is signed (or a notarially certified copy of such power of attorney or authority), must be delivered to the Company's branch share registrar in Hong Kong, Computershare Hong Kong Investor Services Limited, at Shops 1712-1716, 17/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong not less than 48 hours before the time appointed for holding the AGM or any adjournment thereof.**
- Where there are joint holders of any share/shares, any one of such joint holders may vote, either in person or by proxy, at the AGM in respect of such share as if he was solely entitled thereto, but if more than one of such joint holders be present at the AGM the vote of the senior who tenders a vote, whether in person or by proxy, shall be accepted to the exclusion of the votes of the other joint holders, and for this purpose, seniority shall be determined by the order in which the names stand in the register of members of the Company in respect of the joint holding.
- Any alterations made to this form of proxy must be initialled by the person who signs it.
- For the full text of all above proposed resolutions, please refer to the notice of AGM as contained in the circular of the Company dated 29 May 2023.

#### PERSONAL INFORMATION COLLECTION STATEMENT

- “Personal Data” in these statements has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong (“PDPO”).
- Your supply of Personal Data to the Company is on a voluntary basis and is used for processing your instructions and/or requests as stated in this form.
- Your Personal Data will not be transferred to other third parties (other than the Share Registrars of the Company) unless it is a requirement to so do by law, for example, in response to a court order or a law enforcement agency's request and will be retained for such period as may be necessary for our verification and record purposes.
- You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request should be in writing addressed to the Personal Data Privacy Officer of Computershare Hong Kong Investor Services Limited at Shops 1712-1716, 17/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong.

\* For identification purpose only