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## **Southwest Securities International Securities Limited**

**西證國際證券股份有限公司\***

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

### **POLL RESULTS OF THE ANNUAL GENERAL MEETING HELD ON 21 JUNE 2023**

#### **POLL RESULTS OF THE AGM**

At the Annual General Meeting (the “AGM”) of Southwest Securities International Securities Limited (the “Company”) held on 21 June 2023, all the proposed resolutions as set out in the notice of the AGM dated 21 June 2023 were duly passed by the shareholders of the Company by way of poll.

As at the date of the AGM, the total number of issued shares in the Company was 3,661,830,613 shares, which was the total number of shares entitling the holders to attend and vote on the resolutions at the AGM. There was no share of the Company entitling the holders to attend and abstain from voting in favour of any resolutions at the AGM as set out in Rule 13.40 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “Listing Rules”), and no shareholder of the Company was required under the Listing Rules to abstain from voting at the AGM. No party has stated its intention in the circular of the Company dated 29 May 2023 to vote against or to abstain from voting on any resolutions at the AGM.

All of the directors of the Company attended the AGM. Mr. Huang Changsheng attended in person. Mr. Zhang Hongwei, Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping attended by electronics means.

The Company’s Hong Kong branch share registrar, Computershare Hong Kong Investor Services Limited, was appointed as the scrutineer for vote-taking at the AGM. Set out below are the poll results in respect of the respective resolutions put to the vote at the AGM:

\* *For identification purposes only*

ORDINARY RESOLUTIONS		No. of votes (%)	
		FOR	AGAINST
1.	To receive and consider the report of the directors, the audited consolidated financial statements and the independent auditor's report for the year ended 31 December 2022	2,713,473,728 (100%)	0 (0%)
2.	(a) To re-elect Mr. Meng Gaoyuan, a retiring director, as an independent non-executive director of the Company	2,713,473,728 (100%)	0 (0%)
	(b) To re-elect Mr. Liang Jilin, a retiring director, as an independent non-executive director of the Company	2,713,473,728 (100%)	0 (0%)
	(c) To re-elect Mr. Cao Ping, a retiring director, as an independent non-executive director of the Company	2,713,473,728 (100%)	0 (0%)
3.	To authorise the board of directors of the Company to fix the remuneration of the directors of the Company	2,713,473,728 (100%)	0 (0%)
4.	To re-appoint SHINEWING (HK) CPA LIMITED as auditors of the Company and to authorise the board of directors of the Company to fix their remuneration	2,713,473,728 (100%)	0 (0%)
5.	To grant a general mandate to the directors of the Company to allot, issue and deal with new shares in the Company	2,713,473,728 (100%)	0 (0%)
6.	To grant a general mandate to the directors of the Company to repurchase shares in the Company	2,713,473,728 (100%)	0 (0%)
7.	To extend the general mandate granted by resolution no. 5 by adding the shares purchased pursuant to the general mandate granted by resolution no. 6	2,713,473,728 (100%)	0 (0%)

SPECIAL RESOLUTION		No. of votes (%)	
		FOR	AGAINST
8.	To approve the amended and restated Bye-laws of the Company be adopted as the new Bye-laws of the Company in substitution for and to the exclusion of the existing amended and restated Bye-laws of the Company, with immediate effect after the conclusion of the AGM and to authorize any one director or the company secretary of the Company to do all acts and things and execute any further documents and take all steps which, in his/her opinion, may be necessary to implement and give effect to the adoption of the amended and restated Bye-laws of the Company, including without limitation, attending to the necessary filings with the Registrar of Companies in Bermuda and Hong Kong	2,713,473,728 (100%)	0 (0%)

For the full text of all the proposed resolutions, please refer to the notice of AGM as contained in the circular of the Company dated 29 May 2023.

As more than 50% of the votes were cast in favour of each of the resolutions in items 1 to 7 above, all the ordinary resolutions were duly passed by way of poll at the AGM. As more than 75% of the votes were cast in favour of the resolution in item 8 above, the resolution was duly passed as a special resolution.

By order of the Board  
**Southwest Securities International Securities Limited**  
**Zhang Hongwei**  
*Chairman*

Hong Kong, 21 June 2023

*As at the date of this announcement, the executive directors of the Company are Mr. Zhang Hongwei (Chairman) and Mr. Huang Changsheng; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.*