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**國泰君安證券股份有限公司**  
**GUOTAI JUNAN SECURITIES CO., LTD.**

*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
**(Stock Code: 02611)**

**LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS**

The members of the board of directors (the “**Board**”) of Guotai Junan Securities Co., Ltd. (the “**Company**”) are set out below:

**Executive Directors**

Mr. He Qing

Mr. Wang Song

Mr. Yu Jian

**Non-executive Directors**

Mr. Liu Xinyi

Ms. Guan Wei

Mr. Zhong Maojun

Mr. Chen Hua

Mr. Wang Wenjie

Mr. Zhang Zhan

Mr. Zhang Yipeng

Mr. An Hongjun

**Independent Non-executive Directors**

Mr. Ding Wei

Mr. Li Renjie

Mr. Bai Wei

Mr. Lee Conway Kong Wai

Mr. Wang Guogang

Mr. Yim, Chi Hung Henry

There are four Board committees. The table below provides membership information of these committees on which each Board member serves:

	<b>Strategy and ESG (environmental, social and corporate governance) Committee</b>	<b>Remuneration Appraisal and Nomination Committee</b>	<b>Audit Committee</b>	<b>Risk Control Committee</b>
Mr. He Qing	Chairman			
Mr. Wang Song				Member
Mr. Liu Xinyi	Member			
Ms. Guan Wei		Member		
Mr. Zhong Maojun				Member
Mr. Chen Hua			Member	
Mr. Wang Wenjie	Member	Member		
Mr. Zhang Zhan			Member	
Mr. Zhang Yipeng				Member
Mr. An Hongjun	Member			
Mr. Ding Wei	Member	Member		
Mr. Li Renjie		Chairman		Chairman
Mr. Bai Wei			Member	Member
Mr. Lee Conway Kong Wai			Chairman	
Mr. WANG Guogang		Member		
Mr. YIM, Chi Hung Henry			Member	

By order of the Board  
**Guotai Junan Securities Co., Ltd.**  
**HE Qing**  
*Chairman*

Shanghai, the PRC  
21 June 2023

*As at the date of this announcement, the executive directors of the Company are Mr. HE Qing, Mr. WANG Song and Mr. YU Jian; the non-executive directors of the Company are Mr. LIU Xinyi, Ms. GUAN Wei, Mr. ZHONG Maojun, Mr. CHEN Hua, Mr. WANG Wenjie, Mr. ZHANG Zhan, Mr. ZHANG Yipeng and Mr. AN Hongjun; and the independent non-executive directors of the Company are Mr. DING Wei, Mr. LI Renjie, Mr. BAI Wei, Mr. LEE Conway Kong Wai, Mr. WANG Guogang and Mr. YIM, Chi Hung Henry.*