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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

**APPLICATION FOR A REVIEW OF
THE DECISION OF THE STOCK EXCHANGE ON THE COMPANY'S
COMPLIANCE WITH RULE 13.24 OF THE LISTING RULES**

Reference is made to the announcement of Southwest Securities International Securities Limited (the “**Company**”) dated 17 September 2023 (the “**Announcement**”) in relation to the decision of The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) that the Company has failed to maintain a sufficient level of operations as required under Rule 13.24 of the Rules (the “**Listing Rules**”) Governing the Listing of Securities on the Stock Exchange. Unless otherwise stated, capitalised terms used in this announcement shall have the same meanings as those defined in the Announcement.

The Board wishes to inform the shareholders and potential investors of the Company that, after seeking professional advice and with due consideration, the Company has submitted a written request to the Stock Exchange on even date of this announcement for the Decision to be referred to the Listing Committee for review pursuant to Chapter 2B of the Listing Rules (the “**Review**”). Shareholders and potential investors of the Company are reminded that the outcome of the Review is uncertain.

Shareholders of the Company who have any queries about the implications of the Stock Exchange’s notice to the Decision and the Review are advised to obtain appropriate professional advice. Should there be any material development(s) in relation to the aforementioned matters, further announcement(s) will be made by the Company as and when appropriate.

Shareholders and potential investors are advised to exercise caution when dealing in the securities of the Company.

By Order of the Board
Southwest Securities International Securities Limited
Zhang Hongwei
Chairman

Hong Kong, 22 September 2023

As at the date of this announcement, the executive directors of the Company are Mr. Zhang Hongwei (Chairman) and Mr. Huang Changsheng; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.

** For identification purpose only*