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海通證券股份有限公司
HAITONG SECURITIES CO., LTD.*

(A joint stock limited company incorporated in the People's Republic of China with limited liability)
(Stock Code: 6837)

ANNOUNCEMENT ON ELECTION OF EMPLOYEE REPRESENTATIVE SUPERVISORS

Haitong Securities Co., Ltd. (the “**Company**”) held the second meeting of the fifth session of the employee representatives meeting by way of telecommunications on 12 October 2023, in which Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang were elected as the employee representative supervisors of the eighth session of the supervisory committee of the Company (the “**Supervisory Committee**”). The terms of office of Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang will be the same as the eighth session of the Supervisory Committee.

The biographical details of Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang are set out below:

Mr. Wu Xiangyang (武向陽先生), was born in 1966 and is a holder of master's degree in law and an economist. Mr. Wu Xiangyang has served as an employee representative supervisor of the Company since 18 June 2019. He has been the general manager of the legal department of the Company since January 2023. Mr. Wu Xiangyang served as a teacher at Huibu Middle School in Fengxin County, Jiangxi Province (江西奉新縣會埠中學) from July 1985 to September 1987, and an officer of the Publicity Department of the CPC Committee and the secretary of the general Communist Youth League branch of the department of electronic engineering at Nanchang Aerospace College (南昌航空學院) from July 1991 to September 1995. He pursued his master's degree in the economic law department of East China University of Political Science and Law (華東政法學院) from September 1995 to July 1998 and obtained a master's degree in law when graduated. Mr. Wu Xiangyang served as the asset administrator of the legal affairs office of Bank of Communications Shanghai Branch (交通銀行上海分行) from August 1998 to April 2000. Mr. Wu Xiangyang has served in the following various positions in the Company since January 2001, including: project manager of the investment banking headquarters; legal counsel of the general manager office; deputy manager of the legal affairs department; deputy manager of the legal compliance department of the compliance office; manager of the legal compliance department of the compliance department; manager of the compliance inspection department; manager of the compliance inspection department of the compliance and risk management headquarters; manager of the compliance review department; assistant to general manager; assistant to the general manager of the compliance and legal department; deputy general manager; and deputy general manager (in charge of daily operation). He has served as the chairman of the supervisory committee of Haitong Futures Co., Ltd. (海通期貨股份有限公司) since July 2019, a director of Shanghai Weitai Properties Management Co., Ltd. (上海惟泰置業管理有限公司) since March 2020, and the chairman of the supervisory committee of Haitong UniTrust International Leasing Corporation (海通恒信國際租賃有限公司) since May 2022.

Mr. Cao Zhigang (曹志剛先生), was born in 1978 and is a holder of master's degree in economics. Mr. Cao Zhigang has served as an employee representative supervisor of the Company since 28 June 2023. He has served as the general manager of the audit department of the Company since June 2023. Mr. Cao Zhigang has served in the following various positions in the Company since July 2001, including: employee of the audit department; commissioner in the risk control headquarters; deputy manager of the rectification and supervision department of the risk control headquarters; manager of division 1 of the audit department; assistant to the general manager of the audit department; and deputy general manager of the audit department. Mr. Cao Zhigang has served as the chairman of the supervisory committee of HFT Investment Management Co., Ltd. (海富通基金管理有限公司) since March 2019 and the supervisor of Fullgoal Fund Management Co., Ltd. (富國基金管理有限公司) since October 2020.

Ms. Yu Yang (喻洋女士), was born in 1981 and is a holder of master's degree in law. Ms. Yu Yang has served as the deputy secretary of the CPC Committee of company institution of the Company since March 2019, secretary of the Disciplinary Committee of company institution of the Company since April 2020 and director of the department of Party-Masses Relationship of the Company since April 2023. Ms. Yu Yang worked in the Public Complaints and Proposals Office of the CPC Shanghai Municipal Committee and Shanghai Municipal People's Government (中共上海市委、上海市人民政府信訪辦公室) from July 2006 to February 2019. Ms. Yu Yang has served as a cadre, deputy chief staff member of the Call Reception Office, chief staff member of the Call Reception Office, chief staff member of the Secretariat and deputy director of the Secretariat. Ms. Yu Yang served as the deputy director of the department of Party-Masses Relationship of the Company from February 2019 to December 2019 and deputy director of the office of the CPC Committee of the Company from December 2019 to April 2023.

As far as the Supervisory Committee is aware, save as disclosed above, Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang have not held any directorship in any other public companies the securities of which are listed on any securities market in Hong Kong or overseas in the past three years, nor do they hold any other position in the Company or any of its subsidiaries. Save as disclosed above, Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang do not have any relationship with any directors, supervisors, senior management or substantial shareholders of the Company. As at the date of this announcement, Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang do not have any interest in the shares of the Company or its associated companies within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong).

Save as disclosed above, there is no other information in relation to the appointments of Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang which is required to be disclosed pursuant to the requirements set out in Rules 13.51(2) (h) to (v) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, nor are there any matters which need to be brought to the attention of the shareholders of the Company. Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang have not been penalized by China Securities Regulatory Commission or other relevant departments or stock exchanges.

As of the date of this announcement, the Company has not entered into service contracts with Mr. Wu Xiangyang, Mr. Cao Zhigang and Ms. Yu Yang. The remuneration of the abovementioned employee representative supervisors shall be determined in accordance with the related management measures with regard to the compensation and performance review of the Company.

By Order of the Board
Haitong Securities Co., Ltd.
ZHOU JIE
Chairman

Shanghai, the PRC
12 October 2023

As at the date of this announcement, the executive directors of the Company are Mr. Zhou Jie, Mr. Li Jun and Mr. Zhao Yonggang; the non-executive directors of the Company are Mr. Tu Xuanxuan, Mr. Shi Lei, Ms. Xiao Hehua and Mr. Xu Jianguo; and the independent non-executive directors of the Company are Mr. Zhou Yu, Mr. Fan Ren Da Anthony, Mr. Mao Fugen and Mr. Mao Huigang.

* *For identification purpose only*