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*(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “东方证券股份有限公司” and carrying on business in Hong Kong as “東方證券” (in Chinese) and “DFZQ” (in English))*

**(Stock Code: 03958)**

## **ANNOUNCEMENT IN RELATION TO THE BRIEFING ON THE THIRD QUARTERLY RESULTS OF 2023**

This announcement is made pursuant to Rule 13.10B of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited.

### **IMPORTANT NOTICES:**

- Time: 16:00 to 17:00 on Tuesday, November 21, 2023
- Mode: Online interaction on SSE Roadshow (上證路演中心)
- Site:

SSE Roadshow (上證路演中心) of the Shanghai Stock Exchange (<https://roadshow.sseinfo.com/>)

- Channel for question solicitation:

Investors may visit the home page of the SSE Roadshow website from Tuesday, November 14, 2023 to 16:00 on Monday, November 20, 2023 and click on the “Question Collection (提問預徵集)” section to submit questions, or send your questions to the Company via email ([ir@orientsec.com.cn](mailto:ir@orientsec.com.cn)). The Company will answer the commonly concerned questions of investors at the Results Briefing.

東方證券股份有限公司 (the “**Company**”) has published its third quarterly report for 2023 on the website of The Stock Exchange of Hong Kong Limited (<https://www.hkexnews.hk>) on October 30, 2023. In order to enable the investors to have a more comprehensive and in-depth understanding of the operating results and financial conditions of the Company, the Company plans to hold a briefing on the 2023 third quarterly results (the “**Results Briefing**”) between 16:00 to 17:00 on Tuesday, November 21, 2023 to address the concerns of investors.

## **I. TYPE OF THE BRIEFING**

The Results Briefing will be held through online interaction. The Company will interact and communicate with investors on the specific details of its operating results and financial indicators, and answer the commonly concerned questions of investors within the authorised scope of information disclosure.

## **II. TIME AND SITE OF THE BRIEFING**

(i) Time: 16:00 to 17:00 on Tuesday, November 21, 2023

(ii) Mode: Online interaction on SSE Roadshow (上證路演中心)

(iii) Site:

SSE Roadshow (上證路演中心) of the Shanghai Stock Exchange (<https://roadshow.sseinfo.com/>)

## **III. PARTICIPANTS OF THE COMPANY**

Management members and the staff of investor relation team of the Company will attend the Results Briefing.

## **IV. METHODS OF PARTICIPATION FOR INVESTORS**

(i) Investors may participate in the Results Briefing online by logging on the SSE Roadshow (上證路演中心) (<https://roadshow.sseinfo.com/>) from 16:00 to 17:00 on Tuesday, November 21, 2023, and the Company will answer the questions of investors in a timely manner.

(ii) Investors may visit the home page of the SSE Roadshow website from Tuesday, November 14, 2023 to 16:00 on Monday, November 20, 2023 and click on the “Question Collection (提問預徵集)” section (<https://roadshow.sseinfo.com/questionCollection.do>) and select this event within the prescribed time period to submit questions or send your questions to the Company via email ([ir@orientsec.com.cn](mailto:ir@orientsec.com.cn)). The Company will answer the commonly concerned questions of investors at the Results Briefing.

## V. CONTACT PERSON AND CONTACT METHODS

Contact department: Board office of the Company

Email: ir@orientsec.com.cn

Telephone: 021-6332 6373

## VI. OTHERS

After the Results Briefing, investors may review the details and main content of the Results Briefing through the SSE Roadshow (上證路演中心) (<https://roadshow.sseinfo.com/>).

By order of the Board of Directors

**JIN Wenzhong**

*Chairman*

Shanghai, PRC

November 13, 2023

*As at the date of this announcement, the Board of Directors comprises Mr. JIN Wenzhong, Mr. GONG Dexiong and Mr. LU Weiming as executive Directors; Mr. YU Xuechun, Mr. ZHOU Donghui, Ms. LI Yun, Mr. REN Zhixiang and Ms. ZHU Jing as non-executive Directors; and Mr. WU Hong, Mr. FENG Xingdong, Mr. LUO Xinyu, Mr. CHAN Hon and Mr. ZHU Kai as independent non-executive Directors.*