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## **Southwest Securities International Securities Limited**

**西證國際證券股份有限公司\***

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

### **APPOINTMENT OF CHIEF EXECUTIVE OFFICER**

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”) hereby announces that Mr. Huang Changsheng (“**Mr. Huang**”), an executive director and authorised representative of the Company, has been appointed as the chief executive officer (the “**Chief Executive Officer**”) of the Company with effect from 24 November 2023.

Biographical details of Mr. Huang are set out as follows:

Mr. Huang Changsheng, aged 43, was appointed as an executive director and authorised representative of the Company, with effect from 12 April 2022. Mr. Huang, a certificated public accountant and a registered sponsor representative in the PRC, has extensive experience in the financial industry. Mr. Huang earned a bachelor’s degree economics from Chongqing Technology and Business University (重慶工商大學) in June 2001 and received a master’s degree in management from Chongqing University in June 2005. Mr. Huang successively worked at China Mobile Group Chongqing Company Limited, Guorong Securities Co., Ltd. and Chongqing Share Transfer Center Co., Ltd. from 2005 to 2012. From 2012 to 2022, he served as a senior manager, business team leader and deputy general manager of the NEEQ department of Southwest Securities Co., Ltd. (“**SWSC**”), and became the deputy general manager of the first division of the western department of investment banking of SWSC and responsible for leading the department work.

Mr. Huang’s directorship is subject to retirement by rotation and re-election in accordance with the Listing Rules and the Company’s bye-laws. Mr. Huang has signed a director’s service agreement with the Company for a term of 3 years commenced on 12 April 2022. Mr. Huang is not entitled to any emolument for his role as the executive director of the Company. No service agreement was entered into between the Company and Mr. Huang with respect to the appointment of Mr. Huang as the Chief Executive Officer. Mr. Huang is entitled to a salary for his role as the Chief Executive Officer at an amount to be determined by the Board based on the recommendation of the remuneration committee of

the Company with reference to the prevailing market conditions, his position and duties and the remuneration policy of the Company, and shall be reviewed by the remuneration committee and the Board annually. As at the date of this announcement, save as disclosed above, Mr. Huang (i) does not have any relationship with any director, senior management, substantial shareholder or controlling shareholder (as defined in the Listing Rules) of the Company; (ii) has not held any other directorship in any public companies the securities of which are listed on any securities market in Hong Kong or overseas in the last three years; (iii) does not hold any other position with the Company and other members of the Group; and (iv) does not have, and is not deemed to have, any interests in any shares or underlying shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Mr. Huang has confirmed that, save as disclosed above, there is no other information required to be brought to the attention of the shareholders of the Company pursuant to Rule 13.51(2)(h) to (v) of the Listing Rules in relation to his appointment as the Chief Executive Officer.

The Board congratulates Mr. Huang for his appointment and looks forward to his continuous contribution to the Company's business and development.

By order of the Board  
**Southwest Securities International Securities Limited**  
**Zhang Hongwei**  
*Chairman*

Hong Kong, 24 November 2023

*As at the date of this announcement, the executive Director of the Company is Mr. Zhang Hongwei (Chairman) and Mr. Huang Changsheng; and the independent non-executive Directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.*