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**國泰君安證券股份有限公司**  
**GUOTAI JUNAN SECURITIES CO., LTD.**

*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
**(Stock Code: 02611)**

**LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS**

The members of the board of directors (the “**Board**”) of Guotai Junan Securities Co., Ltd. (the “**Company**”) are set out below:

**Executive Directors**

Mr. Wang Song

Mr. Yu Jian

**Non-executive Directors**

Mr. Liu Xinyi

Ms. Guan Wei

Mr. Zhong Maojun

Mr. Chen Hua

Mr. Wang Wenjie

Mr. Zhang Yipeng

Mr. An Hongjun

**Independent Non-executive Directors**

Mr. Ding Wei

Mr. Li Renjie

Mr. Bai Wei

Mr. Wang Guogang

Mr. Yim, Chi Hung Henry

Mr. Pu Yonghao

There are four Board committees. The table below provides membership information of these committees on which each Board member serves:

|                         | <b>Strategy and ESG (environmental, social and corporate governance) Committee</b> | <b>Remuneration Appraisal and Nomination Committee</b> | <b>Audit Committee</b> | <b>Risk Control Committee</b> |
|-------------------------|--|--|------------------------|-------------------------------|
| Mr. Wang Song           |  |  |                        | Member                        |
| Mr. Liu Xinyi           | Member   |  |                        |                               |
| Ms. Guan Wei            |  | Member   |                        |                               |
| Mr. Zhong Maojun        |  |  |                        | Member                        |
| Mr. Chen Hua            |  |  | Member                 |                               |
| Mr. Wang Wenjie         | Member   | Member   |                        |                               |
| Mr. Zhang Yipeng        |  |  |                        | Member                        |
| Mr. An Hongjun          | Member   |  |                        |                               |
| Mr. Ding Wei            | Member   | Member   |                        |                               |
| Mr. Li Renjie           |  | Chairman   |                        | Chairman                      |
| Mr. Bai Wei             |  |  | Member                 | Member                        |
| Mr. WANG Guogang        |  | Member   |                        |                               |
| Mr. YIM, Chi Hung Henry |  |  | Member                 |                               |

By order of the Board  
**Guotai Junan Securities Co., Ltd.**  
**WANG Song**  
*Vice Chairman*

Shanghai, the PRC  
6 December 2023

*As at the date of this announcement, the executive directors of the Company are Mr. WANG Song and Mr. YU Jian; the non-executive directors of the Company are Mr. LIU Xinyi, Ms. GUAN Wei, Mr. ZHONG Maojun, Mr. CHEN Hua, Mr. WANG Wenjie, Mr. ZHANG Yipeng and Mr. AN Hongjun; and the independent non-executive directors of the Company are Mr. DING Wei, Mr. LI Renjie, Mr. BAI Wei, Mr. WANG Guogang, Mr. YIM, Chi Hung Henry and Mr. PU Yonghao.*