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*(A joint stock company incorporated in the People's Republic of China with limited liability)*  
**(Stock Code: 6099)**

## **ANNOUNCEMENT ON ELECTION OF EMPLOYEE REPRESENTATIVE SUPERVISORS**

China Merchants Securities Co., Ltd. (the “**Company**”) hereby announces that Ms. YIN Hongyan (尹虹艷), Mr. WANG Jianping (王劍平) and Mr. CHEN Jun (陳鋆) have each been elected as an employee representative supervisor (the “**Supervisor**”), whose term of office shall commence from the date of election of shareholders’ representative Supervisors of the eighth session of the Supervisory Committee at the general meeting of the Company for the term of three years, of the eighth session of the supervisory committee of the Company (the “**Supervisory Committee**”) by the third session of the employee representatives’ meeting of the Company.

The biographical details of the above-mentioned employee representative Supervisors are as follows:

**Ms. YIN Hongyan (尹虹艷)**, aged 52, has been serving as an employees’ representative Supervisor of the Company since August 2007, and as an assistant to the general manager of the Company since December 2021 and as the Director of Human Resources Department of the Company since June 2020. She was the general manager of Department of Retail Brokerage and Department of Operations and Administration of the Company from August 2017 to August 2018, and the general manager of Human Resources Department of the Company from August 2018 to May 2020. She previously served as assistant to manager and head of the Customer Service Department of Shenzhen Zhenhua Road securities branch, deputy manager of Shenzhen Fumin Road securities branch, assistant to general manager of Private Customer Service Department, manager of Shenzhen Fumin Road securities branch and deputy general manager and general manager of Department of Operations and Administration of the Company.

Ms. YIN Hongyan obtained a doctoral degree in law majoring in sociology from Nankai University (南開大學) in June 2006.

As at the date of this announcement, Ms. YIN Hongyan, through China Merchants Asset Management – China Merchants Securities No.1 Employee Stock Ownership Scheme Single Asset Management Plan (招商資管-招證1號員工持股計劃單一資產管理計劃), holds approximately 204,311 A shares of the Company, which represent approximately 0.002% of the total issued share capital of the Company.

**Mr. WANG Jianping (王劍平)**, aged 49, has been the general manager of the finance department of the Company since March 2022. He has been the deputy general manager (in charge of work) of the capital management department of the Company from September 2017 to April 2021. He has been the general manager of the capital management department of the Company from April 2021 to March 2022, a director of China Merchants Zhiyuan Capital Investment Co., Ltd.\* (招商致遠資本投資有限公司) from March 2022 to March 2023 and the chairman of the supervisory committee of Bosera Asset Management Co., Ltd.\* (博時基金管理公司) from August 2022 to August 2023. He previously served as the finance manager of various branches of Industrial Securities Co., Ltd. (興業證券股份有限公司, a company listed on Shanghai Stock Exchange, stock code: 601377), and also an assistant to the general manager and deputy general manager of the finance department of the Company.

Mr. WANG Jianping obtained a bachelor's degree majoring in accounting from Jiangxi University of Finance and Economics (江西財經大學) in July 1998, and a master's degree majoring in management science and engineering from Tianjin University (天津大學) in December 2012, respectively.

As at the date of this announcement, Mr. WANG Jianping, through China Merchants Asset Management – China Merchants Securities No.1 Employee Stock Ownership Scheme Single Asset Management Plan (招商資管-招證1號員工持股計劃單一資產管理計劃), holds approximately 102,156 A shares of the Company, which represent approximately 0.001% of the total issued share capital of the Company.

\* For identification purpose only

**Mr. CHEN Jun (陳鑿)**, aged 46, has been an employee representative Supervisor of the Company since March 2023. He has been the general manager of the audit department of the Company since February 2023, and successively served as the deputy general manager (in charge of work) of the internal review department, the deputy general manager (in charge of work) and the general manager of the internal review department of the risk management center of the Company from April 2020 to February 2023. From June 2015 to April 2020, he served as the deputy general manager of the risk management department of the Company (during the period from June 2017 to April 2020, he also served as the chief risk officer of China Merchants Zhiyuan Capital Investment Co., Ltd.\* (招商致遠資本投資有限公司)). He previously served as the financial director of the project department of Pakistan Barotha Hydropower Plant of Sinohydro Engineering Bureau 8 Co., Ltd.\* (中國水利水電第八工程局公司), an auditor of KPMG Huazhen LLP, Shenzhen Branch\* (畢馬威華振會計師事務所深圳分所), and an assistant to the general manager of the risk management department of the Company.

Mr. CHEN Jun obtained a bachelor's degree majoring in trade economics from Guizhou College of Finance and Economics (貴州財經學院) (currently known as Guizhou University of Finance and Economics (貴州財經大學)) in July 1998, and a master's degree majoring in law from Xiamen University (廈門大學) in July 2006, respectively.

As at the date of this announcement, Mr. CHEN Jun, through China Merchants Asset Management – China Merchants Securities No.1 Employee Stock Ownership Scheme Single Asset Management Plan (招商資管-招證1號員工持股計劃單一資產管理計劃), holds approximately 102,156 A shares of the Company, which represent approximately 0.001% of the total issued share capital of the Company.

Each of the above-mentioned elected Supervisors (“**Elected Supervisor(s)**”) will enter into a service contract with the Company. Pursuant to the articles of association of the Company, each Elected Supervisor is eligible for re-election upon the expiry of his/her term of office. During his/her tenure as a Supervisor, each Elected Supervisor will receive remuneration from the Company in accordance with the relevant regulations for remuneration management of the Company.

\* For identification purpose only

Each of the Elected Supervisors has confirmed that, save as disclosed above, as of the date of this announcement: (1) he/she does not hold any other positions in the Company or any of its subsidiaries, nor has he/she held any directorships in any other public companies where the securities of which are listed on any securities market in Hong Kong or overseas in the last three years; (2) he/she does not have any relationship with any other directors, supervisors, senior management, substantial shareholders or controlling shareholders of the Company; (3) he/she does not hold any interests in the shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong); and (4) he/she does not have any matters regarding his/her election that shall be disclosed pursuant to Rule 13.51(2)(h) to Rule 13.51(2)(v) of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited, nor is there any other issue regarding his/her election that shall be brought to the attention of the shareholders of the Company.

By Order of the Board  
**China Merchants Securities Co., Ltd.**  
**HUO Da**  
*Chairman*

Shenzhen, the PRC  
December 27, 2023

*As at the date of this announcement, the executive directors of the Company are Mr. HUO Da and Mr. WU Zongmin; the non-executive directors of the Company are Mr. ZHANG Jian, Mr. DENG Weidong, Mr. LIU Weiwu, Mr. LI Xiaofei, Mr. HUANG Jian and Ms. DING Lusha; and the independent non-executive directors of the Company are Mr. XIANG Hua, Mr. XIAO Houfa, Mr. XIONG Wei, Mr. HU Honggao and Mr. FENG Jinhua.*