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中信证券股份有限公司
CITIC Securities Company Limited

(A joint stock limited company incorporated in the People's Republic of China with limited liability)

(Stock Code: 6030)

ANNOUNCEMENT
CHANGE OF CHIEF RISK OFFICER

The board of directors (the “**Board**”) of CITIC Securities Company Limited (the “**Company**”) hereby announces that, on 5 January 2024, the Board resolved to (i) agree Mr. ZHANG Guoming to serve as the Chief Risk Officer of the Company; and (ii) authorize the operation management of the Company to handle the aforesaid relevant procedures in accordance with relevant regulations. The changes mentioned above will be effective from the date of passing of the above-mentioned resolutions by the Board. Mr. ZHANG Guoming shall hold his office as the Chief Risk Officer from the date of passing by the Board until the expiry of the term of the eighth session of the Board. Biographical details of Mr. ZHANG Guoming are set out as follows:

Mr. ZHANG Guoming, aged 59, serves as the Chief Compliance Officer, deputy secretary of the disciplinary inspection committee and chief executive of the Legal Department of the Company. Before joining the Company in 2010, Mr. ZHANG worked as deputy chief judge, chief judge and a member of the Adjudication Committee of the Higher People’s Court of Henan Province, the judge of the Supreme People’s Court and the chief executive of Compliance Department, Risk Management Department and Special Asset Management Department of the Company. Mr. ZHANG also serves as a supervisor of each of CITIC Futures Co., Ltd. and CITIC Securities South China Co., Ltd., the chief compliance officer and chief risk officer of Kington Securities Limited Liability Company. Mr. ZHANG obtained his master degree in law and doctoral degree in law from the Renmin University of China in 1994 and 2008, respectively.

As at the date of this announcement, Mr. ZHANG Guoming held no shares of the Company, nor had Mr. ZHANG Guoming received any punishment from the China Securities Regulatory Commission and other relevant authorities or any penalty from stock exchanges.

By order of the Board
CITIC Securities Company Limited
ZHANG Youjun
Chairman

Beijing, the PRC
5 January 2024

As at the date of this announcement, the executive directors of the Company are Mr. ZHANG Youjun and Mr. YANG Minghui; the non-executive directors of the Company are Mr. ZHANG Lin, Ms. FU Linfang, Mr. ZHAO Xianxin and Mr. WANG Shuhui; and the independent non-executive directors of the Company are Mr. LI Qing, Mr. SHI Qingchun and Mr. ZHANG Jianhua.