

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board (the “**Board**”) of directors (the “**Directors**”) of China Galaxy Securities Co., Ltd. are as follows:

Executive Director:

Mr. Wang Sheng (*Chairman*)

Non-executive Directors:

Mr. Yang Tijun

Ms. Li Hui

Ms. Liu Chang

Mr. Liu Zhihong

Independent Non-executive Directors:

Mr. Wang Zhenjun

Ms. Liu Chun

Mr. Law Cheuk Kin Stephen

There are 4 Board committees. The table below provides membership information of these committees on which the Board member serves.

Directors	Board Committee	Strategy and Development Committee	Audit Committee	Nomination and Remuneration Committee	Compliance and Risk Management Committee
Mr. Wang Sheng		C			M
Mr. Yang Tijun		M	M		C
Ms. Li Hui			M	M	M
Ms. Liu Chang		M		M	
Mr. Liu Zhihong		M			
Mr. Wang Zhenjun		M	M	M	M
Ms. Liu Chun			C	M	M
Mr. Law Cheuk Kin Stephen			M	M	

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

16 January 2024