

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board (the “**Board**”) of directors (the “**Directors**”) of China Galaxy Securities Co., Ltd. are as follows:

Executive Director:

Mr. Wang Sheng (*Chairman*)

Mr. Xue Jun (*Vice Chairman and President*)

Non-executive Directors:

Mr. Yang Tijun

Ms. Li Hui

Ms. Liu Chang

Mr. Liu Zhihong

Independent Non-executive Directors:

Mr. Wang Zhenjun

Ms. Liu Chun

Mr. Law Cheuk Kin Stephen

Mr. Liu Li

There are 4 Board committees. The table below provides membership information of these committees on which the Board member serves.

Board Committee	Strategy and Development Committee	Audit Committee	Nomination and Remuneration Committee	Compliance and Risk Management Committee
Directors				
Mr. Wang Sheng	C			
Mr. Xue Jun	M			M
Mr. Yang Tijun	M	M		C
Ms. Li Hui		M	M	M
Ms. Liu Chang	M		M	
Mr. Liu Zhihong	M			
Mr. Wang Zhenjun	M	M	M	M
Ms. Liu Chun		C	M	M
Mr. Law Cheuk Kin Stephen		M	M	
Mr. Liu Li	M	M	C	

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

23 January 2024