

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board (the “**Board**”) of directors (the “**Directors**”) of China Galaxy Securities Co., Ltd. are as follows:

Executive Directors:

Mr. Wang Sheng (*Chairman*)

Mr. Xue Jun (*Vice Chairman and President*)

Non-executive Directors:

Mr. Yang Tijun

Ms. Li Hui

Ms. Liu Chang

Mr. Liu Zhihong

Independent Non-executive Directors:

Ms. Liu Chun

Mr. Law Cheuk Kin Stephen

Mr. Liu Li

There are 4 Board committees. The table below provides membership information of these committees on which the Board member serves.

Board Committee Directors	Strategy and Development Committee	Audit Committee	Nomination and Remuneration Committee	Compliance and Risk Management Committee
Mr. Wang Sheng	C			
Mr. Xue Jun	M			M
Mr. Yang Tijun	M	M		C
Ms. Li Hui		M	M	M
Ms. Liu Chang	M		M	
Mr. Liu Zhihong	M			
Ms. Liu Chun		C	M	M
Mr. Law Cheuk Kin Stephen		M	M	
Mr. Liu Li	M	M	C	

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

10 June 2024